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ROADMAP

for Implementing the Caribbean Priority Actions on the Illicit Proliferation of Firearms and Ammunition across the Caribbean in a Sustainable Manner by 2030

SYNERGIZING DATA COLLECTION EFFORTS

A Guidance Document on Reporting under the Caribbean Firearms Roadmap in the Context of Existing Reporting Obligations

NOVEMBER 2024

UNLIREC

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by Germany

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List of Abbreviations and Acronyms

ATT	Arms Trade Treaty
BA	Baseline Assessment
CARICOM IMPACS	Caribbean Community Implementation Agency for Crime and Security
CFRM or Roadmap	Caribbean Firearms Roadmap
CIFTA	Inter-American Convention Against the Illicit Manufacture of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials
Firearms Protocol	The Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition
IAFQ	Illicit Arms Flow Questionnaire
iARMS	Illicit Arms Records and Tracing Management System
IATG	International Ammunition Technical Guidelines
IBIS	Integrated Ballistics Identification System
INTERPOL	International Criminal Police Organization
ITI	International Tracing Instrument
KPI	Key Performance Indicator
M&E	Monitoring and Evaluation
MAG	Mines Advisory Group
MOSAIC	Modular Small Arms Implementation Compendium
NAP	National Action Plan
OAS	Organization of American States
PoA	United Nations Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons
RIBIN	Regional Integrated Ballistic Information Network
SALW	Small arms and light weapons
SAS	Small Arms Survey
SDG	Sustainable Development Goal
UNDP	United Nations Development Programme
UNLIREC	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean
UNODC	United Nations Office on Drugs and Crime
UNTOC	United Nations Convention Against Transnational Organized Crime
WCO	World Customs Organization

Executive Summary

The Roadmap for Implementing The Caribbean Priority Actions on the Illicit Proliferation of Firearms and Ammunition across the Caribbean in a Sustainable Manner by 2030 (Caribbean Firearms Roadmap) is a regional initiative endorsed by fifteen Caribbean States and the Dominican Republic that seeks to enhance security and promote sustainable development across the Caribbean region, by addressing the illicit trafficking of firearms and ammunition.[1]

The Caribbean Firearms Roadmap (CFRM) is underpinned by a Monitoring and Evaluation (M&E) Framework, which consists of four overarching goals, specific targets, and Key Performance Indicators (KPIs). These comprise sub-KPIs, which monitor national progress, and macro-KPIs, which capture advancements at the regional level. To monitor progress on the implementation of the CFRM both nationally and regionally, States are required to complete a Baseline Assessment (BA) and submit annual reports.

This Guidance Document provides Caribbean States with a technical tool to adopt the CFRM's M&E Framework and to streamline its reporting process with that of existing international and regional instruments on firearms. By identifying synergies between the different reporting mechanisms, the document helps reduce duplication and improve data collection and reporting efficiency. It assists States in leveraging retrieved data to meet CFRM reporting requirements, thus optimizing efforts and available resources, and ensuring consistency across multiple reporting systems.

The document examines several key international and regional instruments on firearms, along with their reporting mechanisms:

- The Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons (PoA), its International Tracing Instrument (ITI), and the national reports on their implementation.
- The Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition (Firearms Protocol) along with the Illicit Arms Flow Questionnaire (IAFQ).
- The Arms Trade Treaty (ATT), including its Initial and Annual Reports.
- The Inter-American Convention Against the Illicit Manufacture of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (CIFTA) along with the Questionnaire on the Implementation and Effectiveness of CIFTA.

Additionally, the analysis incorporates Sustainable Development Goal (SDG) 16, specifically indicator 16.4.2, as part of the Complementary Actions of the CFRM, directly supporting the advancement of the 2030 Agenda for Sustainable Development.

For the purpose of this comparison, the reporting templates referred to and analysed in this document are those required for submission in the 2024 reporting year. It is important to note that as these are frequently updated, the findings presented in this document may need to be revised accordingly in the future.

1 Available via the Publications section of the United Nations Office for Disarmament Affairs, Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean website (Caribbean Firearms Roadmap - UNLIREC)

The Guidance Document is organized into several key sections:

1. **Introduction** — Sets the context for streamlined reporting in the Caribbean.
2. **Overview of Reporting Obligations and Requirements** — Reviews reporting requirements under the CFRM and that of relevant international and regional instruments on firearms.
3. **Mapping Data Collection Effort: Methodology** — Introduces the methodology developed for this Guide, a coding scheme which systematically compares reporting templates and identifies matches. The scheme categorizes the alignment into four categories: Exact Match; Partial Match; Possible Match; and No Match.
4. **Mapping Data Collection Efforts: Analysis and Results** — Presents the results of the analysis, which indicate that States can leverage existing data collection to meet the reporting requirements under the CFRM.
5. **Complementary Actions** — Analyses the CFRM's five Priority Actions which support reporting under the 2030 Agenda for Sustainable Development and concludes that data reported under international and regional instruments on firearms can be capitalized on to fulfil reporting on the CFRM's Complementary Actions.
6. **Key Findings and Strategic Recommendations** — Presents key findings based on the analysis conducted of alignments and gaps between the CFRM M&E Framework and the reporting mechanisms of relevant international and regional instruments.
7. **Conclusions** — Outlines a clear path forward for the CFRM and M&E focal points to streamline reporting efforts, make the most of data synergies, and foster better coordination between regional and international stakeholders.

Concrete findings of the paper include that 28 items from the CFRM M&E Framework have exact matches with other reporting instruments; 79 items have partial matches, and 255 items have possible matches. Moreover, 3 items from the CFRM's Complementary Actions have a Partial Match, and 3 items have a Possible Match with reporting mechanisms of other instruments. Consequently, the Guiding Document recommends that:

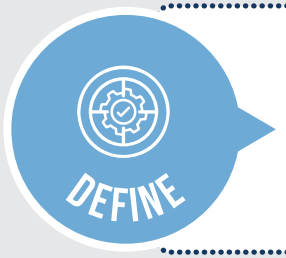
National data collection efforts under the CFRM M&E Framework should be strategized to leverage matches found with the reporting mechanisms of relevant international and regional instruments on firearms.

Considering that some of the CFRM's Priority Action items involve systems, databases, or other information-sharing structures facilitated by Implementing Partners of the Roadmap, **States may consider seeking their assistance in retrieving the necessary information.**

States can synergize data collection efforts by mapping national focal points and information sources of the relevant international and regional instruments on firearms, which would facilitate data gathering.

Since not all reporting mechanisms cover a calendar year, data disaggregation maybe be required. This process could be facilitated by consulting with the relevant national focal points or information sources. States could also consider storing information disaggregated by month, enabling its aggregation as required by each reporting framework.

States should encourage the development of coordination and information-sharing mechanisms among focal points of the relevant international and regional firearms instruments. Such mechanisms would streamline reporting processes, reduce the reporting burden, and enhance the quality and consistency of data submitted across the different instruments.



Special attention should be given to how key concepts are defined across relevant instruments to ensure the accuracy and usability of the data collected and reported.

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1. Introduction

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THE CARIBBEAN FIREARMS ROADMAP IS A REGIONAL INITIATIVE ADOPTED IN 2020 WITH THE GOAL OF ADDRESSING THE ILLICIT TRAFFICKING OF FIREARMS AND AMMUNITION ACROSS THE CARIBBEAN.

1. Introduction

The Caribbean Firearms Roadmap (CFRM) is a regional initiative adopted in 2020 with the goal of addressing the illicit trafficking of firearms and ammunition across the Caribbean. Endorsed by fifteen Caribbean States and the Dominican Republic, the CFRM aims to reduce firearm-related crime and violence, enhance security, and strengthen regional cooperation among States that have adopted it.

To ensure effective progress tracking and accountability, the CFRM is supported by a Monitoring and Evaluation (M&E) Framework. This framework consists of 4 overarching goals, 16 associated targets, 101 sub-Key Performance Indicators (KPIs) which track national progress, and 15 macro-KPIs which capture regional advancements. To foster national ownership of the M&E Framework while maintaining alignment with the broader vision of the CFRM, States are only required to report on the sub-KPIs relevant to the Priority Actions identified in their National Action Plans (NAPs), rather than on all 101 sub-KPIs.

Currently, Roadmap States have multiple reporting obligations related to firearms control, each involving potentially different focal points, organizations, critical deadlines, and input formats. Simultaneously, Roadmap Implementation Partners -international and regional organizations committed to supporting CFRM States in implementing the Roadmap- conduct periodic data gathering in the Caribbean, often collecting similar or identical information. Hence, the CFRM's M&E Framework could leverage these existing efforts.

At the third Annual Meeting of States on the CFRM in 2023, representatives highlighted the significance of evidence-based decision making, comprehensive data collection, and the critical role of M&E in tracking progress.[2] Furthermore, stakeholders were encouraged to sustain collaboration and coordination across the Caribbean, underscoring the need to align reporting efforts to maximize impact.

Hence, the purpose of this document is to guide States in adopting the CFRM M&E framework and to streamline the CFRM's reporting requirements with that of existing international and regional instruments on firearms control. To achieve this, it analyses the CFRM's Priority Actions and compares them to the reporting obligations outlined in various international and regional instruments, identifying synergies across reporting tools. The document is thus intended as a technical resource for CFRM focal points, M&E focal points, and Implementing Partners.

CURRENTLY, ROADMAP STATES HAVE MULTIPLE REPORTING OBLIGATIONS RELATED TO FIREARMS CONTROL, EACH INVOLVING POTENTIALLY DIFFERENT FOCAL POINTS, ORGANIZATIONS, CRITICAL DEADLINES, AND INPUT FORMATS.

2 See Joint Statement at: https://unlirec.org/wp-content/uploads/Joint-Statement_as-adopted-2023-11-15-FINAL.pdf

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2. Overview of Reporting Obligations and Requirements

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THIS DOCUMENT OUTLINES SYNERGIES AND OFFERS GUIDANCE TO FOCAL POINTS AND STATE REPRESENTATIVES ON LOCATING NECESSARY INFORMATION FOR THE CARIBBEAN FIREARMS ROADMAP BASELINE ASSESSMENT AND ANNUAL KPI REPORTING TEMPLATES BY COMPARING THESE TO THE REPORTING FORMS OF RELEVANT INTERNATIONAL AND REGIONAL INSTRUMENTS ON FIREARMS.

2. Overview of Reporting Obligations and Requirements

The Caribbean Firearms Roadmap (CFRM) is structured around four goals:

GOAL 1

▶ Reinforce regulatory frameworks governing firearms and ammunition.

GOAL 2

▶ Reduce the illicit flow of firearms and ammunition into, within, and beyond the region.

GOAL 3

▶ Bolster law enforcement capacity to combat illicit firearms and ammunition trafficking and their illicit possession and misuse.

GOAL 4

▶ Systematically decrease the risk of diversion of firearms and ammunition from government- and non-government-owned arsenals.

Each goal is connected to a set of targets and actions. In essence, the Caribbean Firearms Roadmap serves as a foundational document for States as they develop their individual National Action Plans (NAPs). NAPs outline national priorities, strategies, and specific timelines for implementing the Roadmap at a national level, while also identifying areas where States may require international assistance.

The Caribbean Firearms Roadmap Monitoring and Evaluation Framework

The Roadmap's M&E framework provides participating States the opportunity to report advances on implementing their NAP under the Roadmap. To facilitate the measurement of progress at both national and regional levels, a comprehensive national baseline process was envisioned. This process aims to gather baseline information and establish a benchmark for future assessments. Each CFRM State is expected to conduct a Baseline Assessment by completing a standard questionnaire. The questions in this assessment are directly aligned with the corresponding sub-KPIs, establishing a starting point from which States can report their annual progress. These sub-KPIs contribute to fifteen macro-KPIs, which assess the status at the regional level, offering a detailed understanding of the situation in the region prior to the implementation of the CFRM.

Once NAPs are approved and Baseline Assessments completed, participating States are required to submit annual reports on their national sub-KPIs and, by extension, the fifteen regional KPIs, which provide quantitative measures of progress. Also included within annual KPI reports are narrative questions that offer more qualitative, outcome-focused data.

This document outlines synergies and offers guidance to focal points and State representatives on locating necessary information for the Baseline Assessment and Annual KPI Reporting templates by comparing these to the reporting forms of relevant international and regional instruments on firearms.

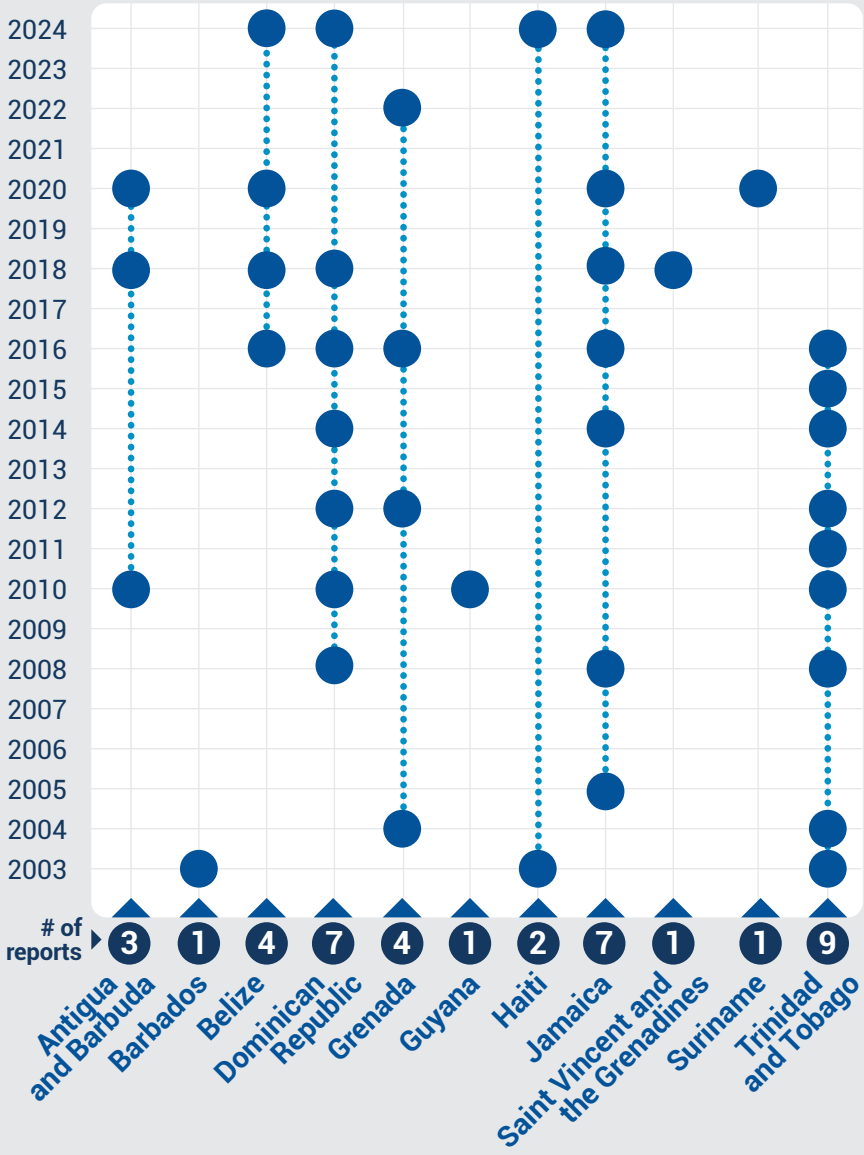
Relevant International and Regional Instruments on Firearms

This section outlines the instruments used to compare with the CFRM's M&E Framework. It also provides details on the most recent reporting dates and the data submitted by CFRM States through relevant reporting tools and questionnaires.

The Programme of Action on small arms and its International Tracing Instrument

The Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects (PoA, 2001), and its associated International Tracing Instrument (ITI, 2005)[3] were adopted by all United Nations Member States and requires them to submit a joint report of both instruments every two years. These instruments exhibit uneven levels of reporting by CFRM States. Out of the 15 CFRM States which are also UN Members,[4] 11 have submitted reports since the adoption of the PoA. Globally, there are 198 reports available from 2002 to 2024, with 40 submitted by CFRM States. For 2024, four States –Belize, Haiti, Dominican Republic and Jamaica– have provided reports.

Table 1. PoA national reports submitted by CFRM States



Source: Programme of Action on small arms and light weapons, UNODA; see https://smallarms.un-arm.org/national-reports

3 PoA/ITI National Reports are available at: https://smallarms.un-arm.org/national-reports/

4 All CFRM States except Montserrat.

Firearms Protocol

The Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition (Firearms Protocol), supplementing the UN Convention against Transnational Organized Crime (UNTOC), has 123 State Parties (as of 10 November 2024), including twelve CFRM States.[5]

Table 2. Status of CFRM States for the Firearms Protocol

State	Signature	Approval (AA), Acceptance(A), Accession(a), Succession(d), Ratification
Antigua and Barbuda		27 Apr 2010 (a)
Bahamas		26 Sep 2008 (a)
Barbados	26 Sep 2001	11 Nov 2014
Dominica		17 May 2013 (a)
Dominican Republic	15 Nov 2001	7 Apr 2009
Grenada		21 May 2004 (a)
Guyana		2 May 2008 (a)
Haiti		19 Apr 2011 (a)
Jamaica	13 Nov 2001	29 Sep 2003
St. Kitts and Nevis		21 May 2004 (a)
St. Vincent and the Grenadines		29 Oct 2010 (a)
Trinidad and Tobago		6 Nov 2007 (a)

Source: Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, United Nations Treaty Collection; see https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XVIII-12-c&chapter=18&clang=_en

5 The status of the Firearms Protocol is available at https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XVIII-12-c&chapter=18&clang=_en

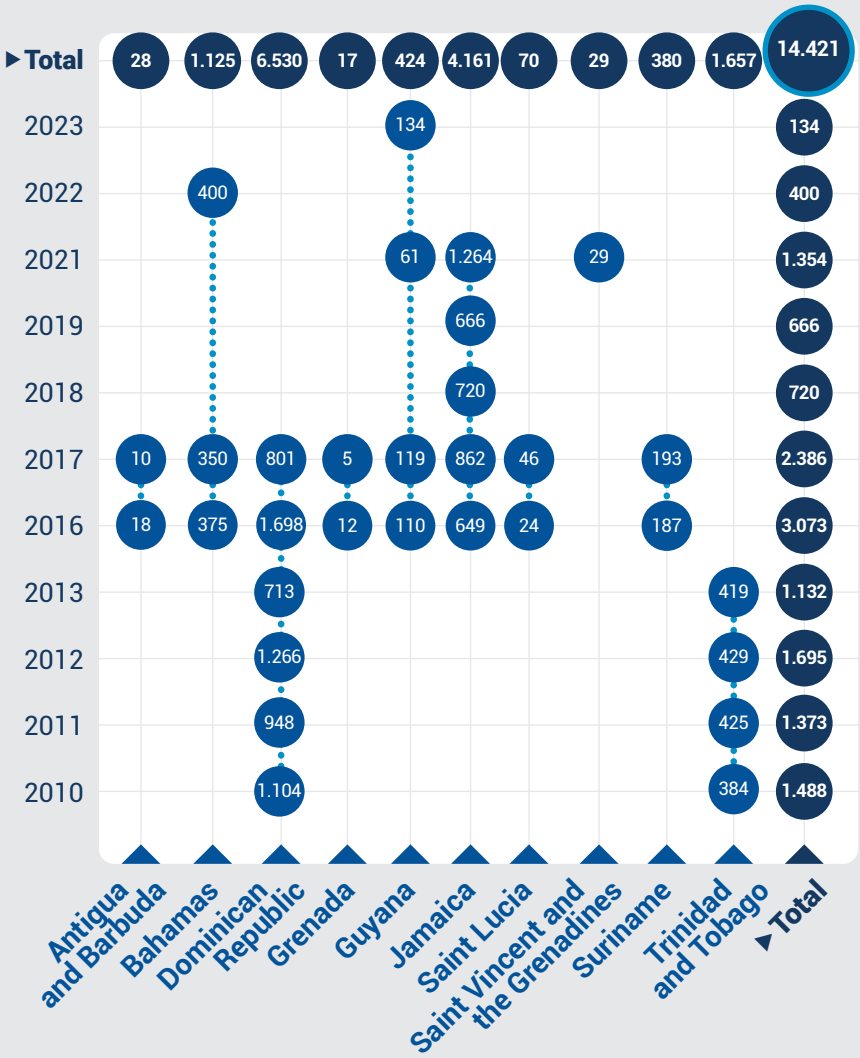
The implementation of the Firearms Protocol is assessed through a peer review process (UNTOC Review Mechanism). Further, the Conference of Parties mandated UNODC to collect and analyse both quantitative and qualitative data on firearms trafficking, including their parts, components, and ammunition, hence UNODC developed the Illicit Arms Flow Questionnaire (IAFQ), consisting of two questionnaires: the Data and the Metadata files. The reporting period for these questionnaires spans a calendar year and must be submitted annually by State Parties. While the core questions are included in the Data File, the Metadata file provides insights into the scope and nature of the information presented in the Data File.[6]

Reporting under the IAFQ reveals that 10 CFRM States have submitted reports, albeit inconsistently, between 2010 and 2023. The compilation of the figures reported in the IAFQ presented in Tables 3, 4, and 5 exemplify how reporting varies across State, year, and element within the questionnaire.[7] These figures show how this inconsistency plays out, with wide variability in reporting levels: no single CFRM State has consistently submitted entries across years or element in the questionnaire.

6 IAFQ Reporting Templates are available at: https://www.unodc.org/unodc/en/data-and-analysis/statistics/crime/iafq.html.

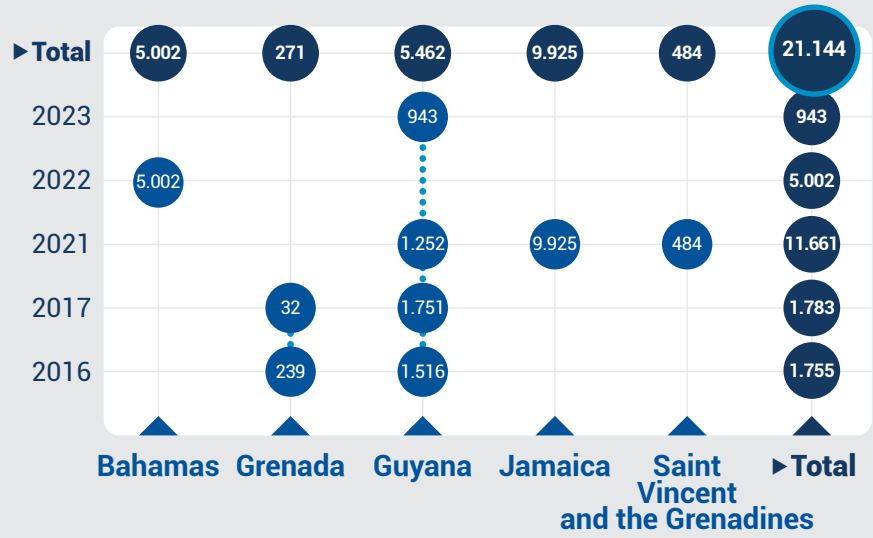
7 According to UNODC, "the IAFQ was focused on data for the years 2016 and 2017 but built on an earlier exercise carried out by UNODC. Hence, for some States, seizure data were also available for earlier years" (UNODC Study on Firearms 2015).

Table 3. Number of firearms seized by CFRM States as reported on the IAFQ - UNODC



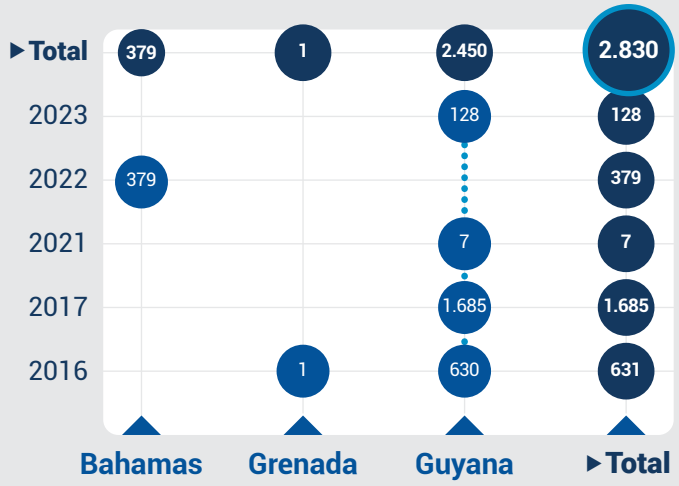
Source: Firearms Trafficking, UNODC; see https://dataunodc.un.org/dp-firearms-arms-seized

Table 4. Number of Ammunition seized by CFRM States as reported on the IAFQ - UNODC



Source: Firearms Trafficking, UNODC; see https://dataunodc.un.org/dp-firearms-parts-seized

Table 5. Number of parts and components seized by CFRM States as reported on the IAFQ - UNODC



Source: Firearms Trafficking, UNODC; see https://dataunodc.un.org/dp-firearms-parts-seized

Arms Trade Treaty

The Arms Trade Treaty (ATT) currently has 116 State Parties, including 14 CFRM States (as of 10 November 2024).[8] The ATT reporting framework comprises Initial and Annual reports, hence this document analyses both types.[9] State Parties must submit their Initial Reports within the first year of their accession to the Treaty, while Annual Reports cover activities from the previous calendar year and are required every year thereafter. Out of the 87 States that have submitted Initial Reports, nine are CFRM States. All nine States submitted their Initial Reports, as reflected in Table 6 below.

Table 6. Initial Reports submitted to the ATT Secretariat by CFRM States

State	Initial Report
Antigua and Barbuda	23/12/2015
Barbados	17/08/2016
Belize	16/06/2016
Dominican Republic	23/12/2015
Grenada	23/12/2015
Jamaica	23/12/2015
Saint Vincent and the Grenadines	23/12/2015
Suriname	16/01/2020
Trinidad and Tobago	23/12/2015

Source: Treaty Status, Arms Trade Treaty; see https://thearmstradetreaty.org/initial-reports.html?templateId=209839

8 The status of the ATT is available at https://thearmstradetreaty.org/treaty-status.html?templateId=209883

9 ATT Initial and Annual Reports templates are available at: https://www.thearmstradetreaty.org/reporting.html

Regarding ATT Annual Reports, six CFRM States submitted a total of 37 reports between 2015 and 2023, as show in Table 7.

Table 7. Annual reporting to the ATT by CFRM States

State Party	First Annual Report due date	2015	2016	2017	2018	2019	2020	2021	2022
Antigua and Barbuda	31 May 2016	●	●	●	●	●	●	●	●
Barbados	31 May 2017		●	●	●	●	●		
Dominican Republic	31 May 2016	●	●	●	●	●	●	●	●
Jamaica	31 May 2016	●	●	●	●	●	●	●	●
Suriname	31 May 2021								●
Trinidad and Tobago	31 May 2016	●	●	●	●	●	●	●	

Source: Reporting Requirements, Arms Trade Treaty; see https://thearmstradetreaty.org/annual-reports.html?templateId=209826

Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (CIFTA)

Thirteen CFRM States are Parties to the Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (CIFTA). Table 8 below provides details on the dates of signature, accession, and deposit.

Table 8. Status of CFRM States for the CIFTA

Country	Signature	Ratification	Deposit (RA: Ratification, AD: Adherence)
Antigua and Barbuda	11/14/97	3/12/03	03/27/03 RA
Bahamas	04/15/98	6/5/98	07/30/98 RA
Barbados	4/6/01	6/4/04	06/07/04 RA
Belize	11/14/97	11/17/97	01/12/98 RA
Dominica	-	09/14/04	10/20/04 AD
Dominican Republic	11/14/97	02/26/09	04/24/09 RA
Grenada	11/14/97	11/29/01	01/16/02 RA
Guyana	11/14/97	4/7/08	06/09/08 RA
Haiti	11/14/97	2/7/07	04/20/07 RA
Jamaica	11/14/97	-	-
St. Kitts and Nevis	11/14/97	5/10/04	05/25/04 RA
St. Lucia	6/3/98	01/23/03	04/30/03 RA
St. Vincent and Grenadines	11/14/97	8/6/12	08/17/12 RA
Suriname	11/14/97	03/14/08	05/05/08 RA
Trinidad and Tobago	5/12/98	01/23/04	02/13/04 RA

Source: Inter-American Treaties, OAS; see https://www.oas.org/en/sla/dil/inter_american_treaties_signatories.asp

At the third Conference of the State Parties to the CIFTA in 2012, State Parties adopted the "Course of Action 2012-2016 for the Operation and Implementation of the CIFTA." [10] Section 3, item (b) of this document establishes that every two years, State Parties must submit a Questionnaire on the Implementation and Effectiveness of the CIFTA, which collects information on the status of implementation of the Convention. Table 9 details the reporting status of CFRM States under this questionnaire.

Table 9. Reporting of CFRM States under the Questionnaire on the Implementation and Effectiveness of the CIFTA

State	2012	2016	2021	2024
Guyana				●
Saint Kitts and Nevis		●		
Santa Lucía				●
Suriname	●			
Trinidad and Tobago	●	●	●	

Source: Department against Transnational Organized Crime, Organization of American States (OAS).

10 Organization of American States (OAS).

16 PEACE AND JUSTICE

Indicator 16.4.2

Proportion of seized, found or surrendered arms whose illicit origin or context has been traced or established by a competent authority in line with international instruments.

Indicator 16.4.2 of the 2030 Agenda for Sustainable Development seeks to assess the effectiveness of the international community's efforts in combating illicit arms trafficking. It is calculated as:

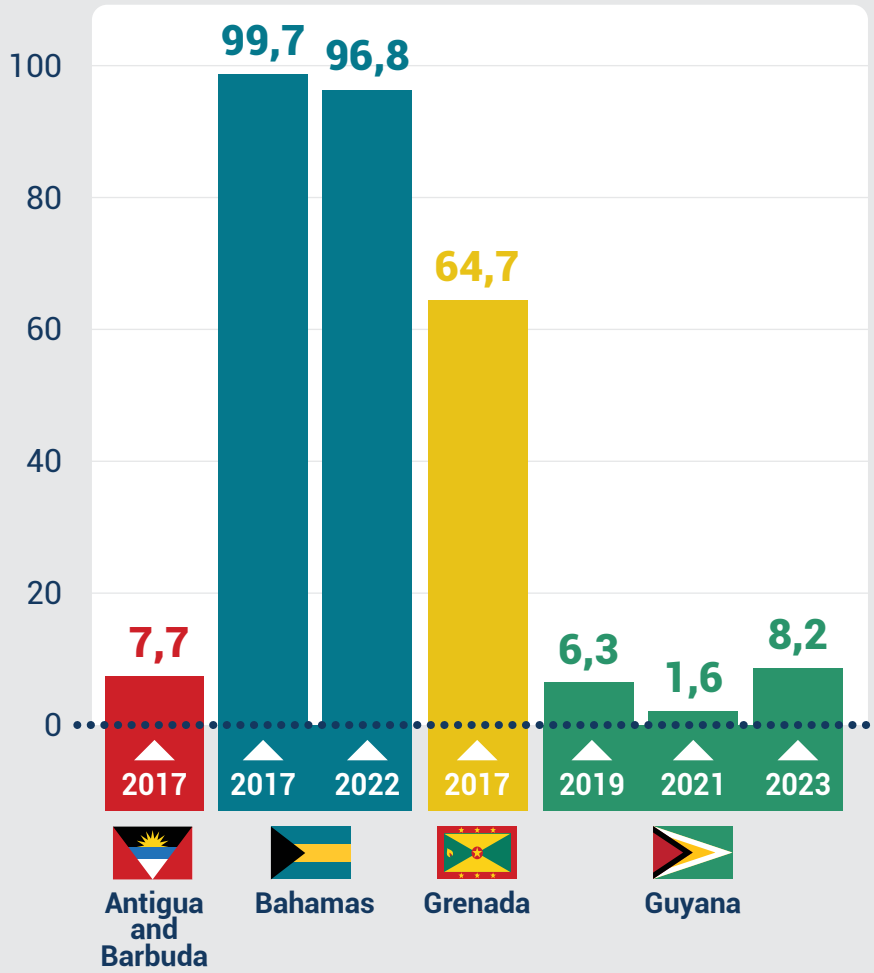
$$\frac{\textit{Number of arms for which the point of diversion was established/identified}}{\textit{Total number of arms seized, found and surrendered}}$$

The data required for the above calculation is gathered at a national level by law enforcement and other agencies responsible for firearms issues. Internationally, this information is mainly reported through tables 5.1 to 5.3 of the Firearms Protocol's IAFQ, supplemented by data submitted in the PoA's national reports.

Of the 15 States that adopted the CFRM which are also UN Member States, four —Antigua and Barbuda, Bahamas, Grenada, and Guyana— have reported data for SDG indicator 16.4.2. Notably, the focal points for indicator 16.4.2 in these four States are also the PoA's national points of contact.

INDICATOR 16.4.2 OF THE 2030 AGENDA FOR SUSTAINABLE DEVELOPMENT SEEKS TO ASSESS THE EFFECTIVENESS OF THE INTERNATIONAL COMMUNITY'S EFFORTS IN COMBATING ILLICIT ARMS TRAFFICKING.

Chart 1. Indicator 16.4.2. Proportion of seized, found, or surrendered arms whose illicit origin or context has been traced or confirmed by a competent authority in accordance with international instruments (in %)



Source: United Nations Department of Economic and Social Affairs, SDG Indicators Database, 2024.

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3. Mapping Data Collection Efforts: Methodology

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THIS CHAPTER OUTLINES THE METHODOLOGY AND ANALYTICAL APPROACH USED TO IDENTIFY SYNERGIES BETWEEN THE CFRM'S M&E FRAMEWORK AND THE REPORTING TOOLS OF THE RELEVANT INTERNATIONAL AND REGIONAL INSTRUMENTS ON FIREARMS.

3. Mapping Data Collection Efforts: Methodology

This chapter outlines the methodology and analytical approach used to identify synergies between the CFRM's M&E Framework and the reporting tools of the relevant international and regional instruments on firearms, as outlined in Table 10. It also details the definitions of the codes used to characterise these synergies.

Table 10. Relevant international and regional instruments on firearms and their reporting mechanisms

Instruments and their reporting mechanisms	
Instrument	Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons (PoA) International Tracing Instrument (ITI)
↳ **Reporting mechanism**	↳ National Reports on the implementation of the PoA and the ITI
Instrument	Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition (Firearms Protocol)
↳ **Reporting mechanism**	↳ UNTOC Review Mechanism; Illicit Arms Flow Questionnaire (IAFQ)
Instrument	Arms Trade Treaty (ATT)
↳ **Reporting mechanism**	↳ ATT Initial and Annual Reports
Instrument	The Inter-American Convention Against the Illicit Manufacture of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (CIFTA)
↳ **Reporting mechanism**	↳ Questionnaire on the Implementation and Effectiveness of CIFTA

Approach to Analysis

Each item within the CFRM's M&E Framework was compared to the items included in the reporting mechanisms of the four relevant instruments to identify alignments in the information they capture. This comparison was conducted in two stages: first, for the items of the Baseline Assessment Reporting Template, and second, for the items within the Annual KPI Reporting Template of the Roadmap. A coding scheme was developed to assess the degree of similarity between pairs. The codes used in this scheme are explained below.

EACH ITEM WITHIN THE CFRM'S M&E FRAMEWORK WAS COMPARED TO THE ITEMS INCLUDED IN THE REPORTING MECHANISMS OF THE FOUR RELEVANT INSTRUMENTS TO IDENTIFY ALIGNMENTS IN THE INFORMATION THEY CAPTURE.

Coding Scheme: Exact Match

Items within the CFRM's M&E Framework **capturing the same information** that items in the reporting questionnaire of a relevant instrument **were assigned the code "Exact Match."** While the wording of pairs of items may differ, they capture the same core concept. An example of an exact match is outlined in Table 11 below.

Table 11. An Example of an Exact Match

CFRM M&E Framework	CIFTA
Baseline Assessment (BA). Action 1.4.2, Item 1. Is there a licensing system to import and export firearms and ammunition, parts, and components? (Yes/No/Partial)	**Section VI, 37.** Does your national structure of laws and regulations provide for a system of licenses or permits pertaining to the import, export, and transit of firearms, ammunition, explosives, and other related materials? (Yes/No)

Coding Scheme: Partial Match

Pairings where an item in the reporting template of a relevant instrument **captures *some, but not all* of the information** in an item within the CFRM's M&E Framework **were coded as "Partial Match."** These pairs may differ in wording, and, to some extent, the underlying concept(s) being captured. An example of a "Partial Match" is outlined in Table 12 below.

Table 12. An Example of a Partial Match

CFRM M&E Framework	Firearms Protocol/IAFQ
KPI. Action 3.1.6, Item 1. Number of firearms interdictions/ seizures at ports and border crossings in reporting year	**Part 1, 3.1, 1 (Data File).** Total arms seized, by type of location 1. Planes and airports

Coding Scheme: Possible Match

Pairings in which an item from a reporting template of a relevant instrument ***may* capture some of the information** sought by an item from the CFRM M&E Framework **were coded as "Possible Match."** An example of a "Possible Match" is provided in Table 13 below.

Table 13. An Example of a Possible Match

CFRM M&E Framework	PoA/ITI
BA. Action 2.1.1, Item 1. Number of training courses in surveillance and/or detection of firearms conducted 2019	**Section 9, Item c.** Capacity-building and training on SALW issues a. Nature of the assistance: i. Financial: Requested/Received/ Provided (Select appropriate) ii. Technical: Requested/Received/ Provided (Select appropriate) b. Amount of assistance provided/ received (if financial) c. Description of the assistance activity: d. Duration of the assistance provided/received: e. State(s) or organization(s) that provided/received the assistance:

Coding Scheme: No Match

Items in the CFRM M&E Framework that **do not match** with any item in the reporting forms of the relevant instruments **were categorised as "No Match."** This indicates that there is no overlap or similarity in the information captured by the compared items.

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4. Mapping Data Collection Efforts: Analysis and Results

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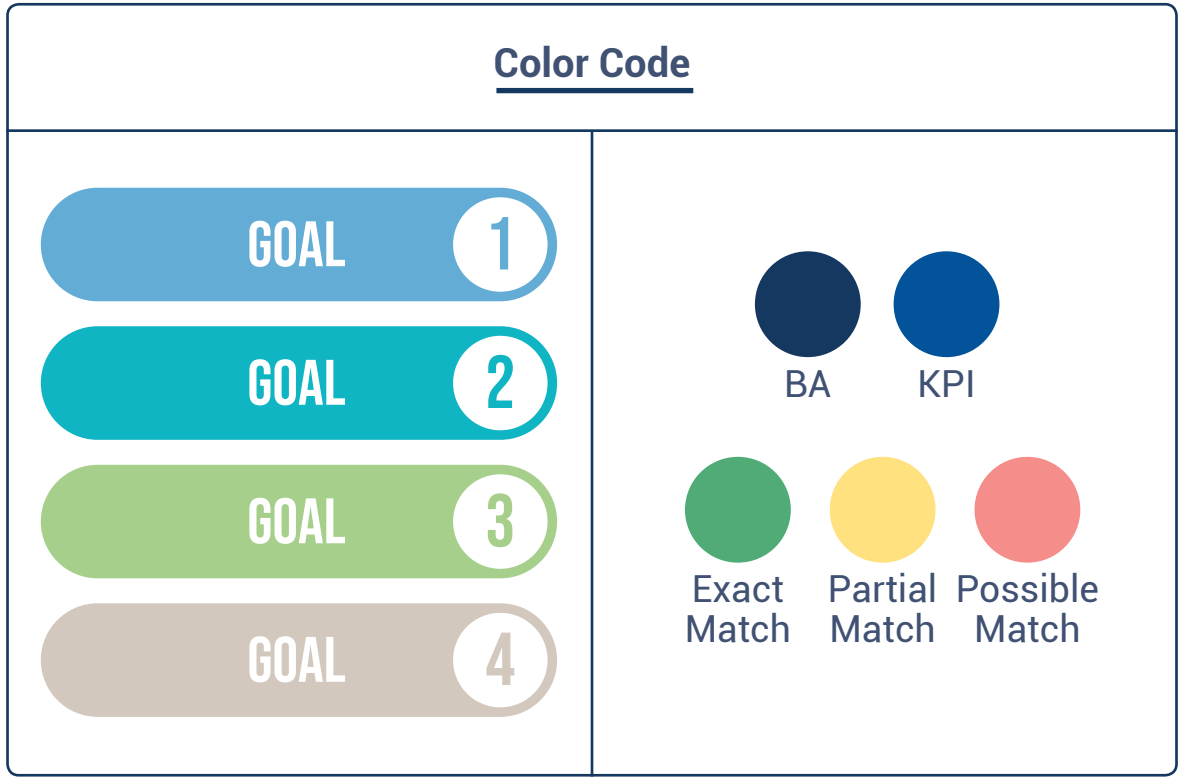
THIS CHAPTER PRESENTS THE ANALYSIS AND ITS RESULTS, ORGANIZED BY ROADMAP GOAL. SPECIFICALLY, IT OUTLINES THE SYNERGIES IDENTIFIED DURING THE COMPARISON OF THE QUESTIONS ATTACHED TO EACH ROADMAP PRIORITY ACTION AGAINST THE QUESTIONS IN THE REPORTING TEMPLATES OF THE RELEVANT INSTRUMENTS.

4. Mapping Data Collection Efforts: Analysis and Results

This chapter presents the analysis and its results, organized by Roadmap Goal. Specifically, it outlines the synergies identified during the comparison of the questions attached to each Roadmap Priority Action –both for the Baseline Assessment Reporting Template and the Annual KPI Reporting Template– against the questions in the reporting templates of the relevant instruments. Within each subsection, the results are arranged in line with the coding scheme: "Exact Matches," "Partial Matches," "Possible Matches", and "No Matches".

Each item within the CFRM's M&E Framework can match with item(s) of one or more of the reporting templates of the relevant instruments or have no matches. An item can also have a match or several matches within more than one category.



EACH ITEM WITHIN THE CFRM'S M&E FRAMEWORK CAN MATCH WITH ITEM(S) OF ONE OR MORE OF THE REPORTING TEMPLATES OF THE RELEVANT INSTRUMENTS OR HAVE NO MATCHES. AN ITEM CAN ALSO HAVE A MATCH OR SEVERAL MATCHES WITHIN MORE THAN ONE CATEGORY.

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GOAL 1

REINFORCE REGULATORY FRAMEWORKS GOVERNING FIREARMS AND AMMUNITION

Goal 1 ▶ Reinforce regulatory frameworks governing firearms and ammunition

For Goal 1 of the CFRM's M&E Framework, there are 43 items/questions within the Baseline Assessment Reporting Template and 47 items/questions within the Annual KPI Reporting Template. The identified matches are detailed below.

Goal 1: Exact Matches

Ten items/questions within Goal 1 of the CFRM's M&E Framework have exact matches with item(s) in at least one of the reporting forms of the four relevant instruments; specifically, eight items from the Baseline Assessment Reporting Template and two items from the Annual KPI Reporting Template. These ten items are listed in Table 14 below, with the corresponding matches from the relevant instruments outlined in Figure 1.

Table 14. Items from Goal 1 of the CFRM's M&E Framework with Exact Matches

Items from the CFRM's M&E Framework with Exact Matches	
Baseline Assessment (BA). Action 1.1.1, Item 12	Which applies to your country and the Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Relate d Materials (CIFTA)? (State Party/Signatory/ Neither)
BA. Action 1.1.2, Item 1	What was the last year your country submitted a national report with respect to the ATT?
BA. Action 1.1.2, Item 4	Has a Point of Contact or National Institution been designated for ATT? (Yes/No)
BA. Action 1.1.2, Item 5	Has a Point of Contact or National Institution been designated for CIFTA? (Yes/No)
BA. Action 1.2.2, Item 1	Does the national firearms legislation include illicit manufacturing of firearms, component parts and ammunition as a criminal offence? (Yes/No/Partially)
BA. Action 1.2.2, Item 2	Does the national firearms legislation include Illicit trafficking of firearms, component parts and ammunition as a criminal offence? (Yes/ No/Partially)
BA. Action 1.4.2, Item 1	Is there a licensing system to import and export firearms and ammunition, parts, and components? (Yes/No/Partial)
BA. Action 1.4.3, Item 2	Is there a risk evaluation criterion for the export of firearms and ammunition? (Yes/No/In progress)
KPI. Action 1.1.2, Item 1	What was the last year your country submitted a national report of the ATT?
KPI. Action 1.2.2, Item 1	Does the national firearms legislation include the illicit manufacturing of firearms as criminal offences? (Yes/No/Partially/Amendments in progress)

Goal 1: Partial Matches

Sixteen items under Goal 1 have partial matches with item(s) from at least one of the relevant instruments' reporting questionnaires; eight from the Baseline Assessment Reporting Template and eight from the Annual KPI Reporting Template. These 16 items are listed in Table 15 below, and the corresponding items with which they match are presented in Figure 1.

Table 15. Items from Goal 1 of the CFRM's M&E Framework with Partial Matches

Items from the CFRM's M&E Framework with Partial Matches	
Baseline Assessment (BA). Action 1.2.2, Item 1	Does the national firearms legislation include illicit manufacturing of firearms, component parts and ammunition as a criminal offence? (Yes/No/Partially)
BA. Action 1.2.2, Item 2	Does the national firearms legislation include illicit trafficking of firearms, component parts and ammunition as a criminal offence? (Yes/No/Partially)
BA. Action 1.2.2, Item 3	Does the national firearms legislation include falsifying or illicitly obliterating, removing or altering the markings on firearms as a criminal offence? (Yes/No/Partially)
BA. Action 1.2.2, Item 4	Please state relevant national legislation.
BA. Action 1.4.1, Item 1	Is there a national regulatory body to oversee arms transfers? (imports and exports) (Yes/No)
BA. Action 1.4.1, Item 2	If yes, please state name of body.

Items from the CFRM's M&E Framework with Partial Matches	
BA. Action 1.4.2, Item 1	Is there a licensing system to import and export firearms and ammunition, parts, and components? (Yes/No/Partially)
BA. Action 1.4.2, Item 4	Between 2019 and 2021, were there any diversion of firearms or ammunition imported into the country? (Yes/No/Unsure)
KPI. Action 1.2.2, Item 1	Does the national firearms legislation include the Illicit manufacturing of firearms as criminal offences? (Yes/No/Partially/Amendments in progress)
KPI. Action 1.2.2, Item 2	Does the national firearms legislation include the component parts and ammunition as criminal offences? (Yes/No/Partially/ Amendments in progress)
KPI. Action 1.2.2, Item 3	Firearms legislation include the removing or altering the markings on firearms as criminal offences (Yes/No/Partially/Amendments in progress)
KPI. Action 1.4.1, Item 1	Is there a regulatory body in place? (Yes/No/In progress)
KPI. Action 1.4.2, Item 2	Number of export authorisations for firearms and ammunitions in reporting year
KPI. Action 1.4.2, Item 3	Number of import authorisations for firearms and ammunitions in reporting year
KPI. Action 1.4.2, Item 7	Number of imported weapons in reporting year
KPI. Action 1.4.3, Item 2	Export risk assessment in place in line with ATT requirements (Yes/No/Partially/Amendments in progress)

Goal 1: Possible Matches

For Goal 1, six items from the CFRM's M&E Framework have possible matches: five items from the Baseline Assessment Reporting Template and one item from the Annual KPI Reporting Template. These items, along with their corresponding possible matches, are outlined in Table 16 and Figure 1, respectively.

Table 16. Item from Goal 1 of the CFRM's M&E Framework with a Possible Match

Items from the CFRM's M&E Framework with a Possible Match	
Baseline Assessment (BA). Action 1.1.1, Item 6	Has your country implemented any initiative in keeping with the UN Programme of Action (PoA)? (Yes /No)
BA. Action 1.1.1, Item 6 a	If yes, please state the initiative [filtered question]
BA. Action 1.1.2, Item 4	Has a Point of Contact or National Institution been designated for ATT? (Yes/No)
BA. Action 1.2.2, Item 2	Does the national firearms legislation include illicit trafficking of firearms, component parts and ammunition as a criminal offence? (Yes/ No/Partially)
BA. Action 1.2.2, Item 4	Please state relevant national legislation.
KPI. Action 1.1.1, Item 13	Please expand on State PoA initiatives that have taken place or are taking place.

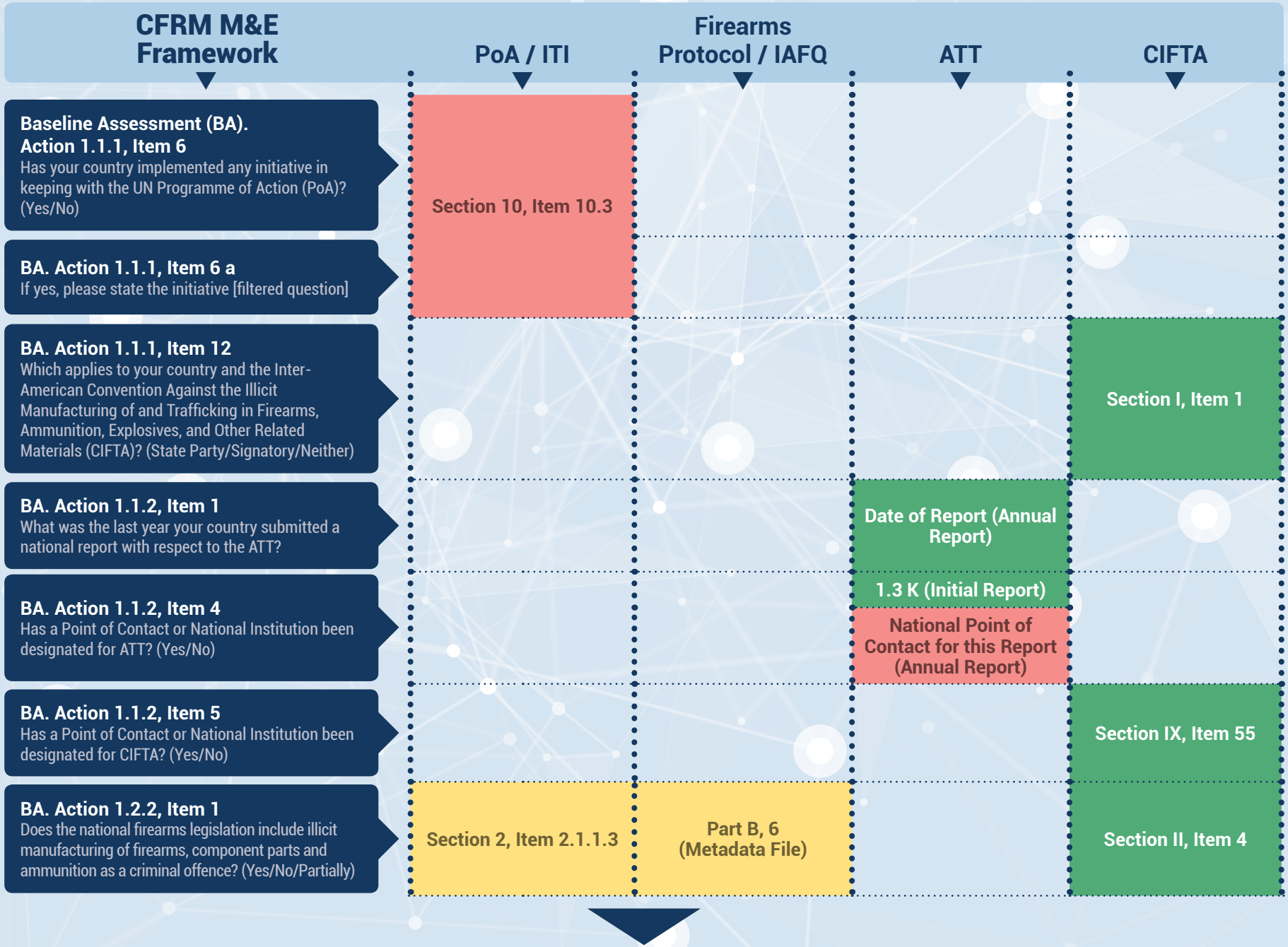
Goal 1: No Matches

Sixty-two items from the CFRM's M&E Framework have no identifiable matches with any of the reporting templates of the four relevant instruments: twenty-five items from the Baseline Assessment Reporting Template and thirty-seven items from the Annual KPI Reporting Template. These items are listed in Annexes 1 and 2.

FOR GOAL 1, SIX ITEMS FROM THE CFRM'S M&E FRAMEWORK HAVE POSSIBLE MATCHES: FIVE ITEMS FROM THE BASELINE ASSESSMENT REPORTING TEMPLATE AND ONE ITEM FROM THE ANNUAL KPI REPORTING TEMPLATE.

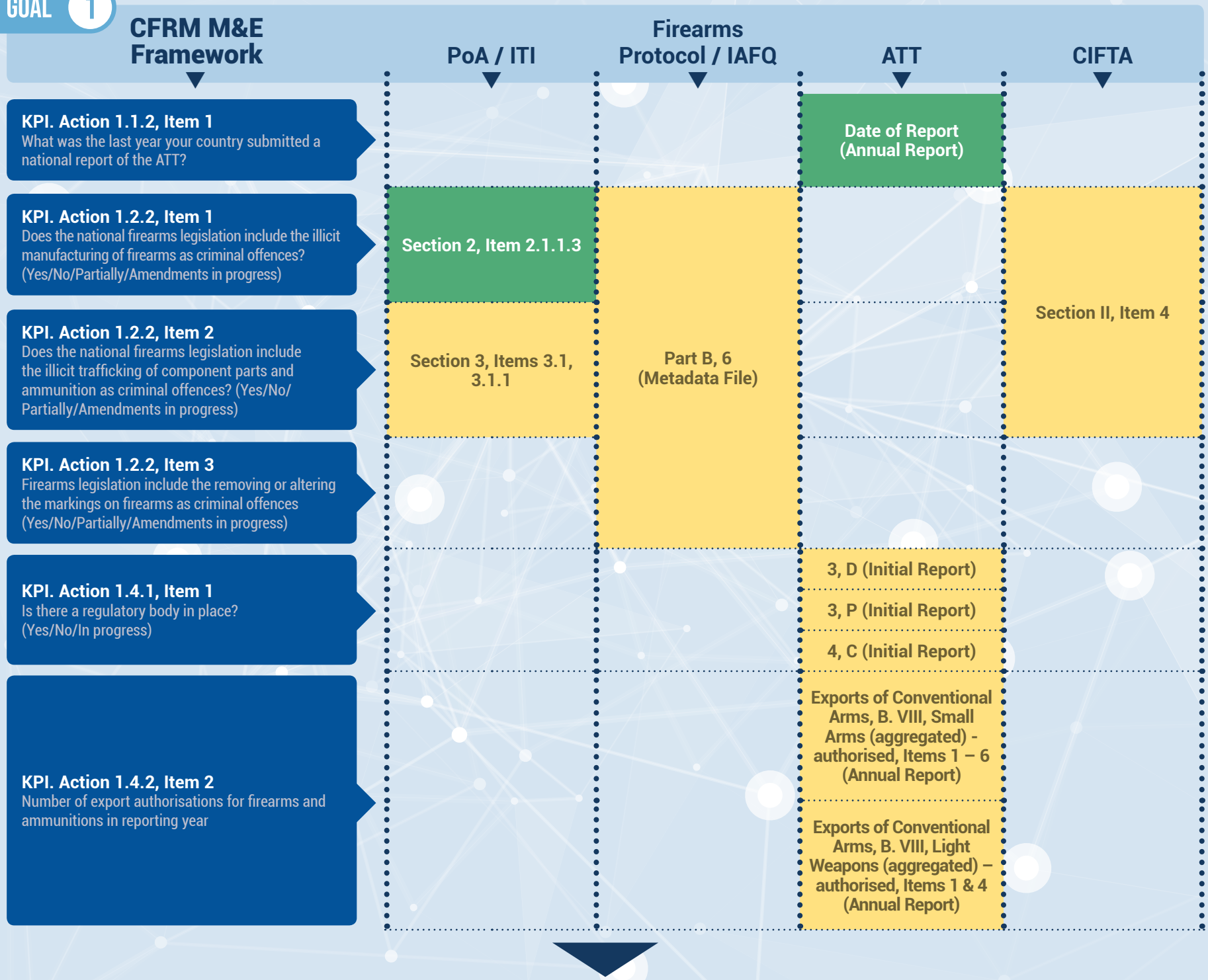
BA KPI Exact Match Partial Match Possible Match

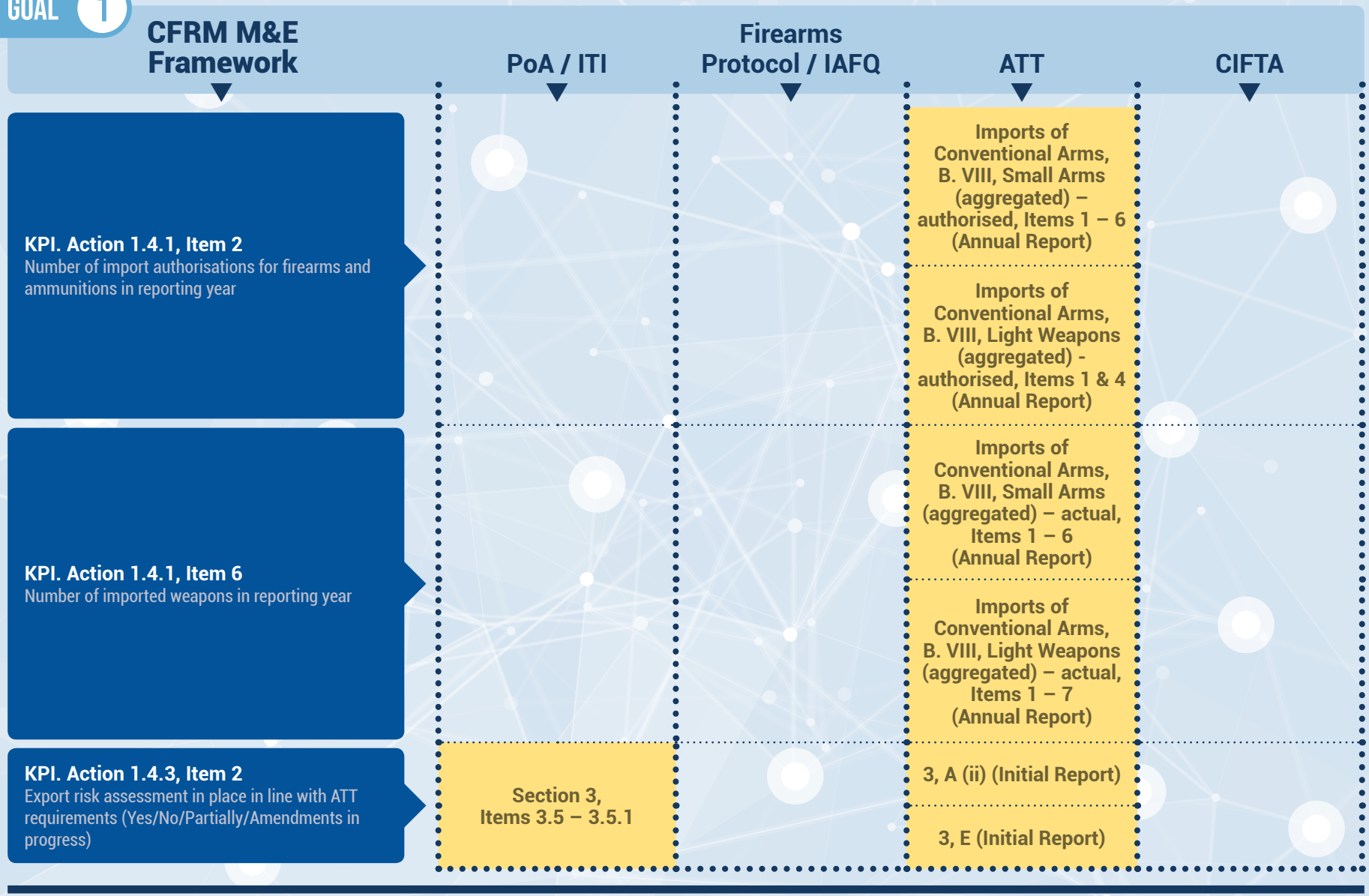
Figure 1. Matches for Items under Goal 1 of the CFRM's M&E Framework



CFRM M&E Framework

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	ATT	CIFTA
BA. Action 1.2.2, Item 2 Does the national firearms legislation include Illicit trafficking of firearms, component parts and ammunition as a criminal offence? (Yes/No/Partially)	Section 3, Items 3.1 & 3.1.1	Part B, 6 (Metadata File)		Section II, Item 4
BA. Action 1.2.2, Item 3 Does the national firearms legislation include falsifying or illicitly obliterating, removing or altering the markings on firearms as a criminal offence? (Yes/No/Partially)				
BA. Action 1.2.2, Item 4 Please state relevant national legislation	Section 2, Item 2.1.1; Section 3, Item 3.1.1			Section II, Item 4
BA. Action 1.4.1, Item 1 Is there a national regulatory body to oversee arms transfers (imports and exports)? (Yes/No) If yes, please state name of body.			3, D (Initial Report); 3, P (Initial Report); 4, C (Initial Report); 4, G (Initial Report)	
BA. Action 1.4.2, Item 1 Is there a licensing system to import and export firearms and ammunition, parts, and components? (Yes/No/Partial)	Section 3, Item 3.2		3, A (i) (Initial Report)	Section VI, Item 37
BA. Action 1.4.2, Item 4 Between 2019 and 2021, were there any diversion of firearms or ammunition imported into the country? (Yes/No/Unsure)	Section 3, Item 3.12.1; Section 3, Item 3.12.1.1			
BA. Action 1.4.3, Item 2 Is there a risk evaluation criterion for the export of firearms and ammunition? (Yes/No)	Section 3, Item 3.5		3, A (ii) (Initial Report)	
KPI. Action 1.1.1, Item 13 Please expand on State PoA initiatives that have taken place or are taking place.	Section 10, Item 10.3			


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
ATT
CIFTA
KPI. Action 1.1.2, Item 1
What was the last year your country submitted a national report of the ATT?
Date of Report (Annual Report)
KPI. Action 1.2.2, Item 1
Does the national firearms legislation include the illicit manufacturing of firearms as criminal offences? (Yes/No/Partially/Amendments in progress)
Section 2, Item 2.1.1.3
KPI. Action 1.2.2, Item 2
Does the national firearms legislation include the illicit trafficking of component parts and ammunition as criminal offences? (Yes/No/Partially/Amendments in progress)
Section 3, Items 3.1, 3.1.1
Part B, 6 (Metadata File)
Section II, Item 4
KPI. Action 1.2.2, Item 3
Firearms legislation include the removing or altering the markings on firearms as criminal offences (Yes/No/Partially/Amendments in progress)
KPI. Action 1.4.1, Item 1
Is there a regulatory body in place? (Yes/No/In progress)
3, D (Initial Report)
3, P (Initial Report)
4, C (Initial Report)
KPI. Action 1.4.2, Item 2
Number of export authorisations for firearms and ammunitions in reporting year
Exports of Conventional Arms, B. VIII, Small Arms (aggregated) - authorised, Items 1 – 6 (Annual Report)
Exports of Conventional Arms, B. VIII, Light Weapons (aggregated) – authorised, Items 1 & 4 (Annual Report)


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
ATT
CIFTA
KPI. Action 1.4.1, Item 2
Number of import authorisations for firearms and ammunitions in reporting year
KPI. Action 1.4.1, Item 6
Number of imported weapons in reporting year
KPI. Action 1.4.3, Item 2
Export risk assessment in place in line with ATT requirements (Yes/No/Partially/Amendments in progress)
Imports of Conventional Arms, B. VIII, Small Arms (aggregated) – authorised, Items 1 – 6 (Annual Report)
Imports of Conventional Arms, B. VIII, Light Weapons (aggregated) - authorised, Items 1 & 4 (Annual Report)
Imports of Conventional Arms, B. VIII, Small Arms (aggregated) – actual, Items 1 – 6 (Annual Report)
Imports of Conventional Arms, B. VIII, Light Weapons (aggregated) – actual, Items 1 – 7 (Annual Report)
Section 3, Items 3.5 – 3.5.1
3, A (ii) (Initial Report)
3, E (Initial Report)

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GOAL 2

REDUCE THE ILLICIT FLOW OF FIREARMS AND AMMUNITION INTO, WITHIN AND BEYOND THE REGION

Goal 2 ▶ Reduce the illicit flow of firearms and ammunition into, within and beyond the region

Goal 2 of the CFRM's M&E Framework includes 76 items/questions within the Baseline Assessment Reporting Template and 22 items/questions within the Annual KPI Reporting Template. The identified matches are listed below.

GOAL 2 OF THE CFRM'S M&E FRAMEWORK INCLUDES 76 ITEMS OR QUESIONS WITHIN THE BASELINE ASSESSMENT REPORTING TEMPLATE AND 22 ITEMS OR QUESTIONS WITHIN THE ANNUAL KPI REPORTING TEMPLATE.

Goal 2: Exact Matches

For Goal 2, there are no exact matches between items on the CFRM's M&E Framework and items on the reporting tools of the four relevant instruments on firearms.

Goal 2: Partial Matches

One item under Goal 2 of the CFRM's M&E Framework, specifically within the Annual KPI Reporting Template, has a partial match with items in the reporting templates of one of the relevant instruments. This item is listed in Table 17 below and the items with which it matches are referenced in Figure 2.

Table 17. Item from Goal 2 of the CFRM's M&E Framework with a Partial Match

Item from the CFRM's M&E Framework with a Partial Match	
KPI. Action 2.1.3, Item 2	Please provide qualitative information on the quality or volume of your State's interdictions. Have they added knowledge from ISAAPC (or other courses) into their national curricular?

A TOTAL OF 63 ITEMS ON THE CFRM'S M&E FRAMEWORK FOR GOAL 2 HAVE POSSIBLE MATCHES WITH ITEMS IN THE QUESTIONNAIRES OF AT LEAST ONE OF THE RELEVANT INSTRUMENTS.

Goal 2: Possible Matches

A total of 63 items on the CFRM's M&E Framework for Goal 2 have possible matches with items in the questionnaires of at least one of the relevant instruments. Fifty-four of these items are from the Baseline Assessment Reporting Template, while nine are from the Annual KPI Reporting Template. These items are listed in Table 18 below, and the items with which they match are detailed in Figure 2.

Table 18. Items from Goal 2 of the CFRM's M&E Framework with Possible Matches

Items from the CFRM's M&E Framework with Possible Matches	
Baseline Assessment (BA). Action 2.1.1, Item 1/ 2/ 3	Number of training courses in surveillance and/ or detection of firearms conducted 2019/ 2020/ 2021
BA. Action 2.1.1, Item 1 a/ 2 a/ 3 a	[For each course] Name of the course [filtered question]
BA. Action 2.1.1, Item 1 b/ 2 b/ 3 b	[For each course] National or International [filtered question]
BA. Action 2.1.1, Item 1 c/ 2 c/ 3 c	[For each course] List agencies in course [filtered question]
BA. Action 2.1.1, Item 1 d/ 2 d/ 3 d	[For each course] Males trained in course [filtered question]
BA. Action 2.1.1, Item 1 e/ 2 e/ 3 e	[For each course] Females trained in course [filtered question]
BA. Action 2.1.2, Item 2/ 3/ 4	Number of training courses in interdiction of firearms, ammunition, parts, or components conducted 2019/ 2020/ 2021

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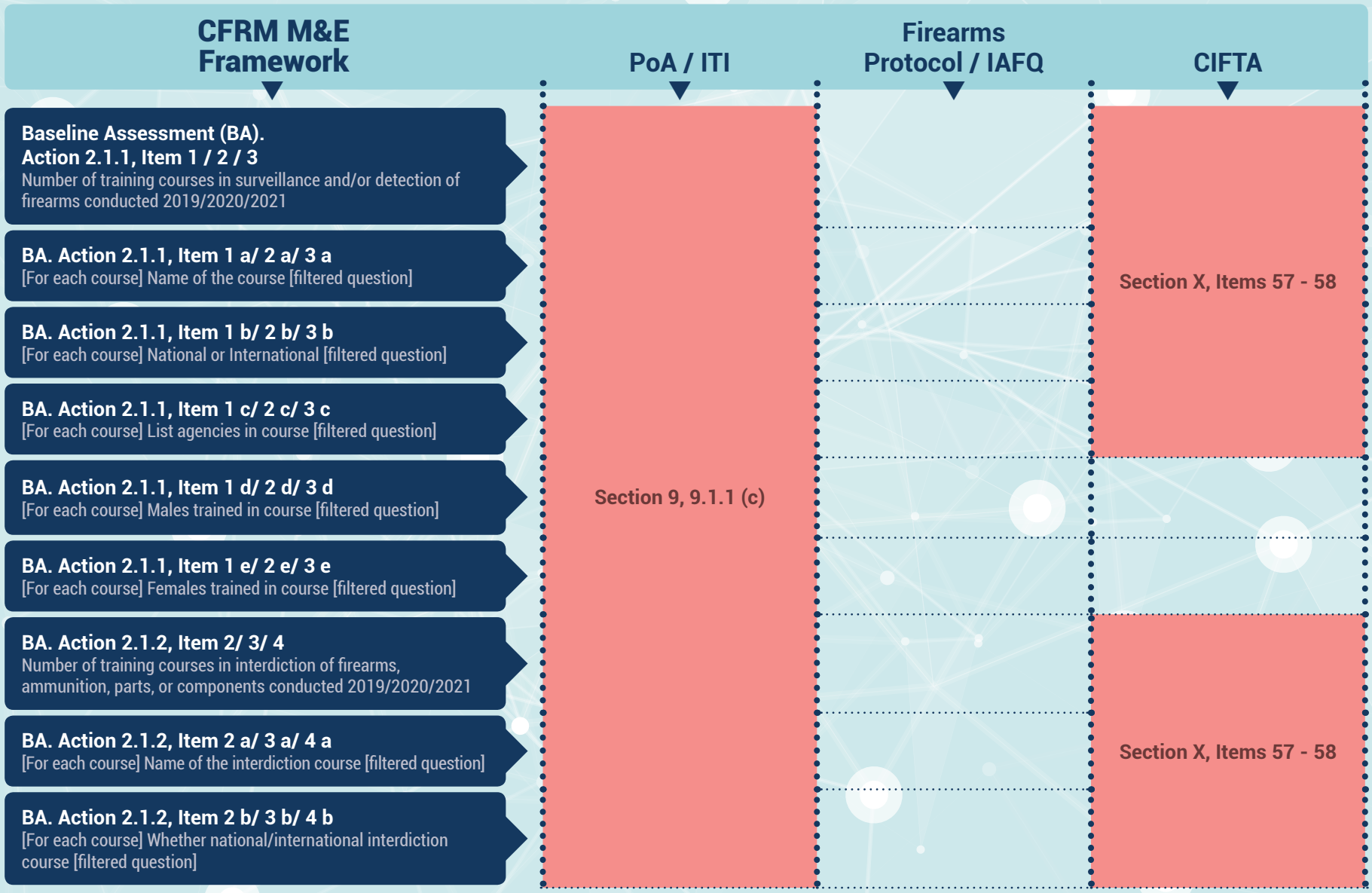
Items from the CFRM's M&E Framework with Possible Matches	
BA. Action 2.1.2, Item 2a/ 3a/ 4a	[For each course] Name of the interdiction course [filtered question]
BA. Action 2.1.2, Item 2b/ 3b/ 4b	[For each course] Whether National/ International interdiction course [filtered question]
BA. Action 2.1.2, Item 2c/ 3c/ 4c	[For each course] Name of agencies trained during course [filtered question]
BA. Action 2.1.2, Item 2d/ 3d/ 4d	[For each course] Number of males [filtered question]
BA. Action 2.1.2, Item 2e/ 3e/ 4e	[For each course] Number of females [filtered question]
BA. Action 2.1.2, Item 5/ 6/ 7	Number of training courses in identification of firearms, ammunition, parts, or components conducted 2019/ 2020/ 2021
BA. Action 2.1.2, Item 5a/ 6a/ 7a	[For each course] Name of the identification course [filtered question]
BA. Action 2.1.2, Item 5b/ 6b/ 7b	[For each course] Whether National/ International identification course [filtered question]
BA. Action 2.1.2, Item 5c/ 6c/ 7c	[For each course] Name of agencies trained during course [filtered question]
BA. Action 2.1.2, Item 5d/ 6d/ 7d	[For each course] Number of males [filtered question]
BA. Action 2.1.2, Item 5e/ 6e/ 7e	[For each course] Number of females [filtered question]
KPI. Action 2.1.1, Item 1	Number of specialised surveillance and firearm detection courses
KPI. Action 2.1.1, Item 2	Number of participating agencies

Items from the CFRM's M&E Framework with Possible Matches	
KPI. Action 2.1.1, Item 3	Number of male participants
KPI. Action 2.1.1, Item 4	Number of female participants
KPI. Action 2.1.1, Item 5	Please provide qualitative supporting information on the training your State has received, and any examples of new knowledge/ skills being employed as a result. Please provide specific examples where possible
KPI. Action 2.1.2, Item 1	Number of agencies involved in specialised interdiction courses
KPI. Action 2.1.2, Item 2	Number of male participants involved in specialised interdiction courses
KPI. Action 2.1.2, Item 3	Number of female participants involved in specialised interdiction courses
KPI. Action 2.1.2, Item 4	Please provide further qualitative information on the types of training provided, specifically the outcomes of such trainings. E.g. successful seizures interdictions, following training or interagency cooperation leading to seizures

Goal 2: No Matches

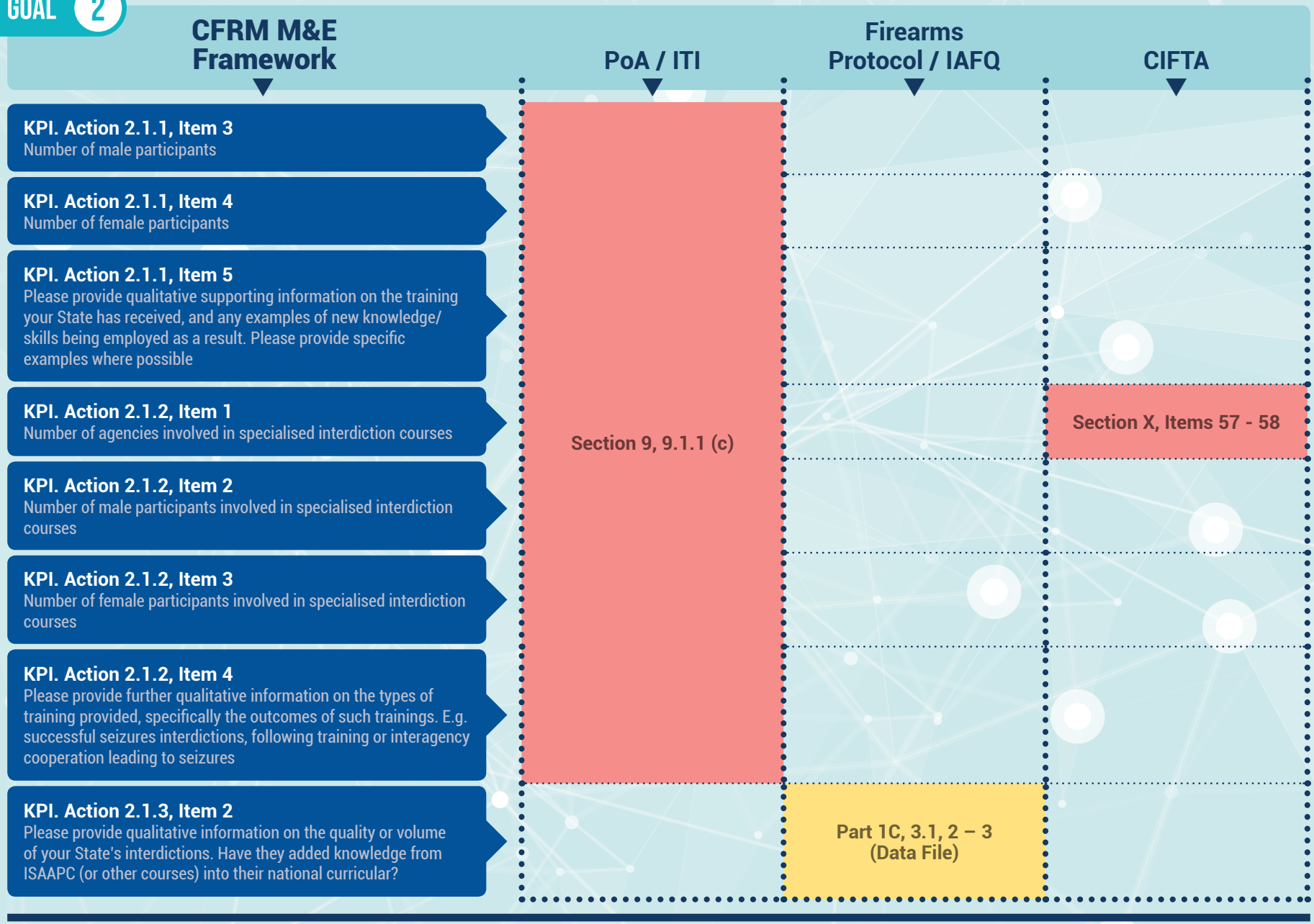
A total of thirty-four items on the CFRM's M&E Framework for Goal 2 have no matches with items in the reporting forms of the relevant instruments: twenty-two from the BA Reporting Template and twelve from the Annual KPI Reporting Template. These items are listed in Annexes 1 and 2.

Figure 2. Matches for Items under Goal 2 of the CFRM's M&E Framework[11]



11 The Arms Trade Treaty and CIFTA are not included within Figure 2 as no matches for the items within the CFRM's M&E Framework for Goal 2 were identified within their Reporting Templates/instruments.

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
BA. Action 2.1.2, Item 2 c/ 3 c/ 4 c [For each course] Name of agencies trained during course [filtered question]	Section 9, 9.1.1 (c)		Section X, Items 57 - 58
BA. Action 2.1.2, Item 2 d/ 3 d/ 4 d [For each course] Number of males [filtered question]			
BA. Action 2.1.2, Item 2 e/ 3 e/ 4 e [For each course] Number of females [filtered question]			
BA. Action 2.1.2, Item 5/ 6/ 7 Number of training courses in identification of firearms, ammunition, parts, or components conducted 2019			Section X, Items 57 - 58
BA. Action 2.1.2, Item 5 a/ 6 a/ 7 a [For each course] Name of the identification course [filtered question]			
BA. Action 2.1.2, Item 5 b/ 6 b/ 7 b [For each course] Whether National/International identification course [filtered question]			
BA. Action 2.1.2, Item 5 c/ 6 c/ 7 c [For each course] Name of agencies trained during course [filtered question]			
BA. Action 2.1.2, Item 5 d/ 6 d/ 7 d [For each course] Number of males [filtered question]			
BA. Action 2.1.2, Item 5 e/ 6 e/ 7 e [For each course] Number of females [filtered question]			Section X, Items 57 - 58
KPI. Action 2.1.1, Item 1 Number of specialised surveillance and firearm detection courses			
KPI. Action 2.1.1, Item 2 Number of participating agencies			


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
KPI. Action 2.1.1, Item 3
Number of male participants
KPI. Action 2.1.1, Item 4
Number of female participants
KPI. Action 2.1.1, Item 5
Please provide qualitative supporting information on the training your State has received, and any examples of new knowledge/ skills being employed as a result. Please provide specific examples where possible
KPI. Action 2.1.2, Item 1
Number of agencies involved in specialised interdiction courses
KPI. Action 2.1.2, Item 2
Number of male participants involved in specialised interdiction courses
KPI. Action 2.1.2, Item 3
Number of female participants involved in specialised interdiction courses
KPI. Action 2.1.2, Item 4
Please provide further qualitative information on the types of training provided, specifically the outcomes of such trainings. E.g. successful seizures interdictions, following training or interagency cooperation leading to seizures
KPI. Action 2.1.3, Item 2
Please provide qualitative information on the quality or volume of your State's interdictions. Have they added knowledge from ISAAPC (or other courses) into their national curricular?
Section 9, 9.1.1 (c)
Section X, Items 57 - 58
Part 1C, 3.1, 2 – 3 (Data File)

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GOAL 3

BOLSTER LAW ENFORCEMENT CAPACITY TO COMBAT ILLICIT FIREARMS AND AMMUNITION TRAFFICKING AND THEIR ILLICIT POSSESSION AND MISUSE

Goal 3 ▶ Bolster law enforcement capacity to combat illicit firearms and ammunition trafficking and their illicit possession and misuse

Goal 3 of the CFRM's M&E Framework includes one hundred and fifty-four items: seventy-one in the Baseline Assessment (BA) Reporting Template and eighty-three in the Annual KPI Reporting Template. The identified matches between these items and those in the reporting questionnaires of the relevant instruments are described below.

THERE ARE 17 EXACT MATCHES BETWEEN THE ITEMS ON THE REPORTING TEMPLATES OF THE RELEVANT INSTRUMENTS AND THE CFRM M&E FRAMEWORK FOR GOAL 3: 15 FOR THE BASELINE ASSESSMENT REPORTING TEMPLATE AND 2 FOR THE ANNUAL KPI REPORTING TEMPLATE.

Goal 3: Exact Matches

There are 17 exact matches between the items on the reporting templates of the relevant instruments and the CFRM M&E Framework for Goal 3: 15 for the BA Reporting Template and 2 for the Annual KPI Reporting Template. The items from the Framework that have these matches are listed in Table 19 below. The items with which they match are listed in Figure 3.

Table 19. Items from Goal 3 of the CFRM's M&E Framework with Exact Matches

Items from Goal 3 of the CFRM's M&E Framework with Exact Matches	
Baseline Assessment (BA). Action 3.1.1, Item 1/ 2/ 3	How many illicit firearms were recovered in 2019/ 2020/ 2021?
BA. Action 3.1.1, Item 4/ 5/ 6	How many illicit firearms recovered and had serial numbers obliterated in 2019/ 2020/ 2021?
BA. Action 3.1.6, Item 1/ 2/ 3	Number of firearms interdicted/seized at sea ports in 2019/ 2020/ 2021
BA. Action 3.1.6, Item 4/ 5/ 6	Number of firearms interdicted/seized at air ports in 2019/ 2020/ 2021
BA. Action 3.1.6, Item 7/ 8/ 9	Number of firearms interdicted/seized at border crossings in 2019/ 2020/ 2021 (if applicable)
KPI. Action 3.1.1, Item 1	Number of recovered firearms traced in reporting year
KPI. Action 3.1.1, Item 2	Number of illicit firearms recovered in the reporting year

Goal 3: Partial Matches

Thirty-seven items within the CFRM's M&E Framework have partial matches with items on the reporting forms of the relevant instruments: thirty from the BA Reporting Template and seven from the Annual KPI Reporting Template. The former are outlined in Table 20 below, and the items with which they match are listed in Figure 3.

Table 20. Items from Goal 3 of the CFRM's M&E Framework with Partial Matches

Items from Goal 3 of the CFRM's M&E Framework with Partial Matches	
Baseline Assessment (BA). Action 3.1.1, Item 1/ 2/ 3	How many illicit firearms were recovered in 2019/ 2020/ 2021?
BA. Action 3.1.1, Item 10/ 11/ 12	How many trace requests were submitted to eTrace in 2019/ 2020/ 2021?
BA. Action 3.1.1, Item 13/ 14/ 15	How many requests were successfully traced on eTrace in 2019/ 2020/ 2021?
BA. Action 3.1.1, Item 16/ 17/ 18	How many trace requests were submitted to iARMS in 2019/ 2020/ 2021?
BA. Action 3.1.1, Item 19/ 20/ 21	How many requests were successfully traced on iARMS in 2019/ 2020/ 2021?
BA. Action 3.1.4, Item 1	Is there a national information sharing platform to disseminate information between different national bodies? (Yes/No)
BA. Action 3.1.4, Item 5	Is there a platform that shares information regionally? (Yes/No)
BA. Action 3.4.2, Item 1/ 2/ 3	How many requests to trace in your national firearms database, did you receive from other countries? – eTrace – 2019/ 2020/ 2021
BA. Action 3.4.2, Item 4/ 5/ 6	How many requests to trace in your national firearms database, did you receive from other countries? - iARMS – 2019/ 2020/ 2021
BA. Action 3.4.2, Item 7/ 8/ 9	Which countries, if any, made request to trace on your database? – 2019/ 2020/ 2021
BA. Action 3.4.3, Item 1	Do you query firearms via iARMS? (Yes/No)
BA. Action 3.4.3, Item 2/ 3/ 4	If yes, how many firearms were queried via iARMS in 2019/ 2020/ 2021?
KPI. Action 3.1.1, Item 1	Number of recovered firearms traced in reporting year
KPI. Action 3.1.1, Item 2	Number of illicit firearms recovered in the reporting year
KPI. Action 3.1.4, Item 1	National information sharing platform for firearms, ammunition, and explosives in place (Yes/No/In progress)
KPI. Action 3.1.6, Item 1	Number of firearms interdictions/seizures at ports and border crossings in reporting year
KPI. Action 3.4.2, Item 1	Number of requests received from other countries to trace in your national firearms database
KPI. Action 3.4.2, Item 2	Please list countries you received requests from

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Goal 3: Possible Matches

One hundred and ten items within the CFRM M&E Framework have possible matches with items on the reporting templates of the relevant instruments: eighty from the BA Reporting Template and thirty from the Annual KPI Reporting Template. These items are outlined in Table 21 below, and the items with which they have possible matches are listed in Figure 3.

Table 21. Items from Goal 3 of the CFRM's M&E Framework with Possible Matches

Items from Goal 3 of the CFRM's M&E Framework with Possible Matches	
Baseline Assessment (BA). Action 3.1.4, Item 2	If yes, what is the name of the national information platform?
BA. Action 3.1.4, Item 6	If yes, what is the name of the platform to share information?
BA. Action 3.1.9, Item 1/ 2/ 3	Number of training courses in identification of firearms conducted in 2019/ 2020/ 2021
BA. Action 3.1.9, Item 1 a/ 2 a/ 3 a	[For each course] Name of the course [filtered question]
BA. Action 3.1.9, Item 1 b/ 2 b/ 3 b	[For each course] National or International [filtered question]
BA. Action 3.1.9, Item 1 c/ 2 c/ 3 c	[For each course] Name of the organization [filtered question]
BA. Action 3.1.9, Item 1 d/ 2 d/ 3 d	[For each course] For Law Enforcement [filtered question]
BA. Action 3.1.9, Item 1 e/ 2 e/ 3 e	[For each course] For Judges [filtered question]
BA. Action 3.1.9, Item 1 f/ 2 f/ 3 f	[For each course] For Prosecutors [filtered question]
BA. Action 3.1.9, Item 1 g/ 2 g/ 3 g	[For each course] For Defence Attorneys [filtered question]
BA. Action 3.1.9, Item 1 h/ 2 h/ 3 h	[For each course] Males trained in course [filtered question]
BA. Action 3.1.9, Item 1 i/ 2 i/ 3 i	[For each course] Females trained in course [filtered question]
BA. Action 3.1.10, Item 1/ 4/ 7	Specialized firearms and ammunition training provided to criminal investigator in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 2/ 5/ 8	Male criminal investigator attended specialized firearms and ammunition training in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 3/ 6/ 9	Female criminal investigator attended specialized firearms and ammunition training in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 10/ 13/ 16	Specialized firearms and ammunition training provided to judges in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 11/ 14/ 17	Male judges attended specialized firearms and ammunition training in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 12/ 15/ 18	Female judges attended specialized firearms and ammunition training in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 19/ 22/ 25	Specialized firearms and ammunition training provided to prosecutor in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 20/ 23/ 26	Male prosecutor attended specialized firearms and ammunition training in 2019/ 2020/ 2021
BA. Action 3.1.10, Item 21/ 24/ 27	Female prosecutor attended specialized firearms and ammunition training in 2019/ 2020/ 2021

Items from Goal 3 of the CFRM's M&E Framework with Possible Matches	
BA. Action 3.1.10, Item 28/ 29/ 30	Organization that provided the training on firearms and ammunition in 2019/ 2020/ 2021
BA. Action 3.1.11, Item 1/ 2/ 3	How many specialized firearms investigation courses with a gender perspective were delivered in 2019/ 2020/ 2021?
BA. Action 3.1.11, Item 4/ 5/ 6	If any, list 3 major gender components of the course - 2019
BA. Action 3.1.11, Item 7/ 8/ 9	Number of males trained on gender perspectives – 2019/ 2020/ 2021
BA. Action 3.1.11, Item 10/11/12	Number of females trained on gender perspectives – 2019/ 2020/ 2021
BA. Action 3.2.1, Item 1	Is there a national database of illicit firearms data that includes data from customs and police? (Yes/No)
BA. Action 3.2.1, Item 2	Please list agencies that have access.
BA. Action 3.2.1, Item 3	Is there a database and/or tracking mechanism used to monitor illicit trafficking flows? (Yes/No)
BA. Action 3.2.1, Item 4	Please provide name of database/ mechanism
BA. Action 3.2.1, Item 5	Do they have measures in place to report on SDG 16.4.2 indicator?
BA. Action 3.2.1, Item 5 a	[If yes] Please provide further information [filtered question]
BA. Action 3.4.3, Item 1	Do you query firearms via iARMS? (Yes/No)
KPI. Action 3.1.5, Item 1	JITF inclusive of a tracing team/persons established (Yes/No/In progress)

Items from Goal 3 of the CFRM's M&E Framework with Possible Matches	
KPI. Action 3.1.6, Item 2	Please provide any further qualitative information on the interdiction and seizures that demonstrate support for this priority action
KPI. Action 3.1.9, Item 1	Number of Judicial Officers trained on relevant regulations and firearm identification - Male
KPI. Action 3.1.9, Item 2	Number of Judicial Officers trained on relevant regulations and firearm identification - Female
KPI. Action 3.1.9, Item 3	Number of Law Enforcement trained on relevant regulations and firearm identification - Male
KPI. Action 3.1.9, Item 4	Number of Law Enforcement trained on relevant regulations and firearm identification - Female
KPI. Action 3.1.9, Item 5	Number of Police Prosecutors trained on relevant regulations and firearm identification - Male
KPI. Action 3.1.9, Item 6	Number of Police Prosecutors trained on relevant regulations and firearm identification - Female
KPI. Action 3.1.9, Item 7	Number of Public Prosecutors trained on relevant regulations and firearm identification - Male
KPI. Action 3.1.9, Item 8	Number of Public Prosecutors trained on relevant regulations and firearm identification - Female
KPI. Action 3.1.9, Item 9	Number of public/private defence trained on relevant regulations and firearm identification - Male
KPI. Action 3.1.9, Item 10	Number of public/private defence trained on relevant regulations and firearm identification - Female

Items from Goal 3 of the CFRM's M&E Framework with Possible Matches	
KPI. Action 3.1.9, Item 11	Please provide further detail on the training courses provided and examples of things that may have been done differently as a result. E.g. new processes etc. Please provide examples of challenges or successes
KPI. Action 3.1.10, Item 1	Number of Judicial Officers who have received specialised training - Male
KPI. Action 3.1.10, Item 2	Number of Judicial Officers who have received specialised training - Female
KPI. Action 3.1.10, Item 3	Number of Police Prosecutors who have received specialised training - Male
KPI. Action 3.1.10, Item 4	Number of Police Prosecutors who have received specialised training - female
KPI. Action 3.1.10, Item 5	Number of Law Enforcement who have received specialised training - male
KPI. Action 3.1.10, Item 6	Number of Law Enforcement who have received specialised training - female
KPI. Action 3.1.10, Item 7	Please provide further details on the impact of the received training and any challenges or successes
KPI. Action 3.1.11, Item 1	Has your State received training on firearms investigations from a gendered perspective? (Yes/No)
KPI. Action 3.1.11, Item 2	Number of persons trained on firearms investigations from a gendered perspective – male
KPI. Action 3.1.11, Item 3	Number of persons trained on firearms investigations from a gendered perspective – female
KPI. Action 3.1.11, Item 4	Please expand on gender and firearms related course your State may have received and provide examples of impact or change as a result of those courses. Provide examples of challenges and successes

Items from Goal 3 of the CFRM's M&E Framework with Possible Matches	
KPI. Action 3.2.1, Item 1	Establish a shared national database/ tracking mechanism to collect illicit firearms data for analysis (Yes/No/In progress)
KPI. Action 3.2.1, Item 2	Do you have a measure in place to track SDG 16.4.2? (Yes/No/In progress)
KPI. Action 3.2.1, Item 3	Please provide further details on what databases your State has in place currently and plans to update or reform existing databases. Please identify challenges and success
KPI. Action 3.2.2, Item 1	Number of specialised trainings that covers links between firearms trafficking and other transnational organised crime and/or terrorism
KPI. Action 3.4.2, Item 4	Please expand on the process for requests, the volume, the number that are initiated, the countries that request the information and any challenges or successes you can point to
KPI. Action 3.4.3, Item 3	Please provide details on the experience with iARMS and the trends and patterns you are seeing in your State. Please highlight issues and successes where possible/relevant

Goal 3: No Matches

A total of 130 items under Goal 3 of the CFRM M&E Framework have no matches: 84 from the BA Reporting Template and 46 from the Annual KPI Reporting Template. These items are listed in Annexes 1 and 2.

BA KPI Exact Match Partial Match Possible Match

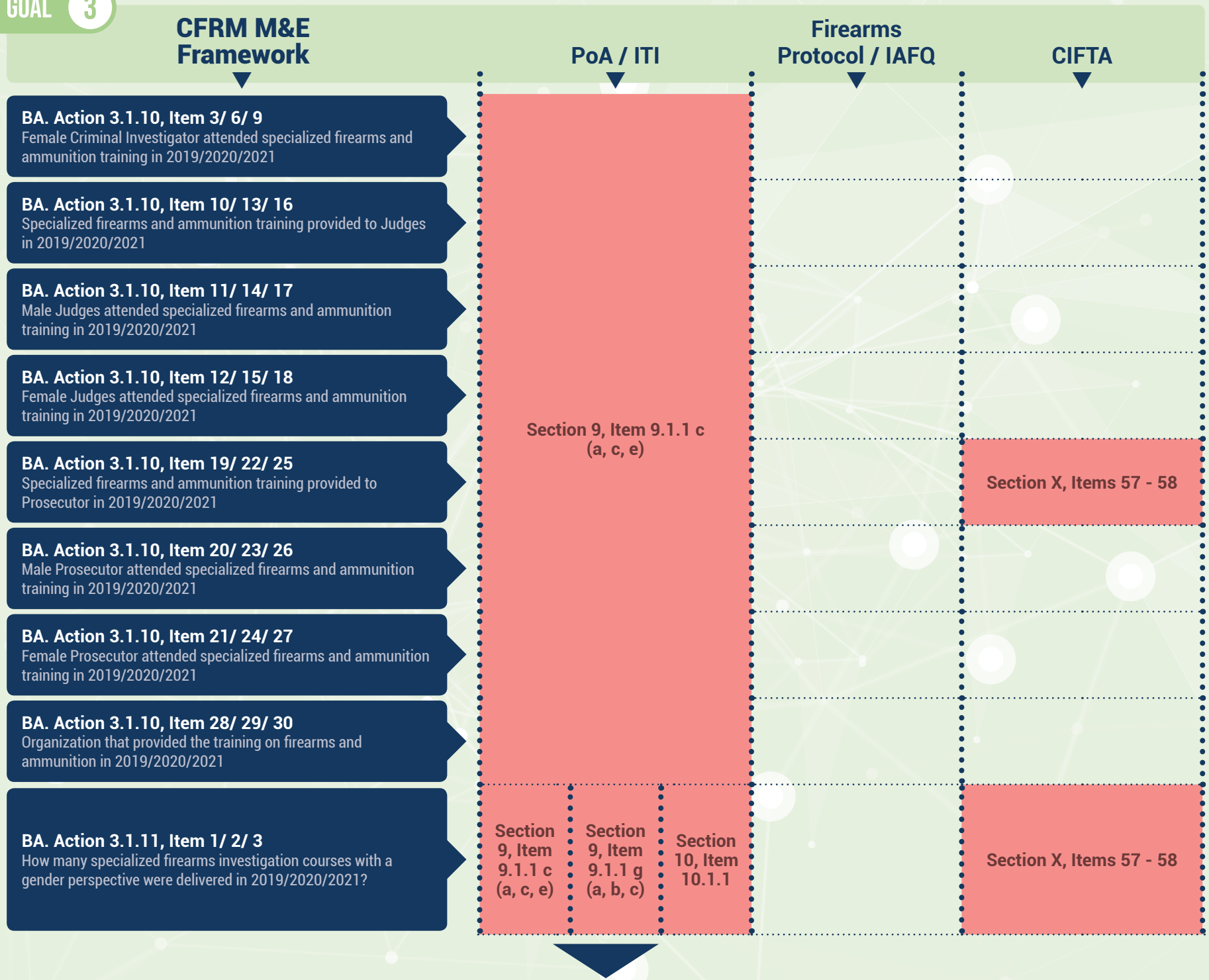
Figure 3. Matches for Items under Goal 3 of the CFRM's M&E Framework[12]

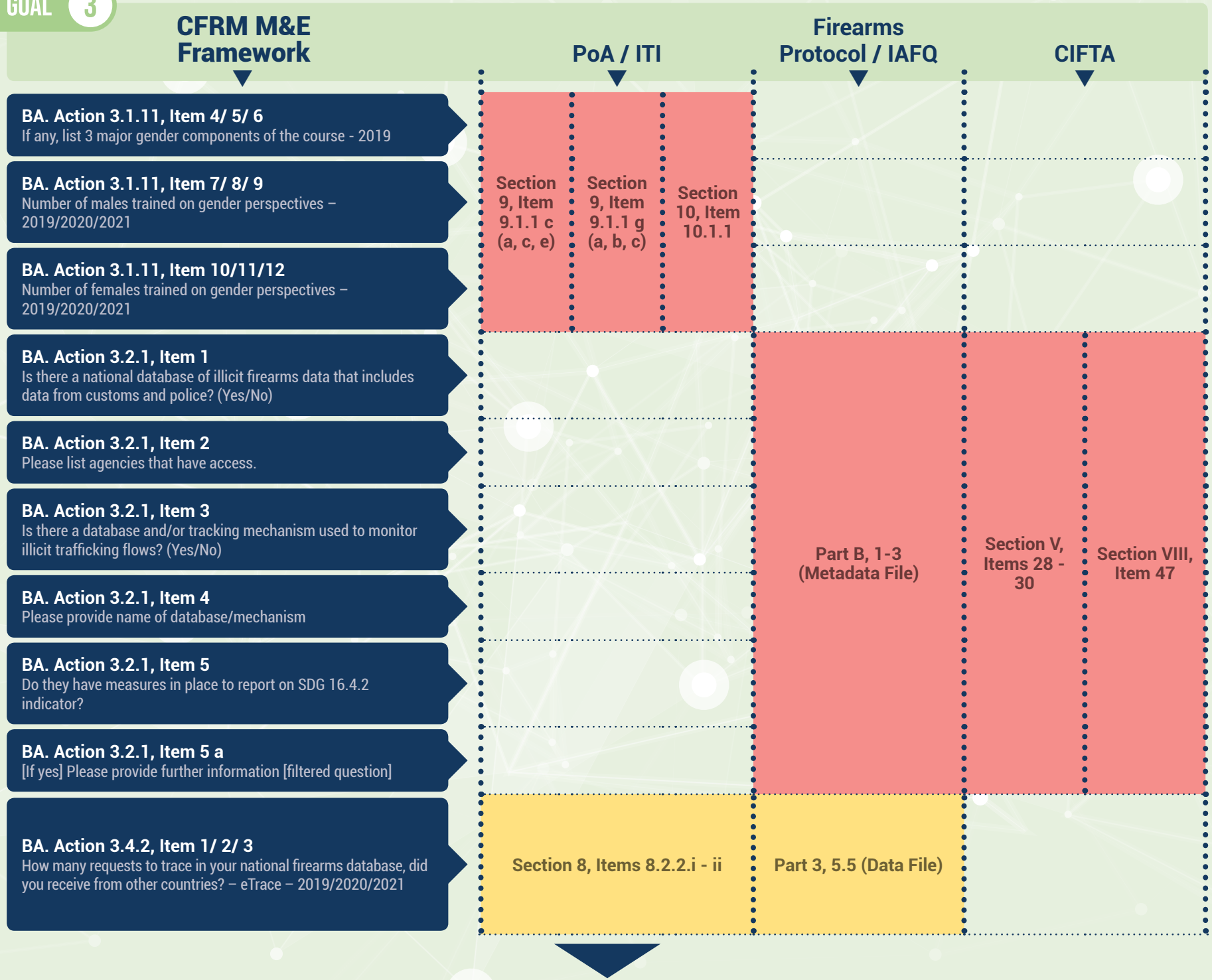
CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
Baseline Assessment. Action 3.1.1, Item 1/ 2/ 3 How many illicit firearms were recovered in 2019/2020/2021?	Section 6, Item 6.1.1.2 (c)	Part 2, 4.1, 9 (Data File)	
BA. Action 3.1.1, Item 4/ 5/ 6 How many illicit firearms recovered and had serial numbers obliterated in 2019/2020/2021?		Part 2, 4.2, 3 (Data File)	
BA. Action 3.1.1, Item 10/ 11/ 12 How many trace requests were submitted to eTrace in 2019/2020/2021?	Section 6, Item 6.1.1.1 (d)	Part 3, 5.1, 1.8 (Data File) Part 3, 5.2, 1.8 (Data File) Part 3, 5.3 (Data File) Part 3, 5.4 (Data File)	
BA. Action 3.1.1, Item 13/ 14/ 15 How many requests were successfully traced on eTrace in 2019/2020/2021?	Section 6, Item 6.1.1.1 (h) Section 8, Items 8.2.2.6 (i – ii)	Part 3, 5.1, 1.1 - 1.4 (Data File) Part 3, 5.2, 1.1 - 1.4 (Data File) Part 3, 5.3, 1.1 - 1.4 (Data File) Part 3, 5.4 (Data File)	
BA. Action 3.1.1, Item 16/ 17/ 18 How many trace requests were submitted to iARMS in 2019/2020/2021?	Section 6, Item 6.1.1.1 (d) Section 8, Items 8.2.2.4 (i - ii)	Part 3, 5.1, 1.8 (Data File) Part 3, 5.2, 1.8 (Data File) Part 3, 5.3, 1.8 (Data File) Part 3, 5.4 (Data File)	

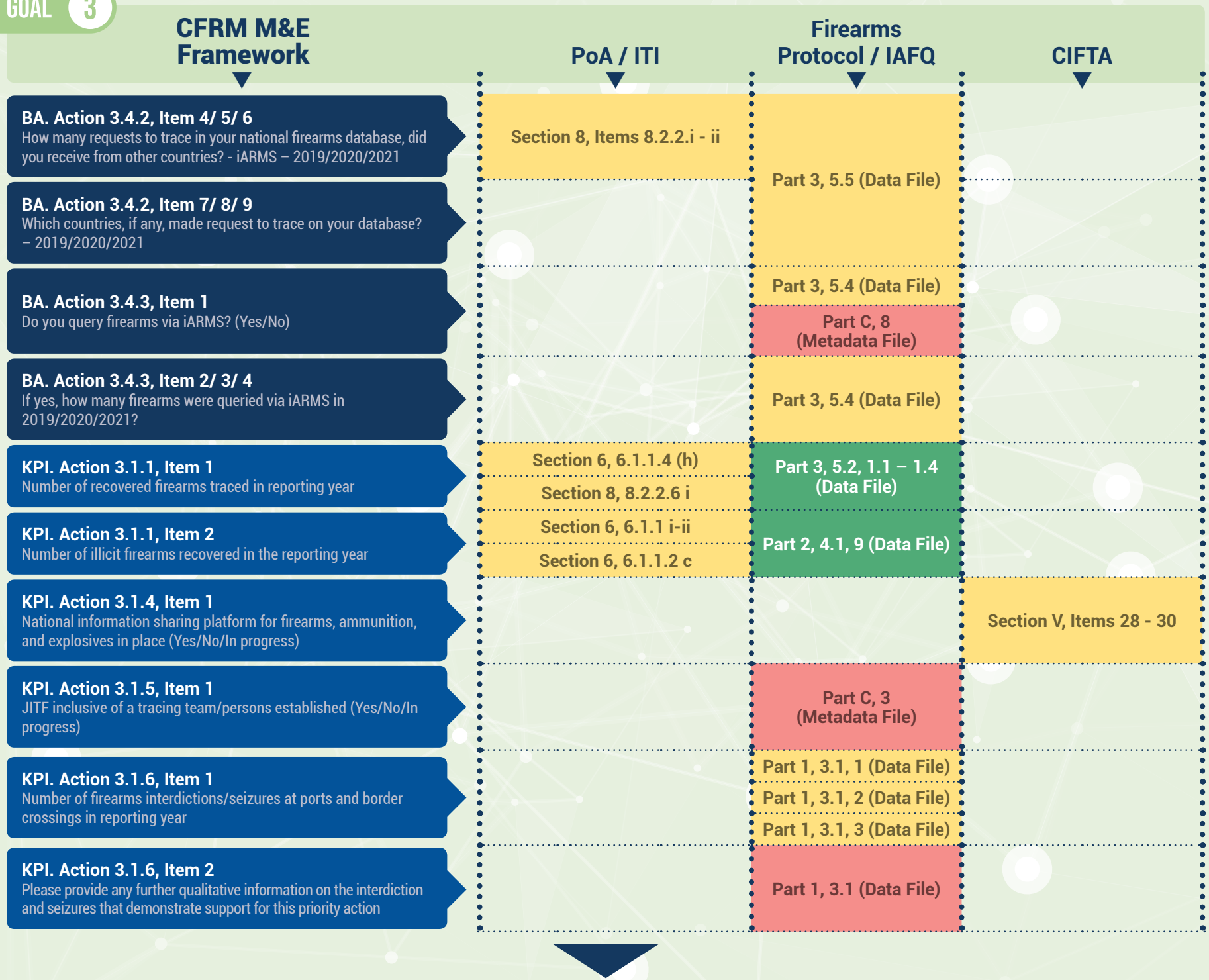
12 The Arms Trade Treaty is not included within Figure 3 as no matches for the items within the CFRM's M&E Framework for Goal 3 were identified within the ATT's Initial or Annual Reporting Templates.

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
BA. Action 3.1.1, Item 19/ 20/ 21 How many requests were successfully traced on iARMS in 2019/2020/2021?	Section 6, Item 6.1.1.1 (h)	Part 3, 5.1, 1.1 - 1.4 (Data File)	
		Part 3, 5.2, 1.1 - 1.4 (Data File)	
	Section 8, Items 8.2.2.6 (i – ii)	Part 3, 5.3, 1.1 - 1.4 (Data File)	
		Part 3, 5.4 (Data File)	
BA. Action 3.1.4, Item 1 Is there a national information sharing platform to disseminate information between different national bodies? (Yes/No)			Section V, Items 28 - 30
BA. Action 3.1.4, Item 2 If yes, what is the name of the national information platform?			Section V, Item 30
BA. Action 3.1.4, Item 5 Is there a platform that shares information regionally? (Yes/No)			Section V, Items 28 - 30
BA. Action 3.1.4, Item 6 If yes, what is the name of the platform to share information?			Section V, Item 30
BA. Action 3.1.6, Item 1/ 2/ 3 Number of firearms interdicted/seized at sea ports in 2019/2020/2021		Part 1 C, 3.1, 2 (Data File)	
BA. Action 3.1.6, Item 4/ 5/ 6 Number of firearms interdicted/seized at airports in 2019/2020/2021		Part 1 C, 3.1, 1 (Data File)	
BA. Action 3.1.6, Item 7/ 8/ 9 Number of firearms interdicted/seized at border crossings in 2019/2020/2021 (if applicable)		Part 1 C, 3.1, 3 (Data File)	
BA. Action 3.1.9, Item 1/ 2/ 3 Number of training courses in identification of firearms conducted in 2019/2020/2021	Section 9, Item 9.1.1 c (a, c, e)		Section X, Items 57 - 58

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
BA. Action 3.1.9, Item 1 a/ 2 a/ 3 a [For each course] Name of the course [filtered question]	Section 9, Item 9.1.1 c (a, c, e)		Section X, Items 57 - 58
BA. Action 3.1.9, Item 1 b/ 2 b/ 3 b [For each course] National or International [filtered question]			
BA. Action 3.1.9, Item 1 c/ 2 c/ 3 c [For each course] Name of the organization [filtered question]			
BA. Action 3.1.9, Item 1 d/ 2 d/ 3 d [For each course] For Law Enforcement [filtered question]			
BA. Action 3.1.9, Item 1 e/ 2 e/ 3 e [For each course] For Judges [filtered question]			
BA. Action 3.1.9, Item 1 f/ 2 f/ 3 f [For each course] For Prosecutors [filtered question]			
BA. Action 3.1.9, Item 1 g/ 2 g/ 3 g [For each course] For Defence Attorneys [filtered question]			
BA. Action 3.1.9, Item 1 h/ 2 h/ 3 h [For each course] Males trained in course [filtered question]			
BA. Action 3.1.9, Item 1 i/ 2 i/ 3 i [For each course] Females trained in course [filtered question]			
BA. Action 3.1.10, Item 1/ 4/ 7 Specialized firearms and ammunition training provided to Criminal Investigator in 2019/2020/2021			Section X, Items 57 - 58
BA. Action 3.1.10, Item 2/ 5/ 8 Male Criminal Investigator attended specialized firearms and ammunition training in 2019/2020/2021			

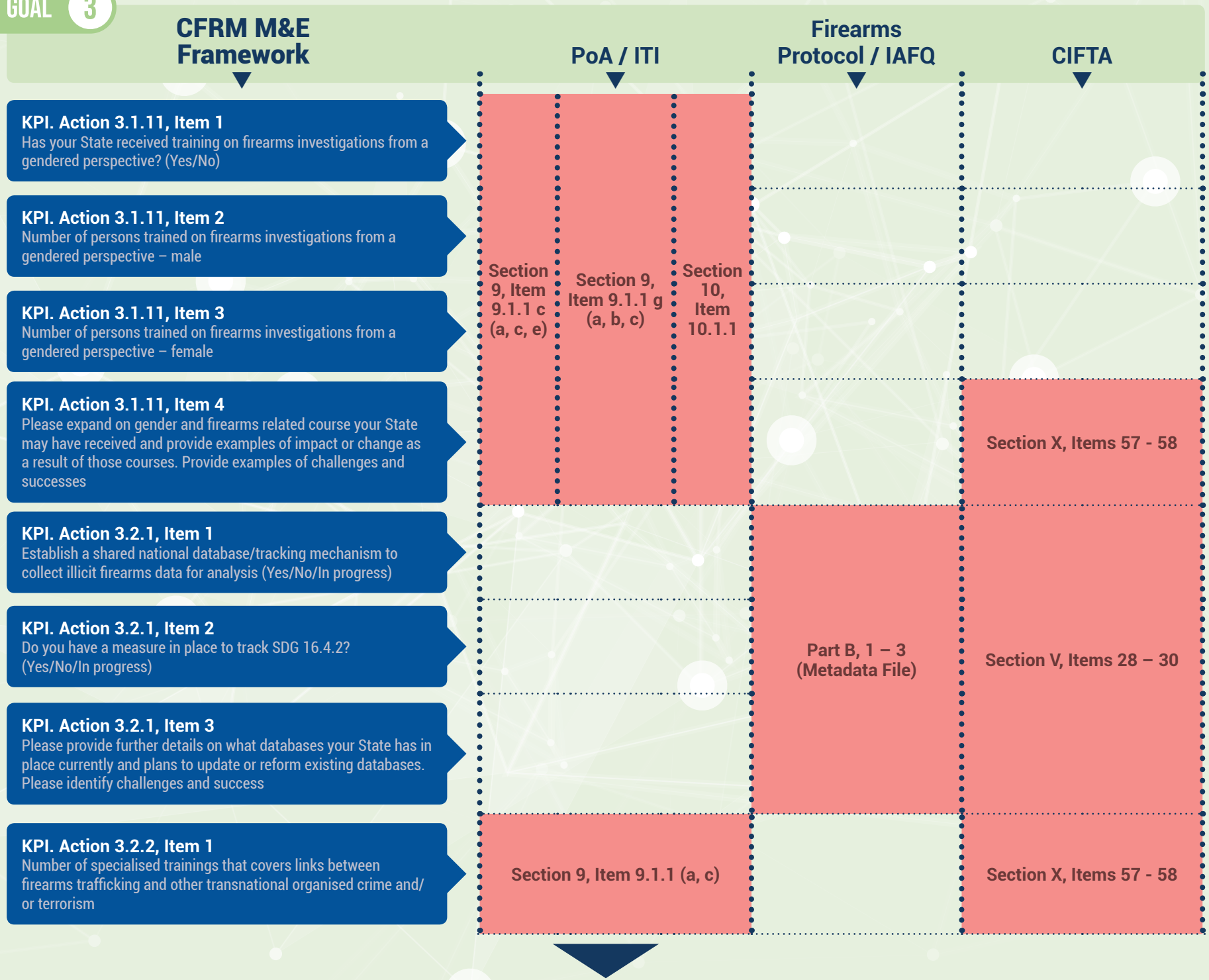

CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
BA. Action 3.1.10, Item 3/ 6/ 9
Female Criminal Investigator attended specialized firearms and ammunition training in 2019/2020/2021
BA. Action 3.1.10, Item 10/ 13/ 16
Specialized firearms and ammunition training provided to Judges in 2019/2020/2021
BA. Action 3.1.10, Item 11/ 14/ 17
Male Judges attended specialized firearms and ammunition training in 2019/2020/2021
BA. Action 3.1.10, Item 12/ 15/ 18
Female Judges attended specialized firearms and ammunition training in 2019/2020/2021
BA. Action 3.1.10, Item 19/ 22/ 25
Specialized firearms and ammunition training provided to Prosecutor in 2019/2020/2021
BA. Action 3.1.10, Item 20/ 23/ 26
Male Prosecutor attended specialized firearms and ammunition training in 2019/2020/2021
BA. Action 3.1.10, Item 21/ 24/ 27
Female Prosecutor attended specialized firearms and ammunition training in 2019/2020/2021
BA. Action 3.1.10, Item 28/ 29/ 30
Organization that provided the training on firearms and ammunition in 2019/2020/2021
BA. Action 3.1.11, Item 1/ 2/ 3
How many specialized firearms investigation courses with a gender perspective were delivered in 2019/2020/2021?
Section 9, Item 9.1.1 c (a, c, e)
Section X, Items 57 - 58
Section 9, Item 9.1.1 c (a, c, e)
Section 9, Item 9.1.1 g (a, b, c)
Section 10, Item 10.1.1
Section X, Items 57 - 58


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
BA. Action 3.1.11, Item 4/ 5/ 6
If any, list 3 major gender components of the course - 2019
BA. Action 3.1.11, Item 7/ 8/ 9
Number of males trained on gender perspectives – 2019/2020/2021
BA. Action 3.1.11, Item 10/11/12
Number of females trained on gender perspectives – 2019/2020/2021
BA. Action 3.2.1, Item 1
Is there a national database of illicit firearms data that includes data from customs and police? (Yes/No)
BA. Action 3.2.1, Item 2
Please list agencies that have access.
BA. Action 3.2.1, Item 3
Is there a database and/or tracking mechanism used to monitor illicit trafficking flows? (Yes/No)
BA. Action 3.2.1, Item 4
Please provide name of database/mechanism
BA. Action 3.2.1, Item 5
Do they have measures in place to report on SDG 16.4.2 indicator?
BA. Action 3.2.1, Item 5 a
[If yes] Please provide further information [filtered question]
BA. Action 3.4.2, Item 1/ 2/ 3
How many requests to trace in your national firearms database, did you receive from other countries? – eTrace – 2019/2020/2021
Section 9, Item 9.1.1 c (a, c, e)
Section 9, Item 9.1.1 g (a, b, c)
Section 10, Item 10.1.1
Part B, 1-3 (Metadata File)
Section V, Items 28 - 30
Section VIII, Item 47
Section 8, Items 8.2.2.i - ii
Part 3, 5.5 (Data File)


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
BA. Action 3.4.2, Item 4/ 5/ 6
How many requests to trace in your national firearms database, did you receive from other countries? - iARMS – 2019/2020/2021
Section 8, Items 8.2.2.i - ii
Part 3, 5.5 (Data File)
BA. Action 3.4.2, Item 7/ 8/ 9
Which countries, if any, made request to trace on your database? – 2019/2020/2021
BA. Action 3.4.3, Item 1
Do you query firearms via iARMS? (Yes/No)
Part 3, 5.4 (Data File)
Part C, 8 (Metadata File)
BA. Action 3.4.3, Item 2/ 3/ 4
If yes, how many firearms were queried via iARMS in 2019/2020/2021?
Part 3, 5.4 (Data File)
KPI. Action 3.1.1, Item 1
Number of recovered firearms traced in reporting year
Section 6, 6.1.1.4 (h)
Section 8, 8.2.2.6 i
Part 3, 5.2, 1.1 – 1.4 (Data File)
KPI. Action 3.1.1, Item 2
Number of illicit firearms recovered in the reporting year
Section 6, 6.1.1 i-ii
Section 6, 6.1.1.2 c
Part 2, 4.1, 9 (Data File)
KPI. Action 3.1.4, Item 1
National information sharing platform for firearms, ammunition, and explosives in place (Yes/No/In progress)
Section V, Items 28 - 30
KPI. Action 3.1.5, Item 1
JITF inclusive of a tracing team/persons established (Yes/No/In progress)
Part C, 3 (Metadata File)
KPI. Action 3.1.6, Item 1
Number of firearms interdictions/seizures at ports and border crossings in reporting year
Part 1, 3.1, 1 (Data File)
Part 1, 3.1, 2 (Data File)
Part 1, 3.1, 3 (Data File)
KPI. Action 3.1.6, Item 2
Please provide any further qualitative information on the interdiction and seizures that demonstrate support for this priority action
Part 1, 3.1 (Data File)

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
KPI. Action 3.1.9, Item 1 Number of Judicial Officers trained on relevant regulations and firearm identification – Male	Section 9, Item 9.1.1 (a, c, e)		
KPI. Action 3.1.9, Item 2 Number of Judicial Officers trained on relevant regulations and firearm identification - Female			
KPI. Action 3.1.9, Item 3 Number of Law Enforcement trained on relevant regulations and firearm identification - Male			
KPI. Action 3.1.9, Item 4 Number of Law Enforcement trained on relevant regulations and firearm identification - Female			
KPI. Action 3.1.9, Item 5 Number of Police Prosecutors trained on relevant regulations and firearm identification - Male			
KPI. Action 3.1.9, Item 6 Number of Police Prosecutors trained on relevant regulations and firearm identification - Female			
KPI. Action 3.1.9, Item 7 Number of Public Prosecutors trained on relevant regulations and firearm identification - Male			
KPI. Action 3.1.9, Item 8 Number of Public Prosecutors trained on relevant regulations and firearm identification - Female			
KPI. Action 3.1.9, Item 9 Number of public/private defence trained on relevant regulations and firearm identification - Male			

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
KPI. Action 3.1.9, Item 10 Number of public/private defence trained on relevant regulations and firearm identification - Female	Section 9, Item 9.1.1 (a, c, e)		
KPI. Action 3.1.9, Item 11 Please provide further detail on the training courses provided and examples of things that may have been done differently as a result. E.g. new processes etc. Please provide examples of challenges or successes			
KPI. Action 3.1.10, Item 1 Number of Judicial Officers who have received specialised training - Male	Section 9, Item 9.1.1 (a, c, e)		
KPI. Action 3.1.10, Item 2 Number of Judicial Officers who have received specialised training - Female			
KPI. Action 3.1.10, Item 3 Number of Police Prosecutors who have received specialised training - Male			
KPI. Action 3.1.10, Item 4 Number of Police Prosecutors who have received specialised training - female			
KPI. Action 3.1.10, Item 5 Number of Law Enforcement who have received specialised training - male			
KPI. Action 3.1.10, Item 6 Number of Law Enforcement who have received specialised training - female			
KPI. Action 3.1.10, Item 7 Please provide further details on the impact of the received training and any challenges or successes			


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
KPI. Action 3.1.11, Item 1
Has your State received training on firearms investigations from a gendered perspective? (Yes/No)
KPI. Action 3.1.11, Item 2
Number of persons trained on firearms investigations from a gendered perspective – male
KPI. Action 3.1.11, Item 3
Number of persons trained on firearms investigations from a gendered perspective – female
KPI. Action 3.1.11, Item 4
Please expand on gender and firearms related course your State may have received and provide examples of impact or change as a result of those courses. Provide examples of challenges and successes
KPI. Action 3.2.1, Item 1
Establish a shared national database/tracking mechanism to collect illicit firearms data for analysis (Yes/No/In progress)
KPI. Action 3.2.1, Item 2
Do you have a measure in place to track SDG 16.4.2? (Yes/No/In progress)
KPI. Action 3.2.1, Item 3
Please provide further details on what databases your State has in place currently and plans to update or reform existing databases. Please identify challenges and success
KPI. Action 3.2.2, Item 1
Number of specialised trainings that covers links between firearms trafficking and other transnational organised crime and/or terrorism
Section 9, Item 9.1.1 c (a, c, e)
Section 9, Item 9.1.1 g (a, b, c)
Section 10, Item 10.1.1
Section X, Items 57 - 58
Part B, 1 – 3 (Metadata File)
Section V, Items 28 – 30
Section 9, Item 9.1.1 (a, c)
Section X, Items 57 - 58

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
KPI. Action 3.4.2, Item 1 Number of requests received from other countries to trace in your national firearms database	Section 8, Items 8.2.2.1 i - ii	Part 3, 5.5 (Data File)	
KPI. Action 3.4.2, Item 2 Please list countries you received requests from			
KPI. Action 3.4.2, Item 4 Please expand on the process for requests, the volume, the number that are initiated, the countries that request the information and any challenges or successes you can point to	Section 10, Item 10.3	Part 3, 5.5 (Data File)	
KPI. Action 3.4.3, Item 1 Number of recovered firearms traced via iARMS	Section 6, Item 6.1.1.4	Part 3, 5.1, 1, 1.1 – 1.4 (Data File)	
KPI. Action 3.4.3, Item 3 Please provide details on the experience with iARMS and the trends and patterns you are seeing in your State. Please highlight issues and successes where possible/relevant	Section 10, Item 10.3		

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GOAL 4

SYSTEMATICALLY DECREASE THE RISK OF DIVERSION OF FIREARMS AND AMMUNITION FROM GOVERNMENT- AND NON-GOVERNMENT-OWNED ARSENALS

Goal 4 ▶ Systematically decrease the risk of diversion of firearms and ammunition from government- and non-government-owned arsenals

Within Goal 4 of the CFRM's M&E Framework, there 157 questions/ items from the BA Reporting Template and 63 from the Annual KPI Reporting Template. The matches identified are described below.

Goal 4: Exact Matches

One item from Goal 4 of the CFRM's M&E Framework has an exact match to items in the reporting questionnaires from the relevant instruments. This item, which is from the Baseline Assessment Reporting Template, is listed in Table 22 below, and the items with which it matches are referenced in Figure 4.

Table 22. Item from Goal 4 of the CFRM's M&E Framework with an Exact Match

Item from Goal 4 of the CFRM's M&E Framework with an Exact Match	
Baseline Assessment (BA). Action 4.2.1, Item 7	Are firearms marked upon importation? (Yes/No)

Goal 4: Partial Matches

Twenty-five items from the CFRM's M&E Framework under Goal 4 have partial matches with at least one item in the reporting templates of the relevant international and regional instruments on firearms. Twenty of these items are from the Baseline Assessment Reporting Template and five are from the Annual KPI Reporting Template. The items from the CFRM's M&E Framework are outlined in Table 23, and the items with which they have partial matches are referenced in Figure 4.

Table 23. Items from Goal 4 of the CFRM's M&E Framework with Partial Matches

Items from Goal 4 of the CFRM's M&E Framework with Partial Matches	
Baseline Assessment (BA). Action 4.1.1, Item 3	Is there a national policy on assessing stockpile facilities? (Yes/No)
BA. Action 4.1.6, Item 6	Is the national inventory paper based or software based?
BA. Action 4.2.1, Item 1	Apart from manufacturers markings, are secondary markings placed on State-owned firearms in country? (Yes/No)
BA. Action 4.2.1, Item 3	Has marking imported firearms with country of import been included in national legislation? (Yes/No)
BA. Action 4.2.1, Item 4	Has marking imported firearms with year of import been included in national legislation? (Yes/No)
BA. Action 4.2.1, Item 10	Are firearms marked upon being retained for official use? (Yes/No)
BA. Action 4.2.2, Item 3	Has standardized firearms marking protocol that incorporate CIFTA, Firearms Protocol and ITI been implemented by any national agency? (Yes/No)
BA. Action 4.3.1, Item 1/ 2/ 3	What was the quantity of seized and confiscated Firearms destroyed in 2019/ 2020/ 2021?
BA. Action 4.3.1, Item 10	When was the last year destruction of firearms, ammunition or explosives undertaken?

Items from Goal 4 of the CFRM's M&E Framework with Partial Matches	
BA. Action 4.3.1, Item 11/ 12/ 13	What was the quantity of obsolete Firearms destroyed in 2019/ 2020/ 2021?
KPI. Action 4.2.1, Item 1	National legislation incorporates marking of imported firearms with 'Year of import' (Yes/No)
KPI. Action 4.2.1, Item 2	National legislation incorporates marking of imported firearms with 'Country of import' (Yes/No)
KPI. Action 4.2.1, Item 3	Number of seized firearms marked in reporting year in line with the ITI
KPI. Action 4.2.2, Item 1	National marking protocol based on firearms markings in international instruments (Yes/No/In progress)
KPI. Action 4.3.1, Item 1	Number of firearms destroyed in reporting year

Goal 4: Possible Matches

Seventy-six items from the CFRM's M&E Framework have possible matches with items in the reporting forms of at least one of the relevant instruments on firearms; fifty-four from the Baseline Assessment Reporting Template and twenty-two from the Annual KPI Reporting Template. These items are listed in Table 24, and the items with which they have possible matches are identified in Figure 4.

Table 24. Items from Goal 4 of the CFRM's M&E Framework with Possible Matches

Items from Goal 4 of the CFRM's M&E Framework with Possible Matches	
Baseline Assessment (BA). Action 4.1.3, Item 1	Are there national standard operating procedures on stockpile management that includes Modular Small Arms Implementation Compendium Module 05.20: Stockpile Management: Weapons? (Yes/No)
BA. Action 4.1.3, Item 2	Are there national standard operating procedures on stockpile management that includes International Ammunition Technical Guidelines (IATG)? (Yes/No)
BA. Action 4.1.5, Item 1/ 2/ 3	Number of Physical Security and Stockpile Management (PSSM) training courses conducted in 2019/ 2020/ 2021
BA. Action 4.1.5, Item 4	Number of persons trained in PSSSM
BA. Action 4.1.5, Item 5	List the national partners that provided training in PSSM
BA. Action 4.1.5, Item 6	List the international partners that provided training in PSSM
BA, Action 4.1.6, Item 4	Is there a national weapons (firearms and ammunition) inventory? (Yes/No)
BA. Action 4.1.6, Item 7	Do individual agencies have their own inventory of weapons (firearms and ammunition) in their possession? (Yes/No)
BA. Action 4.1.6, Item 7 a	[If yes, for each state agency] Name of state agency [filtered question]
BA. Action 4.1.6, Item 9	How many agencies have their own inventory?
BA. Action 4.1.7, Item 1	Are there national training courses in armoury management? (Yes/No)

Items from Goal 4 of the CFRM's M&E Framework with Possible Matches	
BA. Action 4.1.7, Item 1 a	[If yes] Please list whether it was introductory training in armoury management [filtered question]
BA. Action 4.1.7, Item 1 b	[If yes] Please list whether it was refresher training in armoury management [filtered question]
BA. Action 4.1.7, Item 1 c	[If yes] Please list whether it was advanced training in armoury management [filtered question]
BA. Action 4.1.7, Item 1 d	[If yes] How often is this training delivered?
BA. Action 4.1.7, Item 2	Are armourers subjected to regular training? (Yes/No)
BA. Action 4.1.7, Item 3/ 4/ 5	Number of armoury management courses conducted in 2019/ 2020/ 2021 by international partners
BA. Action 4.1.7, Item 6/ 7/ 8	Number of armoury management courses conducted in 2019/ 2020/ 2021 by national agencies/ organisations
BA. Action 4.1.7, Item 9/ 10/ 11	Number of male participants who took part in armoury management courses in 2019/ 2020/ 2021
BA. Action 4.1.7, Item 12/ 13/ 14	Number of female participants who took part in armoury management courses in 2019/ 2020/ 2021
BA. Action 4.1.8, Item 4/ 5/ 6	Number of training courses conducted for the private security sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2019 by national partners
BA. Action 4.1.8, 7/ 8/ 9	Number of training courses conducted for the private security sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2019/ 2020/ 2021 by international partners

Items from Goal 4 of the CFRM's M&E Framework with Possible Matches	
BA. Action 4.1.8, 10	List the national organizations that provided training for PSS in PSSM
BA. Action 4.1.8, 11	List the international organizations that provided training for PSS in PSSM
BA. Action 4.3.1, Item 20	Are there national SOPs on destruction in line with IATG (International Ammunition Technical Guidelines)?
BA. Action 4.3.1, Item 21	Are there national SOPs on destruction in line with MOSAIC module 05.50: Destruction: Weapons?
BA. Action 4.3.1, Item 22	Is destruction undertaken in accordance with MOSAIC module 05.20: Destruction: Weapons?
BA. Action 4.3.1, Item 23	Is destruction undertaken in accordance with IATG?
BA. Action 4.3.3, Item 7/ 8/ 9	Number of destruction courses delivered in 2019/ 2020/ 2021 on firearms
BA. Action 4.3.3, Item 10/ 11/ 12	Number of destruction courses delivered in 2019/ 2020/ 2021 on ammunition
BA. Action 4.3.3, Item 13	How many firearms destruction course were delivered by national partners?
BA. Action 4.3.3, Item 14	How many firearms destruction course were delivered by international partners?
BA. Action 4.3.3, Item 15	List the national partners that provided training on destruction
BA. Action 4.3.3, Item 16	List the international partners that provided training on destruction
BA. Action 4.3.3, Item 17	Were international guidelines incorporated into the training courses (IATG, MOSAIC, UNLIREC SOPs)? (Yes/No)
BA. Action 4.3.3, Item 18	Which international guidelines were incorporated into the training courses?

Items from Goal 4 of the CFRM's M&E Framework with Possible Matches	
KPI. Action 4.1.5, Item 1	Number of males trained on PSSM
KPI. Action 4.1.5, Item 2	Number of females trained on PSSM
KPI. Action 4.1.5, Item 3	Please state name of national agencies that have received training
KPI. Action 4.1.5, Item 4	Please provide further information on the training courses you have received, examples of successes following trainings and any continuing areas of concern
KPI. Action 4.1.6, Item 1	Number of digitised national weapons inventories
KPI. Action 4.1.6, Item 2	Please provide further details on the current system(s) and where relevant, information on the transition to digitised systems including timelines. Highlight any successes and/or challenges
KPI. Action 4.1.7, Item 1	Number of armoury management training courses (national) in reporting year
KPI. Action 4.1.7, Item 2	Number of armoury management training courses (international) in reporting year
KPI. Action 4.1.7, Item 3	Number of males trained in armoury management training courses
KPI. Action 4.1.7, Item 4	Number of females trained in armoury management training courses
KPI. Action 4.1.7, Item 5	Please list the courses your State has received and any information regarding content, quality, and any examples of change and/or success following training courses
KPI. Action 4.1.8, Item 2	Number of training courses conducted on PSSM for PSS in reporting year
KPI. Action 4.1.8, Item 3	Please list names of PSS companies trained
KPI. Action 4.1.8, Item 4	Please list names of PSS companies trained
KPI. Action 4.1.8, Item 5	Number of males trained
KPI. Action 4.1.8, Item 6	Number of females trained
KPI. Action 4.1.8, Item 7	Number of training course for national entities on managing/providing oversight of PSSM in PSS
KPI. Action 4.3.1, Item 4	Destruction SOPs in place aligned with international best practices (Yes/No/In progress)
KPI. Action 4.3.3, Item 1	Number of males trained in destruction
KPI. Action 4.3.3, Item 2	Number of females trained in destruction
KPI. Action 4.3.3, Item 3	Please list agencies that received training in reporting year
KPI. Action 4.3.3, Item 4	Number of courses on firearm and ammunition destruction in reporting year
KPI. Action 4.3.3, Item 5	Please provide further details on what aspects of destruction the training provided in the reporting year. Please provide information on challenges and successes your State has experienced. Please state whether national or international course

Goal 4: No Matches

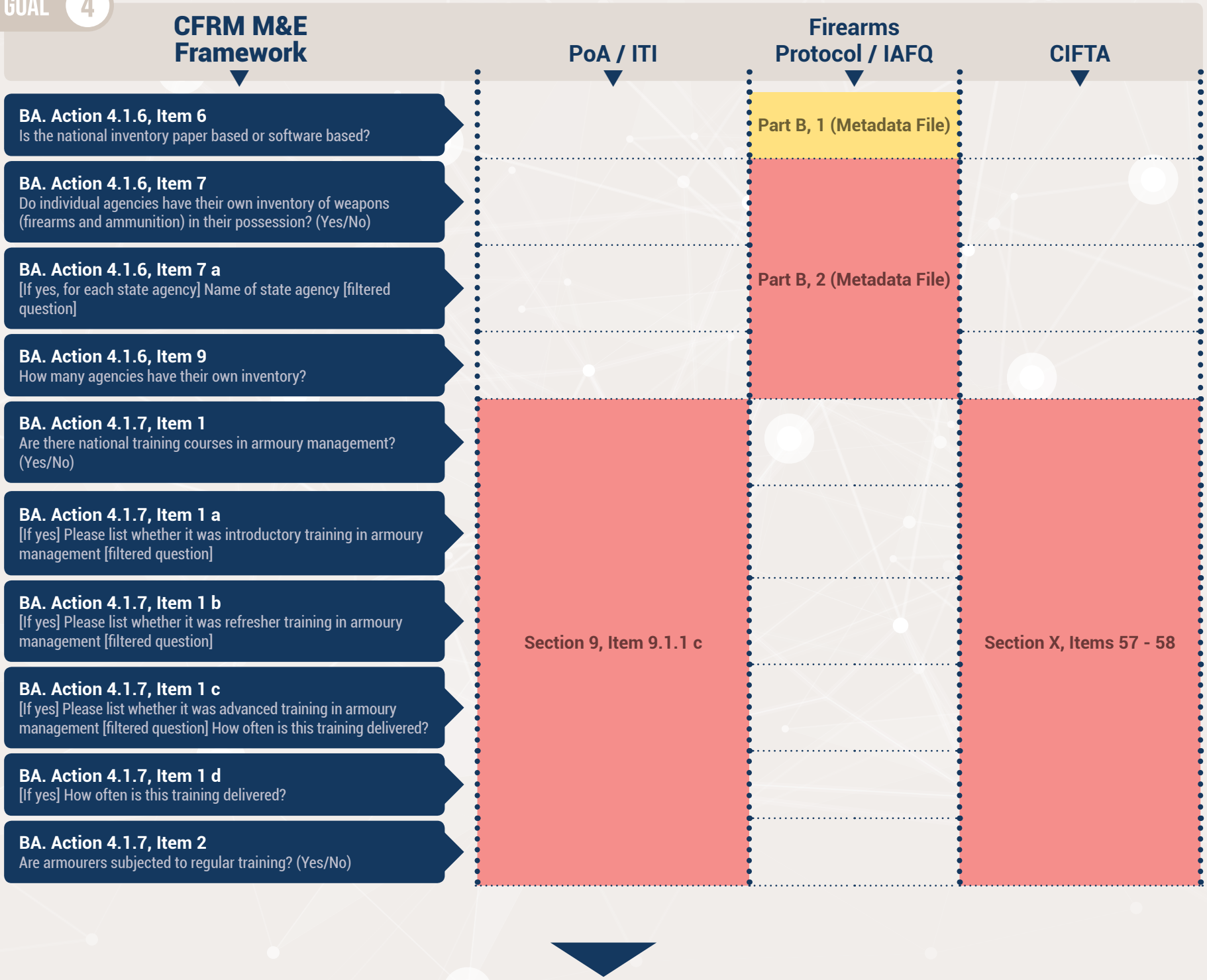
One hundred and twenty-one items under Goal 4 of the CFRM's M&E Framework do not have any matches. Eighty-five of these items are from the Baseline Assessment Reporting Template and thirty-six are from the Annual KPI Reporting Template. These items are outlined in Annexes 1 and 2, respectively.

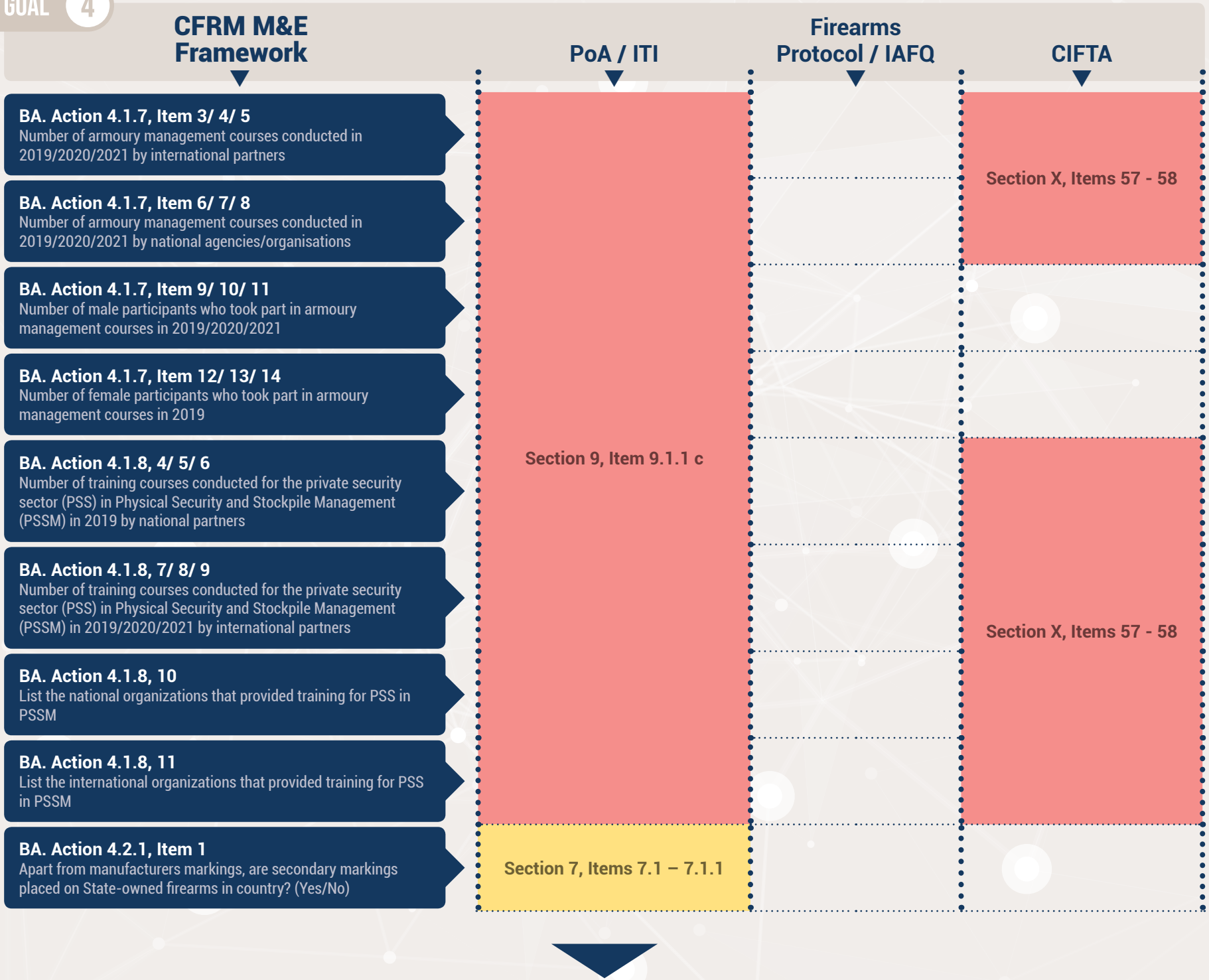
BA KPI Exact Match Partial Match Possible Match

Figure 4. Matches for Items under Goal 4 of the CFRM's M&E Framework[13]

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
Baseline Assessment (BA). Action 4.1.1, Item 3 Is there a national policy on assessing stockpile facilities? (Yes/No)	Section 5, Item 5.1		
BA. Action 4.1.3, Item 1 Are there national standard operating procedures on stockpile management that includes Modular Small Arms Implementation Compendium Module 05.20: Stockpile Management: Weapons? (Yes/No)	Section 5, Items 5.1 & 5.1.1		
BA. Action 4.1.3, Item 2 Are there national standard operating procedures on stockpile management that includes International Ammunition Technical Guidelines (IATG)? (Yes/No)			
BA. Action 4.1.5, Item 1/ 2/ 3 Number of Physical Security and Stockpile Management (PSSM) training courses conducted in 2019/2020/2021	Section 9, Item 9.1.1 c		Section X, Items 57 - 58
BA. Action 4.1.5, Item 4 Number of persons trained in PSSSM			
BA. Action 4.1.5, Item 5 List the national partners that provided training in PSSM			Section X, Items 57 - 58
BA. Action 4.1.5, Item 6 List the international partners that provided training in PSSM			
BA, Action 4.1.6, Item 4 Is there a national weapons (firearms and ammunition) inventory? (Yes/No)		Part B, 1 (Metadata File) Part B, 3 (Metadata File)	

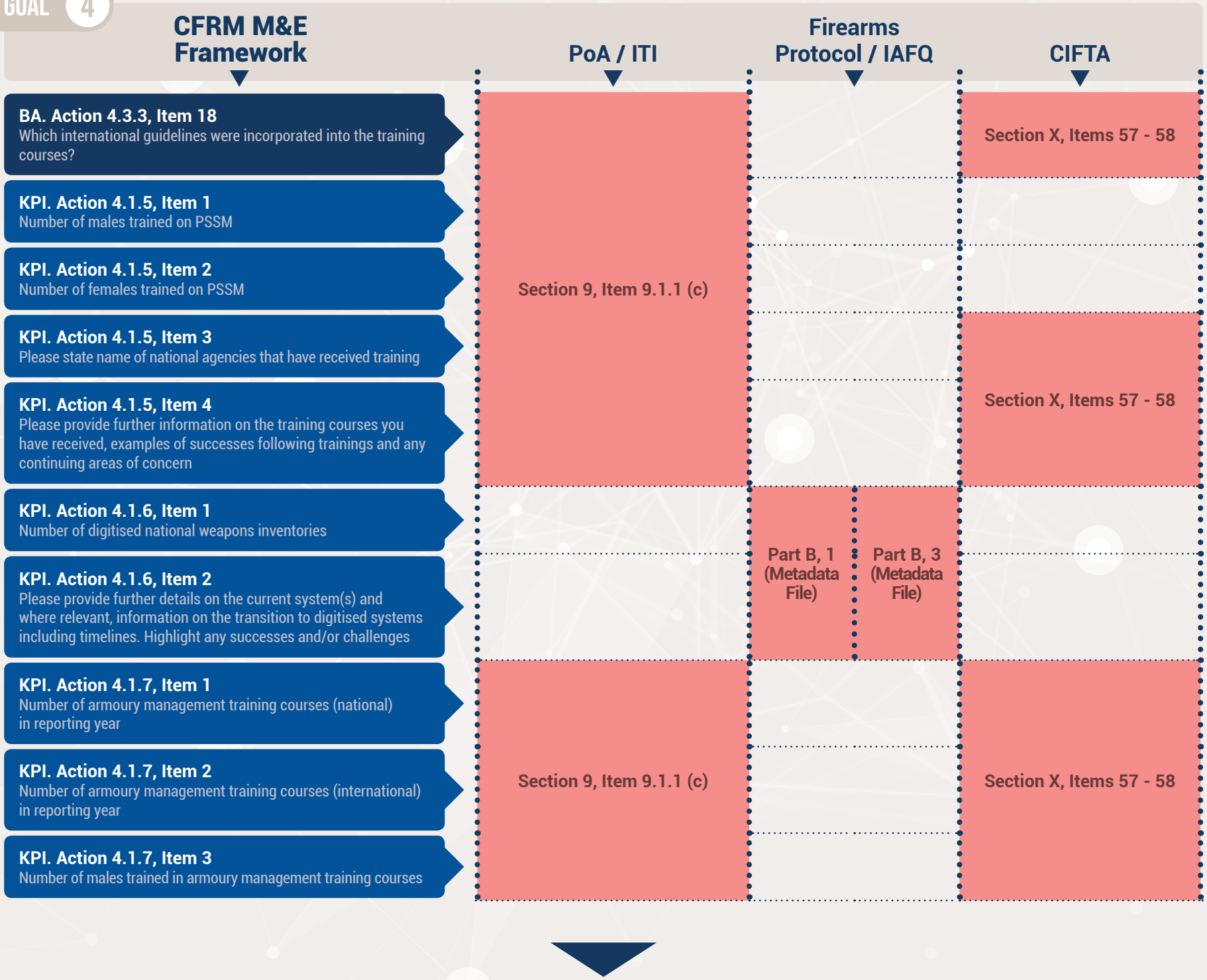
13 The Arms Trade Treaty is not included within Figure 4 as no matches for the items within the CFRM's M&E Framework for Goal 4 were identified within the ATT's Initial or Annual Reporting Templates.


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
BA. Action 4.1.6, Item 6
Is the national inventory paper based or software based?
BA. Action 4.1.6, Item 7
Do individual agencies have their own inventory of weapons (firearms and ammunition) in their possession? (Yes/No)
BA. Action 4.1.6, Item 7 a
[If yes, for each state agency] Name of state agency [filtered question]
BA. Action 4.1.6, Item 9
How many agencies have their own inventory?
BA. Action 4.1.7, Item 1
Are there national training courses in armoury management? (Yes/No)
BA. Action 4.1.7, Item 1 a
[If yes] Please list whether it was introductory training in armoury management [filtered question]
BA. Action 4.1.7, Item 1 b
[If yes] Please list whether it was refresher training in armoury management [filtered question]
BA. Action 4.1.7, Item 1 c
[If yes] Please list whether it was advanced training in armoury management [filtered question] How often is this training delivered?
BA. Action 4.1.7, Item 1 d
[If yes] How often is this training delivered?
BA. Action 4.1.7, Item 2
Are armourers subjected to regular training? (Yes/No)
Part B, 1 (Metadata File)
Part B, 2 (Metadata File)
Section 9, Item 9.1.1 c
Section X, Items 57 - 58


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
BA. Action 4.1.7, Item 3/ 4/ 5
Number of armoury management courses conducted in 2019/2020/2021 by international partners
BA. Action 4.1.7, Item 6/ 7/ 8
Number of armoury management courses conducted in 2019/2020/2021 by national agencies/organisations
BA. Action 4.1.7, Item 9/ 10/ 11
Number of male participants who took part in armoury management courses in 2019/2020/2021
BA. Action 4.1.7, Item 12/ 13/ 14
Number of female participants who took part in armoury management courses in 2019
BA. Action 4.1.8, 4/ 5/ 6
Number of training courses conducted for the private security sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2019 by national partners
BA. Action 4.1.8, 7/ 8/ 9
Number of training courses conducted for the private security sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2019/2020/2021 by international partners
BA. Action 4.1.8, 10
List the national organizations that provided training for PSS in PSSM
BA. Action 4.1.8, 11
List the international organizations that provided training for PSS in PSSM
BA. Action 4.2.1, Item 1
Apart from manufacturers markings, are secondary markings placed on State-owned firearms in country? (Yes/No)
Section 9, Item 9.1.1 c
Section 7, Items 7.1 – 7.1.1
Section X, Items 57 - 58
Section X, Items 57 - 58

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
BA. Action 4.2.1, Item 2 Please expend the details of above question	Section 7, Items 7.1 – 7.1.1		
BA. Action 4.2.1, Item 3 Has marking imported firearms with country of import been included in national legislation? (Yes/No)	Section 3, Items 3.10 & 3.10.2 (a – c)		Section IV, Items 18 – 19
BA. Action 4.2.1, Item 4 Has marking imported firearms with year of import been included in national legislation? (Yes/No)			
BA. Action 4.2.1, Item 7 Are firearms marked upon importation? (Yes/No)	Section 3, Item 3.10		Section IV, Item 18
BA. Action 4.2.1, Item 10 Are firearms marked upon being retained for official use? (Yes/No)			Section IV, Items 20 - 21
BA. Action 4.2.2, Item 3 Has standardized firearms marking protocol that incorporate CIFTA, Firearms Protocol and ITI been implemented by any national agency? (Yes/No)			Section IV, Item 24
BA. Action 4.3.1, Item 1/ 2/ 3 What was the quantity of seized and confiscated Firearms destroyed in 2019/2020/2021?	Section 6, Item 6.1.1.3 c		
BA. Action 4.3.1, Item 10 When was the last year destruction of firearms, ammunition or explosives undertaken?	Section 5, Item 5.5		
BA. Action 4.3.1, Item 11/ 12/ 13 What was the quantity of obsolete Firearms destroyed in 2019/2020/2021?	Section 5, Item 5.5.1		
BA. Action 4.3.1, Item 20 Are there national SOPs on destruction in line with IATG (International Ammunition Technical Guidelines)? (Yes/No)			Section IV, Item 21

CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
BA. Action 4.3.1, Item 21 Are there national SOPs on destruction in line with MOSAIC module 05.50: Destruction: Weapons? (Yes/No)			Section IV, Item 21
BA. Action 4.3.1, Item 22 Is destruction undertaken in accordance with MOSAIC module 05.20: Destruction: Weapons?			
BA. Action 4.3.1, Item 23 Is destruction undertaken in accordance with IATG?			
BA. Action 4.3.3, Item 7/ 8/ 9 Number of destruction courses delivered in 2019/2020/2021 on firearms	Section 9, Item 9.1.1 (c)		Section X, Items 57 - 58
BA. Action 4.3.3, Item 10/ 11/ 12 Number of destruction courses delivered in 2019/2020/2021 on ammunition			
BA. Action 4.3.3, Item 13 How many firearms destruction course were delivered by national partners?			
BA. Action 4.3.3, Item 14 How many firearms destruction course were delivered by international partners?			
BA. Action 4.3.3, Item 15 List the national partners that provided training on destruction			
BA. Action 4.3.3, Item 16 List the international partners that provided training on destruction			
BA. Action 4.3.3, Item 17 Were international guidelines incorporated into the training courses (IATG, MOSAIC, UNLIREC SOPs)? (Yes/No)			



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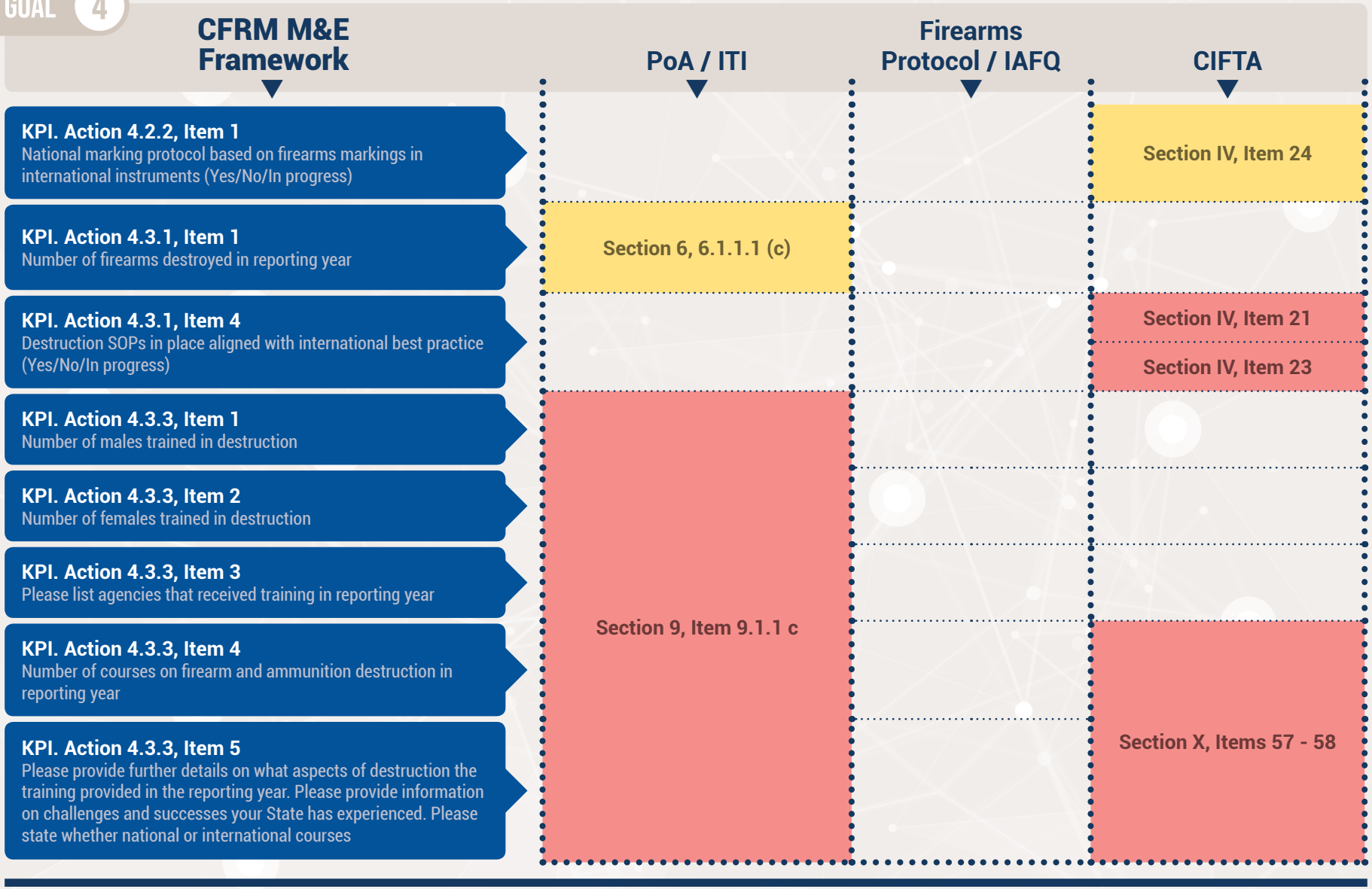
CFRM M&E Framework	PoA / ITI	Firearms Protocol / IAFQ	CIFTA
KPI. Action 4.1.7, Item 4 Number of females trained in armoury management training courses	Section 9, Item 9.1.1 (c)		Section X, Items 57 - 58
KPI. Action 4.1.7, Item 5 Please list the courses your State has received and any information regarding content, quality, and any examples of change and/or success following training courses			
KPI. Action 4.1.8, Item 3 Number of training courses conducted on PSSM for PSS in reporting year			
KPI. Action 4.1.8, Item 4 Please list names of PSS companies trained			
KPI. Action 4.1.8, Item 5 Number of males trained			
KPI. Action 4.1.8, Item 6 Number of females trained			
KPI. Action 4.1.8, Item 7 Number of training course for national entities on managing/ providing oversight of PSSM in PSS			Section X, Items 57 - 58
KPI. Action 4.2.1, Item 1 National legislation incorporates marking of imported firearms with 'Year of import' (Yes/No)	Section 3, Items 3.10 & 3.10.2 (b)		Section IV, Items 18 - 19
KPI. Action 4.2.1, Item 2 National legislation incorporates marking of imported firearms with 'Country of import' (Yes/No)	Section 3, Items 3.10 & 3.10.2 (a)		
KPI. Action 4.2.1, Item 3 Number of seized firearms marked in reporting year in line with the ITI	Section 6, Item 6.1.1.3 (a)		


CFRM M&E Framework
PoA / ITI
Firearms Protocol / IAFQ
CIFTA
KPI. Action 4.2.2, Item 1
National marking protocol based on firearms markings in international instruments (Yes/No/In progress)
Section IV, Item 24
KPI. Action 4.3.1, Item 1
Number of firearms destroyed in reporting year
Section 6, 6.1.1.1 (c)
KPI. Action 4.3.1, Item 4
Destruction SOPs in place aligned with international best practice (Yes/No/In progress)
Section IV, Item 21
Section IV, Item 23
KPI. Action 4.3.3, Item 1
Number of males trained in destruction
KPI. Action 4.3.3, Item 2
Number of females trained in destruction
KPI. Action 4.3.3, Item 3
Please list agencies that received training in reporting year
KPI. Action 4.3.3, Item 4
Number of courses on firearm and ammunition destruction in reporting year
KPI. Action 4.3.3, Item 5
Please provide further details on what aspects of destruction the training provided in the reporting year. Please provide information on challenges and successes your State has experienced. Please state whether national or international courses
Section 9, Item 9.1.1 c
Section X, Items 57 - 58

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5. Complementary Actions

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THE CARIBBEAN FIREARMS ROADMAP OUTLINES FIVE PRIORITY ACTIONS IN SUPPORT OF THE 2030 AGENDA, UNDER ITS “COMPLEMENTARY ACTIONS” SECTION.

5. Complementary Actions

THE COMPLEMENTARY ACTIONS FOCUS ON ESTABLISHING AND CLARIFYING ROLES AND RESPONSIBILITIES FOR THE DEVELOPMENT OF INDICATORS, THE COLLECTION OF DATA, AND THE DESIGN OF INFORMATION FLOWS RELATED TO GOAL 16, TARGET 4, INDICATOR 16.4.2.

The CFRM outlines five Priority Actions in support of the 2030 Agenda, under its "Complementary Actions" section. These Actions focus on establishing and clarifying roles and responsibilities for the development of indicators, the collection of data, and the design of information flows related to Goal 16 ("Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels"), Target 4 ("By 2030, significantly reduce illicit financial and arms flows, strengthen the recovery and return of stolen assets and combat all forms of organized crime"), indicator 16.4.2 ("16.4.2 Proportion of seized, found or surrendered arms whose illicit origin or context has been traced or established by a competent authority in line with international instruments").

While the CFRM's M&E Framework does not contain specific items uniquely associated with the Complementary Actions that States are required to report on, CFRM's Actions 3.1.4[14] and 3.2.1[15], which address data collection and information exchange, are related to the five Complementary Actions.

Complementary Actions: Exact Matches

No Complementary Actions of the CFRM's M&E Framework have exact matches to any items in the reporting templates of the relevant instruments.

14 Action 3.1.4: Create and/or enhance domestic software/e-platforms to facilitate intelligence gathering, information exchange among national and regional law enforcement entities and crime mapping.

15 Action 3.2.1: Collect and analyse firearms and related criminal justice data, to develop intelligence, enhance understanding and monitor illicit trafficking flows, and support information exchange and international cooperation among and between law enforcement units or focal points.

Complementary Actions: Partial Matches

Three Complementary Actions have partial matches with at least one item in the reporting questionnaires of the relevant international and regional instruments on firearms. The items from the CFRM's M&E Framework are outlined in Table 25, and the items with which they have partial matches are referenced in Figure 5.

Table 25. Items from the Complementary Actions of the CFRM's M&E Framework with Partial Matches

Items from the Complementary Actions of the CFRM's M&E Framework with Partial Matches	
Action 5.1.1	Designate responsibility for collecting on data related to SDG 16.4.2 ('Proportion of seized, found, or surrounded arms whose illicit origin or context has been traced or established by a competent authority in line with international instruments') to a national agency or ministry responsible for firearms regulation or to a national statistics office.
Action 5.1.3	Contribute to the global process of developing indicators for SDG 16 while maintaining methodological consistency with global data collection and support the participation in the UN Illicit Arms Flow Questionnaire (IAFQ).
Action 5.1.4	Consistently engage in data collection/establish procedures for data flows to national statistical offices from national law enforcement agencies/ national focal points on firearms.

Complementary Actions: Possible Matches

Three out of the five Complementary Actions of the CFRM have a possible match with at least one item in the reporting templates of the relevant international and regional instruments on firearms. The Complementary Actions from the CFRM's M&E Framework with possible matches are outlined in Table 26, and the items with which they have partial matches are reflected in Figure 5.

Table 26. Items from the Complementary Actions of the CFRM's M&E Framework with Possible Matches

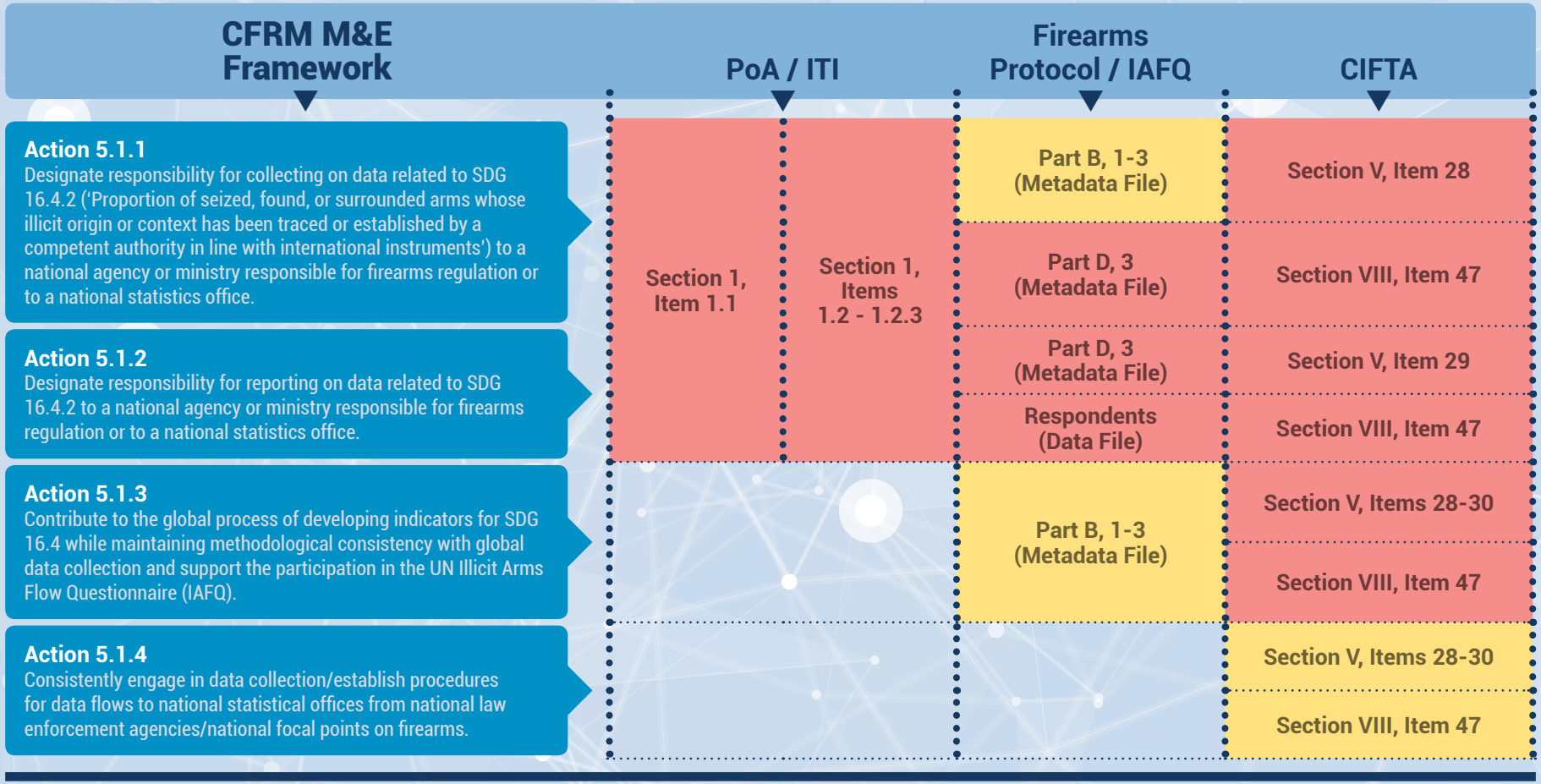
Items from the Complementary Actions of the CFRM's M&E Framework with Possible Matches	
Action 5.1.1	Designate responsibility for collecting on data related to SDG 16.4.2 ('Proportion of seized, found, or surrounded arms whose illicit origin or context has been traced or established by a competent authority in line with international instruments') to a national agency or ministry responsible for firearms regulation or to a national statistics office.
Action 5.1.2	Designate responsibility for reporting on data related to SDG 16.4.2 to a national agency or ministry responsible for firearms regulation or to a national statistics office.
Action 5.1.3	Contribute to the global process of developing indicators for SDG 16.4.2 while maintaining methodological consistency with global data collection and support the participation in the UN Illicit Arms Flow Questionnaire (IAFQ).

Complementary Actions: No Matches

One Complementary Action from the CFRM's M&E Framework -Complementary Action 5.1.5: Offer data collection training to national authorities and associate with international partner to facilitate collection process - has no matches.

Exact Match | Partial Match | Possible Match

Figure 5. Matches for Items under Complementary Actions of the CFRM's M&E Framework[16]



16 The Arms Trade Treaty is not included within Figure 5 as no matches for the CFRM's Complementary Actions were identified within the ATT's Initial or Annual Reporting Templates.

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6. Key Findings and Strategic Recommendations

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BASED ON THE ANALYSIS CONDUCTED IN THIS DOCUMENT, SEVERAL KEY FINDINGS AND RECOMMENDATIONS HAVE EMERGED. THESE FINDINGS NOT ONLY HIGHLIGHT AREAS OF SYNERGY BUT ALSO PINPOINT OPPORTUNITIES FOR IMPROVEMENT IN DATA COLLECTION AND REPORTING PROCESSES.

6. Key Findings and Strategic Recommendations

Based on the analysis conducted in this document, several key findings and recommendations have emerged regarding the alignment and gaps between the CFRM M&E Framework and the reporting mechanisms of the relevant international and regional instruments. These findings not only highlight areas of synergy but also pinpoint opportunities for improvement in data collection and reporting processes.

Key finding 1. Strategizing Data Collection Efforts Based on Matches

National data collection efforts for CFRM reporting could be streamlined by aligning them with data collection activities required by reporting obligations of other international and regional instruments on firearms (as detailed in Section 4).

Exact Matches

For items in the CFRM's M&E Framework that have exact matches, data needed for past years can be sourced from the relevant report(s) of the corresponding instrument(s), where available. Similarly, future reporting efforts for regional obligations can support CFRM's reporting requirements, and vice versa.

Partial Matches

For items in the CFRM's M&E Framework with partial matches, relevant questions can be partially answered using data provided in the specific items of the reporting mechanisms of the relevant instrument(s). Complementary efforts could focus on retrieving remaining information requested within the items with partial matches, such as by contacting the focal points or sources associated with the relevant instrument(s).

Possible Matches

For possible matches, responses from specific items in the reporting questionnaires of the relevant instruments which match with item(s) from the CFRM's M&E Framework could be reviewed to determine if any data would apply to the corresponding items in the CFRM's M&E Framework. As with partial matches, efforts can focus on obtaining the remaining information by contacting the relevant focal point or information sources.

No Matches

For items in the CFRM's M&E Framework with no matches, efforts could focus on identifying relevant data sources and retrieving the necessary information.

Key finding 2. Identifying Focal Points and Data Sources

The questionnaires of the relevant instruments on firearms contain items focused on identifying national focal points or points of contact responsible for reporting obligations or requirements. **States can therefore synergize data collection efforts by referring to the focal points and information sources detailed in the reporting questionnaires of the relevant international and regional instruments on firearms, thereby facilitating more efficient data gathering.** Table 27 below lists the item numbers from each questionnaire of each relevant instrument that cover information on focal points or points of contact.

Table 27. Items which Focus on Country Focal Points/Points of Contact

Instrument	Item	
PoA/ITI	Section 1, Item 1.2.1	Details: Name; Organization or agency; Address; Telephone; Email (For country National Point of Contact for the PoA).
	Section 1, Item 1.2.3	Details: Name; Organization or agency; Address; Telephone; Email (For country National Point of Contact for the ITI).
Firearms Protocol/ IAFQ	Respondents (Data File)	Please provide details of the focal point for reporting on this questionnaire in your country. Where technical contacts have been identified and contributed to the questionnaire, please list them under "additional technical contacts," indicating, if possible, which responses they have contributed to.
	Contributors (Metadata File)	
ATT	*Initial Report* – 1.3 (National Point(s) of Contact) - K	Name; Position/Job; Ministry; Agency/Department; Email address; Telephone no.; Address.
	Annual Report - National Point of Contact for this Report	Name; Position/Job title; Organisation; Fixed Phone; Mobile Phone; E-mail.
CIFTA	Section IX, Item 55	Has your country designated a central authority to serve as liaison with States Parties?
	Section XIII, Item 83	Please provide the following information: a. Member State. b. We received the questionnaire on (date). c. The official to be consulted regarding the responses to the questionnaire is: Name; Title/Position; Agency/ Office; Mailing Address; Telephone; Fax; E-mail.

Key finding 3. Developing Coordination and Information-sharing Mechanisms for Focal Points

In addition to communicating with national focal points or information sources for the regional and international instruments, the development of coordination and information-sharing mechanisms among these and CFRM focal points may also prove beneficial. In this regard, working with focal points across the different instruments to standardize procedures, share reporting templates and harmonize data collection could help streamline the different processes, easing the burden which often comes with reporting, while improving the quality and consistency of data submitted across instruments. This mechanism could also facilitate

regular joint reviews and updates to ensure continuous improvement in data collection and reporting efficiency.

Key finding 4. Leveraging the Work Done by Implementing Partners

Considering that some of the CFRM's Priority Action items involve systems, databases, or other information-sharing structures managed by Roadmap Implementing Partners,[17] States may consider leveraging the expertise and resources of these partners to retrieve the necessary information. An example of an information-sharing database and the organization that manages it is provided in Table 28 below.

Table 28. Examples of Items within the CFRM's M&E Framework linked to the work of Implementing Partners

Item		Partner Organization
Action 3.4.3, Item 1	Do you query firearms via iARMS? (Yes/No)	INTERPOL
Action 3.4.3, Item 2	If yes, how many firearms were queried via iARMS in [reporting period]?	INTERPOL
Action 3.4.3, Item 3	How many lost/stolen firearms did your country upload to iARMS in [reporting period]?	INTERPOL

17 The CFRM's Implementing Partners include CARICOM IMPACS, INTERPOL, Mines Advisory Group (MAG), Organization of American States (OAS), Small Arms Survey (SAS), United Nations Development Programme (UNDP), United Nations Office on Drugs and Crime (UNODC), United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC), World Customs Organization (WCO)

Key finding 5. Considering Reporting Periods and Years

There is variation in the reporting periods across the reporting mechanisms of the relevant international and regional instruments on firearms. For instance, the Questionnaire on the Implementation and Effectiveness of CIFTA has a biennial reporting timeline, whereas the CFRM's M&E Framework mandates annual reporting. Therefore, **it is important to consider these differences when retrieving and utilizing information from reports.** Relevant national focal points and information sources could be contacted. Additionally, where feasible, States may wish to collect and store information in a disaggregated format, organized by month. This approach would enable focal points of the relevant instruments to easily aggregate data as required by each reporting framework.

Key finding 6. Considering Definitions of Key Concepts

Key concepts may be measured and reported differently across the relevant reporting instruments depending on how they are defined. For example, States or organisations within a State may adopt a different definition of the term "firearms" or they may define it differently from the definition used in a relevant reporting instrument. Furthermore, data captured on the same concept may vary across instruments due to these definitional differences. **To ensure accuracy, usability and comparability of the reported data, it is recommended that efforts be made to align the data collected with the definitions provided in each reporting instrument.** If alignment is not feasible, it is encouraged to clearly specify the definitions used, such as in sections designated for additional information, comments, or remarks. Additionally, **when interpreting the matches identified in this document, definitions should be considered, as they impact the degree of alignment.**

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7. Conclusion

7. Conclusion

This Guidance Document analysed potential synergies between the CFRM M&E Framework and existing reporting obligations contained in relevant international and regional instruments on firearms, such as the PoA/ITI, the Firearms Protocol, the ATT, and CIFTA. By comparing the reporting mechanism of the CFRM with that of these instruments, the document identified areas of overlap, opportunities for data sharing, and gaps in the current systems.

The findings demonstrate varying levels of alignment, ranging from exact matches to no matches. These results highlight the potential for improving and streamlining data collection and reporting processes across the region.

As the CFRM continues to be implemented across the region, the actionable recommendations and strategies outlined provide a clear pathway towards more efficient, comprehensive, and integrated data collection efforts. These enhancements will support evidence-based decision-making and drive progress in combating illicit arms and ammunition trafficking at national and regional levels.

Moving forward, States should leverage the identified synergies, while addressing gaps to create a more unified and integrated approach to reporting and implementation under these frameworks. The collaboration with Roadmap Implementing Partners is thereby considered vital.

AS THE CFRM CONTINUES TO BE IMPLEMENTED ACROSS THE REGION, THE ACTIONABLE RECOMMENDATIONS AND STRATEGIES OUTLINED PROVIDE A CLEAR PATHWAY TOWARDS MORE EFFICIENT, COMPREHENSIVE, AND INTEGRATED DATA COLLECTION EFFORTS.

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Additional Resources

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Annexes

Annex 1. CFRM M&E Framework – Baseline Assessment Reporting Template

Action, Item Number	Question/Item
Action 1.1.1, Item 1 **	Which applies to your country and the Arms Trade Treaty (ATT)? (State Party/Signatory/Neither)
Action 1.1.1, Item 2 **	Date of signature – ATT
Action 1.1.1, Item 3 **	Date of Ratification – ATT
Action 1.1.1, Item 4 **	Date of Accession – ATT
Action 1.1.1, Item 5 **	Date of Declaration of intent to apply the Treaty provisionally - ATT
Action 1.1.1, Item 6	Has your country implemented any initiative in keeping with the UN Programme of Action (PoA)? (Yes/No)
Action 1.1.1, Item 6 a	If yes, please state the initiative *[filtered question]*
Action 1.1.1, Item 7 **	Which applies to your country and the Protocol against the Illicit Manufacturing of and Trafficking in Firearms (Firearm Protocol)? (State Party/Signatory/Neither)
Action 1.1.1, Item 8 **	Date of signature – Firearm Protocol
Action 1.1.1, Item 9 **	Date of Ratification – Firearm Protocol
Action 1.1.1, Item 10 **	Date of Accession – Firearm Protocol
Action 1.1.1, Item 11 **	Date of Declaration of intent to apply the Treaty provisionally – Firearm Protocol
Action 1.1.1, Item 12	Which applies to your country and The Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (CIFTA)?
Action 1.1.1, Item 13	Date of signature - CIFTA
Action 1.1.1, Item 14	Date of Ratification - CIFTA
Action 1.1.1, Item 15	Date of Accession - CIFTA
Action 1.1.1, Item 16	Date of Declaration of intent to apply the Treaty provisionally - CIFTA
Action 1.1.2, Item 1	What was the last year your country submitted a national report with respect to the ATT?
Action 1.1.2, Item 2 **	What was the last year your country submitted a reporting instrument with respect to the PoA and/or ITI?

Action, Item Number	Question/Item
Action 1.1.2, Item 3 **	Has a Point of Contact or National Institution been designated for Firearms Protocol? (Yes/No)
Action 1.1.2, Item 4	Has a Point of Contact or National Institution been designated for ATT? (Yes/No)
Action 1.1.2, Item 5	Has a Point of Contact or National Institution been designated for CIFTA? (Yes/No)
Action 1.2,1, Item 1 **	Is national legislation harmonised with international instruments on firearms including the ATT, PoA, Firearms Protocol, ITI and CIFTA? (Yes/No/In progress)
Action 1.2.2, Item 1	Does the national firearms legislation include illicit manufacturing of firearms, component parts and ammunition as a criminal offence? (Yes/No/Partially)
Action 1.2.2, Item 2	Does the national firearms legislation include Illicit trafficking of firearms, component parts and ammunition as a criminal offence? (Yes/No/Partially)
Action 1.2.2, Item 3	Does the national firearms legislation include falsifying or illicitly obliterating, removing or altering the markings on firearms as a criminal offences? (Yes/No/Partially)
Action 1.2.2, Item 4	Please state relevant national legislation.
Action 1.2.3, Item 1 **	Does the national firearms legislation include provisions to prevent and combat domestic violence? (Yes/No/Partially)
Action 1.2.4, Item 1 **	Is there specialized legislation that regulates the use of firearms by the private security sector? (Yes/No)
Action 1.2.4, Item 2 **	If yes, list the name of the legislation
Action 1.3.1, Item 1 **	Has a National Action Plan aligned with the Caribbean Firearms Roadmap been developed? (Yes/No/Partially)
Action 1.3.2, Item 1 **	Has a National Action Plan (NAP) Focal Point been designated? (Yes/No)
Action 1.3.3, Item 1 **	Has a Monitoring and Evaluation Focal Point been designated? (Yes/No)
Action 1.4.1, Item 1	Is there a national regulatory body to oversee arms transfers (imports and exports)? (Yes/No)
Action 1.4.1, Item 2	If yes, please state name of body.
Action 1.4.2, Item 1	Is there a licensing system to import and export firearms and ammunition, parts, and components? (Yes/No/Partially)
Action 1.4.2, Item 2 **	When last was the licensing system reviewed and updated?
Action 1.4.2, Item 3 **	If updated, list 3 major changes
Action 1.4.2, Item 4	Between 2019 and 2021, were there any diversion of firearms or ammunition imported into the country? (Yes/No/Unsure)
Action 1.4.3, Item 1 **	Is there a risk evaluation criteria for the import of firearms and ammunition? (Yes/No/In progress)
Action 1.4.3, Item 2	Is there a risk evaluation criteria for the export of firearms and ammunition? (Yes/No/In progress)

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Action, Item Number	Question/Item
Action 1.4.4, Item 1 **	How many staff work on implementing import and export control laws as of Dec 31 2020 (male)?
Action 1.4.4, Item 2 **	How many staff work on implementing import and export control laws as of Dec 31 2020 (female)?
Action 1.4.4, Item 3 **	Have they been trained on firearm and ammunition import and export control? (Yes /No)
Action 2.1.1, Item 1	Number of training courses in surveillance and/or detection of firearms conducted 2019
Action 2.1.1, Item 1 a	[For each course] Name of the course *[filtered question]*
Action 2.1.1, Item 1 b	[For each course] National or international *[filtered question]*
Action 2.1.1, Item 1 c	[For each course] List agencies in course *[filtered question]*
Action 2.1.1, Item 1 d	[For each course] Males trained in course *[filtered question]*
Action 2.1.1, Item 1 e	[For each course] Females trained in course *[filtered question]*
Action 2.1.1, Item 2	Number of training courses in surveillance and/or detection of firearms conducted 2020
Action 2.1.1, Item 2 a	[For each course] Name of the course *[filtered question]*
Action 2.1.1, Item 2 b	[For each course] National or international *[filtered question]*
Action 2.1.1, Item 2 c	[For each course] List agencies in course *[filtered question]*
Action 2.1.1, Item 2 d	[For each course] Males trained in course *[filtered question]*
Action 2.1.1, Item 2 e	[For each course] Females trained in course *[filtered question]*
Action 2.1.1, Item 3	Number of training courses in surveillance and/or detection of firearms conducted 2021
Action 2.1.1, Item 3 a	[For each course] Name of the course *[filtered question]*
Action 2.1.1, Item 3 b	[For each course] National or international *[filtered question]*
Action 2.1.1, Item 3 c	[For each course] List agencies in course *[filtered question]*
Action 2.1.1, Item 3 d	[For each course] Males trained in course *[filtered question]*
Action 2.1.1, Item 3 e	[For each course] Females trained in course *[filtered question]*
Action 2.1.2, Item 1 **	Is there a national training curricula on interdiction and identification? (Yes/No)
Action 2.1.2, Item 2	Number of training courses in interdiction of firearms, ammunition, parts, or components conducted 2019
Action 2.1.2, Item 2 a	[For each course] Name of the interdiction course *[filtered question]*

Action, Item Number	Question/Item
Action 2.1.2, Item 2 b	[For each course] Whether national/international interdiction course *[filtered question]*
Action 2.1.2, Item 2 c	[For each course] Name of agencies trained during course *[filtered question]*
Action 2.1.2, Item 2 d	[For each course] Number of males *[filtered question]*
Action 2.1.2, Item 2 e	[For each course] Number of females *[filtered question]*
Action 2.1.2, Item 3	Number of training courses in interdiction of firearms, ammunition, parts, or components conducted 2020
Action 2.1.2, Item 3 a	[For each course] Name of the interdiction course *[filtered question]*
Action 2.1.2, Item 3 b	[For each course] Whether national/international interdiction course *[filtered question]*
Action 2.1.2, Item 3 c	[For each course] Name of agencies trained during course *[filtered question]*
Action 2.1.2, Item 3 d	[For each course] Number of males *[filtered question]*
Action 2.1.2, Item 3 e	[For each course] Number of females *[filtered question]*
Action 2.1.2, Item 4	Number of training courses in interdiction of firearms, ammunition, parts, or components conducted 2021
Action 2.1.2, Item 4 a	[For each course] Name of the interdiction course *[filtered question]*
Action 2.1.2, Item 4 b	[For each course] Whether national/international interdiction course *[filtered question]*
Action 2.1.2, Item 4 c	[For each course] Name of agencies trained during course *[filtered question]*
Action 2.1.2, Item 4 d	[For each course] Number of males *[filtered question]*
Action 2.1.2, Item 4 e	[For each course] Number of females *[filtered question]*
Action 2.1.2, Item 5	Number of training courses in identification of firearms, ammunition, parts, or components conducted 2019
Action 2.1.2, Item 5 a	[For each course] Name of the identification course *[filtered question]*
Action 2.1.2, Item 5 b	[For each course] Whether national/international identification course *[filtered question]*
Action 2.1.2, Item 5 c	[For each course] Name of agencies trained during course *[filtered question]*
Action 2.1.2, Item 5 d	[For each course] Number of males *[filtered question]*
Action 2.1.2, Item 5 e	[For each course] Number of females *[filtered question]*
Action 2.1.2, Item 6	Number of training courses in identification of firearms, ammunition, parts, or components conducted 2020
Action 2.1.2, Item 6 a	[For each course] Name of the identification course *[filtered question]*

Action, Item Number	Question/Item
Action 2.1.2, Item 6 b	[For each course] Whether national/international identification course *[filtered question]*
Action 2.1.2, Item 6 c	[For each course] Name of agencies trained during course *[filtered question]*
Action 2.1.2, Item 6 d	[For each course] Number of males *[filtered question]*
Action 2.1.2, Item 6 e	[For each course] Number of females *[filtered question]*
Action 2.1.2, Item 7	Number of training courses in identification of firearms, ammunition, parts, or components conducted 2021
Action 2.1.2, Item 7 a	[For each course] Name of the identification course *[filtered question]*
Action 2.1.2, Item 7 b	[For each course] Whether national/international identification course *[filtered question]*
Action 2.1.2, Item 7 c	[For each course] Name of agencies trained during course *[filtered question]*
Action 2.1.2, Item 7 d	[For each course] Number of males *[filtered question]*
Action 2.1.2, Item 7 e	[For each course] Number of females *[filtered question]*
Action 2.1.3, Item 1 **	Number of officials trained to detect illicit firearms ammunition and their components through ports of entry – Male 2019
Action 2.1.3, Item 2 **	Number of officials trained to detect illicit firearms ammunition and their components through ports of entry – Female 2019
Action 2.1.3, Item 3 **	Number of officials trained to detect illicit firearms ammunition and their components through ports of entry – Male 2020
Action 2.1.3, Item 4 **	Number of officials trained to detect illicit firearms ammunition and their components through ports of entry – Female 2020
Action 2.1.3, Item 5 **	Number of officials trained to detect illicit firearms ammunition and their components through ports of entry – Male 2021
Action 2.1.3, Item 6 **	Number of officials trained to detect illicit firearms ammunition and their components through ports of entry – Female 2021
Action 2.1.4, Item 1 **	Number of firearms investigation cases in 2019
Action 2.1.4, Item 2 **	Number of firearms investigation cases in 2020
Action 2.1.4, Item 3 **	Number of firearms investigation cases in 2021
Action 2.1.4, Item 4 **	Number of resolved firearms investigation cases in 2019: Closed - detected
Action 2.1.4, Item 5 **	Number of resolved firearms investigation cases in 2019: Closed - undetected
Action 2.1.4, Item 6 **	Number of resolved firearms cases in 2020: Closed - detected
Action 2.1.4, Item 7 **	Number of resolved firearms investigation cases in 2020: Closed - undetected

Action, Item Number	Question/Item
Action 2.1.4, Item 8 **	Number of resolved firearms investigation cases in 2021: Closed – detected
Action 2.1.4, Item 9 **	Number of resolved firearms investigation cases in 2021: Closed - undetected
Action 2.2.1, Item 1 **	Is there a vetted law enforcement unit responsible for investigating firearms trafficking and related crimes? (Yes/No)
Action 2.2.1, Item 2 **	If yes, in how many cases were international cooperation mechanisms used for investigating these crimes in 2019? *[Filtered question]*
Action 2.2.1, Item 3 **	If yes, in how many cases were international cooperation mechanisms used for investigating these crimes in 2020? *[Filtered question]*
Action 2.2.1, Item 4 **	If yes, in how many cases were international cooperation mechanisms used for investigating these crimes in 2021? *[Filtered question]*
Action 2.2.2, Item 1 **	Is there a national joint interagency task force (JITF) that includes agencies beyond the military and police? (Yes/No)
Action 2.2.2, Item 2 **	If yes, list the agencies other than the military and police that make up the JITF
Action 3.1.1, Item 1	How many illicit firearms were recovered in 2019?
Action 3.1.1, Item 2	How many illicit firearms were recovered in 2020?
Action 3.1.1, Item 3	How many illicit firearms were recovered in 2021?
Action 3.1.1, Item 4	How many illicit firearms recovered and had serial numbers obliterated in 2019?
Action 3.1.1, Item 5	How many illicit firearms recovered and had serial numbers obliterated in 2020?
Action 3.1.1, Item 6	How many illicit firearms recovered and had serial numbers obliterated in 2021?
Action 3.1.1, Item 7 **	How many firearms had serial numbers restored in 2019?
Action 3.1.1, Item 8 **	How many firearms had serial numbers restored in 2020?
Action 3.1.1, Item 9 **	How many firearms had serial numbers restored in 2021?
Action 3.1.1, Item 10	How many trace requests were submitted to eTrace in 2019?
Action 3.1.1, Item 11	How many trace requests were submitted to eTrace in 2020?
Action 3.1.1, Item 12	How many trace requests were submitted to eTrace in 2021?
Action 3.1.1, Item 13	How many requests were successfully traced on eTrace in 2019?
Action 3.1.1, Item 14	How many requests were successfully traced on eTrace in 2020?
Action 3.1.1, Item 15	How many requests were successfully traced on eTrace in 2021?

Action, Item Number	Question/Item
Action 3.1.1, Item 16	How many trace requests were submitted to iARMS in 2019?
Action 3.1.1, Item 17	How many trace requests were submitted to iARMS in 2020?
Action 3.1.1, Item 18	How many trace requests were submitted to iARMS in 2021?
Action 3.1.1, Item 19	How many requests were successfully traced on iARMS in 2019?
Action 3.1.1, Item 20	How many requests were successfully traced on iARMS in 2020?
Action 3.1.1, Item 21	How many requests were successfully traced on iARMS in 2021?
Action 3.1.2, Item 1 **	Is there a Firearms Recovery Protocol guiding the procedures to be applied for each recovered firearm? (Yes/No)
Action 3.1.2, Item 2 **	If yes, list 3 major aspects of the procedures
Action 3.1.3, Item 1 **	Is there an inter-institutional Firearms Investigation Unit / Team to facilitate firearms investigations? (Yes/No)
Action 3.1.3, Item 2 **	If yes, which are the participating institutions
Action 3.1.3, Item 3 **	What are the roles of the participating institution(s)?
Action 3.1.4, Item 1	Is there a national information sharing platform to disseminate information between different national bodies? (Yes/No):
Action 3.1.4, Item 2	If yes, what is the name of the national information sharing platform?
Action 3.1.4, Item 3 **	Is there a platform that does crime mapping? (Yes/No)
Action 3.1.4, Item 4 **	If yes, what is the name of the platform for crime mapping?
Action 3.1.4, Item 5	Is there a platform that shares information regionally? (Yes/No)
Action 3.1.4, Item 6	If yes, what is the name of the platform to share information?
Action 3.1.5, Item 1 **	Is there a national joint interagency task force (JITF) to ensure coordination of firearms investigations? (Yes/No)
Action 3.1.5, Item 2 **	If yes, does it include agencies beyond military and police? (Yes/No)
Action 3.1.5, Item 3 **	If yes, then list the agencies in the JITF
Action 3.1.6, Item 1	Number of firearms interdicted/seized at sea ports in 2019
Action 3.1.6, Item 2	Number of firearms interdicted/seized at sea ports in 2020
Action 3.1.6, Item 3	Number of firearms interdicted/seized at sea ports in 2021
Action 3.1.6, Item 4	Number of firearms interdicted/seized at air ports in 2019

Action, Item Number	Question/Item
Action 3.1.6, Item 5	Number of firearms interdicted/seized at air ports in 2020
Action 3.1.6, Item 6	Number of firearms interdicted/seized at air ports in 2021
Action 3.1.6, Item 7	Number of firearms interdicted/seized at border crossings in 2019 (if applicable)
Action 3.1.6, Item 8	Number of firearms interdicted/seized at border crossings in 2020 (if applicable)
Action 3.1.6, Item 9	Number of firearms interdicted/seized at border crossings in 2021 (if applicable)
Action 3.1.6, Item 10 **	Number of rounds of ammunition interdicted/seized at sea ports in 2019
Action 3.1.6, Item 11 **	Number of rounds of ammunition interdicted/seized at sea ports in 2020
Action 3.1.6, Item 12 **	Number of rounds of ammunition interdicted/seized at sea ports in 2021
Action 3.1.6, Item 13 **	Number of rounds of ammunition interdicted/seized at air ports in 2019
Action 3.1.6, Item 14 **	Number of rounds of ammunition interdicted/seized at air ports in 2020
Action 3.1.6, Item 15 **	Number of rounds of ammunition interdicted/seized at air ports in 2021
Action 3.1.6, Item 16 **	Number of rounds of ammunition interdicted/seized at border crossings in 2019 (if applicable)
Action 3.1.6, Item 17 **	Number of rounds of ammunition interdicted/seized at border crossings in 2020 (if applicable)
Action 3.1.6, Item 18 **	Number of rounds of ammunition interdicted/seized at border crossings in 2021 (if applicable)
Action 3.1.7, Item 1 **	Please state the number of firearms related backlog cases as of December 31 2019
Action 3.1.7, Item 2 **	Please state the number of firearms related backlog cases as of December 31 2020
Action 3.1.7, Item 3 **	Please state the number of firearms related backlog cases as of December 31 2021
Action 3.1.7, Item 4 **	How many ballistics cases were processed at the laboratory in 2019?
Action 3.1.7, Item 5 **	How many ballistics cases were processed at the laboratory in 2020?
Action 3.1.7, Item 6 **	How many ballistics cases were processed at the laboratory in 2021?
Action 3.1.8, Item 1 **	Are ballistics intelligence reports generated? (Yes/No)
Action 3.1.8, Item 2 **	Is ballistics intelligence data shared with investigation/intelligence units in a timely manner? (Yes/No)
Action 3.1.8, Item 3 **	Are spent cartridge cases and bullets double casted to generate intelligence? (Yes/No)
Action 3.1.8, Item 4 **	If yes, in how many firearms cases were double casts made in 2019?

Action, Item Number	Question/Item
Action 3.1.8, Item 5 **	If yes, in how many firearms cases were double casts made in 2020?
Action 3.1.8, Item 6 **	If yes, in how many firearms cases were double casts made in 2021?
Action 3.1.8, Item 7 **	What percentage of firearms cases submitted to the laboratory are uploaded onto an automated ballistics identification system?
Action 3.1.8, Item 8 **	How many cases uploaded to an automated ballistics identification system identified and linked firearms used in cross border criminal activities in 2019?
Action 3.1.8, Item 9 **	How many cases uploaded to an automated ballistics identification system identified and linked firearms used in cross border criminal activities in 2020?
Action 3.1.8, Item 10 **	How many cases uploaded to an automated ballistics identification system identified and linked firearms used in cross border criminal activities in 2021?
Action 3.1.9, Item 1	Number of training courses in identification of firearms conducted in 2019
Action 3.1.9, Item 1 a	[For each course] Name of the course *[filtered question]*
Action 3.1.9, Item 1 b	[For each course] National or international *[filtered question]*
Action 3.1.9, Item 1 b i	[For each course] Name of the organization *[filtered question]*
Action 3.1.9, Item 1 c	[For each course] Name of the organization *[filtered question]*
Action 3.1.9, Item 1 d	[For each course] For Law Enforcement *[filtered question]*
Action 3.1.9, Item 1 e	[For each course] For Judges *[filtered question]*
Action 3.1.9, Item 1 f	[For each course] For Prosecutors *[filtered question]*
Action 3.1.9, Item 1 g	[For each course] For Defence Attorneys *[filtered question]*
Action 3.1.9, Item 1 h	[For each course] Males trained in course *[filtered question]*
Action 3.1.9, Item 1 i	[For each course] Females trained in course *[filtered question]*
Action 3.1.9, Item 2	Number of training courses in identification of firearms conducted in 2020
Action 3.1.9, Item 2 a	[For each course] Name of the course *[filtered question]*
Action 3.1.9, Item 2 b	[For each course] National or international *[filtered question]*
Action 3.1.9, Item 2 b i	[For each course] Name of the organization *[filtered question]*
Action 3.1.9, Item 2 c	[For each course] Name of the organization *[filtered question]*

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Action, Item Number	Question/Item
Action 3.1.9, Item 2 d	[For each course] For Law Enforcement *[filtered question]*
Action 3.1.9, Item 2 e	[For each course] For Judges *[filtered question]*
Action 3.1.9, Item 2 f	[For each course] For Prosecutors *[filtered question]*
Action 3.1.9, Item 2 g	[For each course] For Defence Attorneys *[filtered question]*
Action 3.1.9, Item 2 h	[For each course] Males trained in course *[filtered question]*
Action 3.1.9, Item 2 i	[For each course] Females trained in course *[filtered question]*
Action 3.1.9, Item 3	Number of training courses in identification of firearms conducted in 2020
Action 3.1.9, Item 3 a	[For each course] Name of the course *[filtered question]*
Action 3.1.9, Item 3 b	[For each course] National or international *[filtered question]*
Action 3.1.9, Item 3 b i	[For each course] Name of the organization *[filtered question]*
Action 3.1.9, Item 3 c	[For each course] Name of the organization *[filtered question]*
Action 3.1.9, Item 3 d	[For each course] For Law Enforcement *[filtered question]*
Action 3.1.9, Item 3 e	[For each course] For Judges *[filtered question]*
Action 3.1.9, Item 3 f	[For each course] For Prosecutors *[filtered question]*
Action 3.1.9, Item 3 g	[For each course] For Defence Attorneys *[filtered question]*
Action 3.1.9, Item 3 h	[For each course] Males trained in course *[filtered question]*
Action 3.1.9, Item 3 i	[For each course] Females trained in course *[filtered question]*
Action 3.1.10, Item 1	Specialized firearms and ammunition training provided to Criminal Investigator in 2019
Action 3.1.10, Item 2	Male Criminal Investigator attended specialized firearms and ammunition training in 2019
Action 3.1.10, Item 3	Female Criminal Investigator attended specialized firearms and ammunition training in 2019
Action 3.1.10, Item 4	Specialized firearms and ammunition training provided to Criminal Investigator in 2020
Action 3.1.10, Item 5	Male Criminal Investigator attended specialized firearms and ammunition training in 2020
Action 3.1.10, Item 6	Female Criminal Investigator attended specialized firearms and ammunition training in 2020
Action 3.1.10, Item 7	Specialized firearms and ammunition training provided to Criminal Investigator in 2021

Action, Item Number	Question/Item
Action 3.1.10, Item 8	Male Criminal Investigator attended specialized firearms and ammunition training in 2021
Action 3.1.10, Item 9	Female Criminal Investigator attended specialized firearms and ammunition training in 2021
Action 3.1.10, Item 10	Specialized firearms and ammunition training provided to Judges in 2019
Action 3.1.10, Item 11	Male Judges attended specialized firearms and ammunition training in 2019
Action 3.1.10, Item 12	Female Judges attended specialized firearms and ammunition training in 2019
Action 3.1.10, Item 13	Specialized firearms and ammunition training provided to Judges in 2020
Action 3.1.10, Item 14	Male Judges attended specialized firearms and ammunition training in 2020
Action 3.1.10, Item 15	Female Judges attended specialized firearms and ammunition training in 2020
Action 3.1.10, Item 16	Specialized firearms and ammunition training provided to Judges in 2021
Action 3.1.10, Item 17	Male Judges attended specialized firearms and ammunition training in 2021
Action 3.1.10, Item 18	Female Judges attended specialized firearms and ammunition training in 2021
Action 3.1.10, Item 19	Specialized firearms and ammunition training provided to Prosecutor in 2019
Action 3.1.10, Item 20	Male Prosecutor attended specialized firearms and ammunition training in 2019
Action 3.1.10, Item 21	Female Prosecutor attended specialized firearms and ammunition training in 2019
Action 3.1.10, Item 22	Specialized firearms and ammunition training provided to Prosecutor in 2020
Action 3.1.10, Item 23	Male Prosecutor attended specialized firearms and ammunition training in 2020
Action 3.1.10, Item 24	Female Prosecutor attended specialized firearms and ammunition training in 2020
Action 3.1.10, Item 25	Specialized firearms and ammunition training provided to Prosecutor in 2021
Action 3.1.10, Item 26	Male Prosecutor attended specialized firearms and ammunition training in 2021
Action 3.1.10, Item 27	Female Prosecutor attended specialized firearms and ammunition training in 2021
Action 3.1.10, Item 28	Organization that provided the training on firearms and ammunition in 2019
Action 3.1.10, Item 29	Organization that provided the training on firearms and ammunition in 2020
Action 3.1.10, Item 30	Organization that provided the training on firearms and ammunition in 2021
Action 3.1.11, Item 1	How many specialised firearms investigation courses with a gender perspective were delivered in 2019?

Action, Item Number	Question/Item
Action 3.1.11, Item 2	How many specialised firearms investigation courses with a gender perspective were delivered in 2020?
Action 3.1.11, Item 3	How many specialised firearms investigation courses with a gender perspective were delivered in 2021?
Action 3.1.11, Item 4	If any, list 3 major gender components of the course - 2019
Action 3.1.11, Item 5	If any, list 3 major gender components of the course - 2020
Action 3.1.11, Item 6	If any, list 3 major gender components of the course - 2021
Action 3.1.11, Item 7	Number of males trained on gender perspectives - 2019
Action 3.1.11, Item 8	Number of males trained on gender perspectives - 2020
Action 3.1.11, Item 9	Number of males trained on gender perspectives - 2021
Action 3.1.11, Item 10	Number of females trained on gender perspectives - 2019
Action 3.1.11, Item 11	Number of females trained on gender perspectives - 2020
Action 3.1.11, Item 12	Number of females trained on gender perspectives - 2021
Action 3.2.1, Item 1	Is there a national database of illicit firearms data that includes data from customs and police? (Yes/No)
Action 3.2.1, Item 2	Please list agencies that have access.
Action 3.2.1, Item 3	Is there a database and/or tracking mechanism used to monitor illicit trafficking flows? (Yes/No)
Action 3.2.1, Item 4	Please provide name of database/mechanism
Action 3.2.1, Item 5	Do they have measures in place to report on SDG 16.4.2 indicator? (Yes/No)
Action 3.2.1, Item 5 a	[If yes] Please provide further information *[filtered question]*
Action 3.2.2, Item 1 **	How many firearms trafficking investigations were undertaken in 2019?
Action 3.2.2, Item 2 **	How many firearms trafficking investigations were undertaken in 2020?
Action 3.2.2, Item 3 **	How many firearms trafficking investigations were undertaken in 2021?
Action 3.2.2, Item 4 **	In 2019, how many firearms trafficking investigations were linked with transnational organized crime?
Action 3.2.2, Item 5 **	In 2020, how many firearms trafficking investigations were linked with transnational organized crime?
Action 3.2.2, Item 6 **	In 2021, how many firearms trafficking investigations were linked with transnational organized crime?
Action 3.3.1, Item 1 **	How many firearms examiners/ ballistics experts are there in your country - male?

Action, Item Number	Question/Item
Action 3.3.1, Item 2 **	How many firearms examiners/ ballistics experts are there in your country - female?
Action 3.3.1, Item 3 **	Please state which title is used for this role in your country
Action 3.3.1, Item 4 **	If you have ballistics experts, do they take part in regional forums on firearms/ballistics work?
Action 3.3.1, Item 5 **	If yes, please state the name of the event and when it took place
Action 3.3.1, Item 6 **	How many ballistics experts have a membership in a professional body?
Action 3.3.1, Item 7 **	What is the name of the working group or association of experts?
Action 3.3.1, Item 8 **	How many Caribbean Ballistics Expert Working Group Meetings did your experts attend in [reporting period]?
Action 3.4.1, Item 1 **	Does your country have IBIS system? (Yes/No)
Action 3.4.1, Item 2 **	Is your country part of RIBIN? (Yes/No)
Action 3.4.1, Item 3 **	Does your country have a policy on sharing ballistic information from double cast? (Yes/No)
Action 3.4.1, Item 4 **	In how many instances have double cast ballistic information been shared with other countries? – 2019
Action 3.4.1, Item 5 **	In how many instances have double cast ballistic information been shared with other countries? – 2020
Action 3.4.1, Item 6 **	In how many instances have double cast ballistic information been shared with other countries? – 2021
Action 3.4.2, Item 1	How many requests to trace in your national firearms database did you receive from other countries? – eTrace - 2019
Action 3.4.2, Item 2	How many requests to trace in your national firearms database did you receive from other countries? – eTrace – 2020
Action 3.4.2, Item 3	How many requests to trace in your national firearms database did you receive from other countries? – eTrace - 2021
Action 3.4.2, Item 4	How many requests to trace in your national firearms database did you receive from other countries? - iARMS - 2019
Action 3.4.2, Item 5	How many requests to trace in your national firearms database did you receive from other countries? - iARMS - 2020
Action 3.4.2, Item 6	How many requests to trace in your national firearms database did you receive from other countries? - iARMS - 2021
Action 3.4.2, Item 7	Which countries, if any, made request to trace on your database? - 2019
Action 3.4.2, Item 8	Which countries, if any, made request to trace on your database? - 2020
Action 3.4.2, Item 9	Which countries, if any, made request to trace on your database? – 2021
Action 3.4.3, Item 1	Do you query firearms via iARMS? (Yes/No)
Action 3.4.3, Item 2	If yes, how many firearms were queried via iARMS in 2019?

Action, Item Number	Question/Item
Action 3.4.3, Item 3	If yes, how many firearms were queried via iARMS in 2020?
Action 3.4.3, Item 4	If yes, how many firearms were queried via iARMS in 2021?
Action 3.4.3, Item 5 **	How many lost/stolen firearms did your country upload to iARMS in 2019?
Action 3.4.3, Item 6 **	How many lost/stolen firearms did your country upload to iARMS in 2020?
Action 3.4.3, Item 7 **	How many lost/stolen firearms did your country upload to iARMS in 2021?
Action 3.4.4, Item 1 **	Does your country use RIBIN? (Yes/No)
Action 3.4.4, Item 2 **	If yes, how many queries were run through RIBIN in 2019?
Action 3.4.4, Item 3 **	If yes, how many queries were run through RIBIN in 2020?
Action 3.4.4, Item 4 **	If yes, how many queries were run through RIBIN in 2021?
Action 3.4.4, Item 5 **	Does your country use IBIN? (Yes/No)
Action 3.4.4, Item 6 **	If yes, how many queries run through IBIN in 2019?
Action 3.4.4, Item 7 **	If yes, how many queries run through IBIN in 2020?
Action 3.4.4, Item 8 **	If yes, how many queries run through IBIN in 2021?
Action 3.4.5, Item 1 **	Is there a cooperation agreement with CARICOM and the United States on sharing firearm and ballistic information? (Yes/No)
Action 3.4.6, Item 1 **	What is the average length of time between a ballistic case being submitted to the laboratory and the laboratory entering into IBIS?
Action 3.4.6, Item 2 **	How many cases were uploaded onto IBIS in 2019?
Action 3.4.6, Item 3 **	How many cases were uploaded onto IBIS in 2020?
Action 3.4.6, Item 4 **	How many cases were uploaded onto IBIS in 2021?
Action 3.4.6, Item 5 **	How many ballistics cases were completed at the laboratory in 2019?
Action 3.4.6, Item 6 **	How many ballistics cases were completed at the laboratory in 2020?
Action 3.4.6, Item 7 **	How many ballistics cases were completed at the laboratory in 2021?
Action 3.5.1, Item 1 **	Does your country participate in the Advance Passenger Information System (APIS)? (Yes/No/in progress/Not planning to participate)

Action, Item Number	Question/Item
Action 3.5.1, Item 2 **	Does your country participate in the Advance Cargo Information System (ACIS)? (Yes/No/in progress/Not planning to participate)
Action 3.5.1, Item 3 **	Does your country participate in the CARICOM Watch-list System? (Yes/No/in progress/Not planning to participate)
Action 3.6.1, Item 1 **	Which applies to your country and the CMLAT? (State party/Signatory/Neither)
Action 3.6.1, Item 2 **	Has national legislation been (amended/enacted) passed in support of the Caribbean Mutual Legal Assistance Treaty (MLAT)? (Yes/No)
Action 3.6.1, Item 3 **	Which national body is responsible for the administration of MLATs?
Action 3.6.2, Item 1 **	Which applies to your country and the CARICOM Arrest Warrant Treaty (CAWT)? (State Party/Signatory/Neither)
Action 4.1.1, Item 1 **	How many government owned stockpile facilities are there?
Action 4.1.1, Item 2 **	How many non-government owned stockpile facilities are there?
Action 4.1.1, Item 3	Is there a national policy on assessing stockpile facilities? (Yes/No)
Action 4.1.1, Item 4 **	How many government facilities were assessed in 2019 to ensure compliance with international guidelines and standards?
Action 4.1.1, Item 5 **	How many government facilities were assessed in 2020 to ensure compliance with international guidelines and standards?
Action 4.1.1, Item 6 **	How many government facilities were assessed in 2021 to ensure compliance with international guidelines and standards?
Action 4.1.1, Item 7 **	How many non-government facilities were assessed in 2019 to ensure compliance with international guidelines and standards?
Action 4.1.1, Item 8 **	How many non-government facilities were assessed in 2020 to ensure compliance with international guidelines and standards?
Action 4.1.1, Item 9 **	How many non-government facilities were assessed in 2021 to ensure compliance with international guidelines and standards?
Action 4.1.1, Item 10 **	How many non-government facilities require improvements in security, based on the previous assessments?
Action 4.1.1, Item 11 **	How many government facilities require improvements in security, based on the previous assessments?
Action 4.1.1, Item 12 **	How many government facilities were improved based on the previous assessments?
Action 4.1.1, Item 13 **	How many non-government facilities were improved based on the previous assessments?
Action 4.1.1, Item 14 **	Identify 3 of the major recommendations made based on the previous assessments for government facilities

Action, Item Number	Question/Item
Action 4.1.1, Item 15 **	Identify 3 of the major recommendations made based on the previous assessments for non-government facilities
Action 4.1.1, Item 16 **	Identify 3 major security improvements made in each of the improved government facilities based on the recommendations.
Action 4.1.1, Item 17 **	Identify 3 major security improvements made in each of the improved non-government facilities based on the recommendations
Action 4.1.2, Item 1 **	How many reviews and audits were conducted from 2019?
Action 4.1.2, Item 2 **	How many reviews and audits were conducted from 2020?
Action 4.1.2, Item 3 **	How many reviews and audits were conducted from 2021?
Action 4.1.2, Item 4 **	Which entities were responsible for conducting the review and audit of national stockpile facilities?
Action 4.1.2, Item 5 **	Is there a national peer review mechanism that voluntarily inspects stockpile facilities? (Yes/No)
Action 4.1.2, Item 6 **	If yes, which agencies comprise the peer review mechanism?
Action 4.1.3, Item 1	Are there national standard operating procedures on stockpile management that includes Modular Small Arms Implementation Compendium Module 05.20: Stockpile Management: Weapons? (Yes/No)
Action 4.1.3, Item 2	Are there national standard operating procedures on stockpile management that includes International Ammunition Technical Guidelines (IATG)? (Yes/No)
Action 4.1.4, Item 1 **	Based on previous assessments, how many storage government facilities that need physical refurbishment/ improvements?
Action 4.1.4, Item 2 **	Based on previous assessments, how many storage non-government facilities that need physical refurbishment/ improvements?
Action 4.1.4, Item 3 **	Number of government facilities that were refurbished/improved during 2019
Action 4.1.4, Item 4 **	Number of government facilities that were refurbished/improved during 2020
Action 4.1.4, Item 5 **	Number of government facilities that were refurbished/improved during 2021
Action 4.1.4, Item 6 **	Number of non-government facilities that were refurbished/improved during 2019
Action 4.1.4, Item 7 **	Number of non-government facilities that were refurbished/improved during 2020
Action 4.1.4, Item 8 **	Number of non-government facilities that were refurbished/improved during 2021
Action 4.1.4, Item 9 **	List 3 main refurbishments/improvement made in government facilities during 2019, if any
Action 4.1.4, Item 10 **	List 3 main refurbishments/improvement made in government facilities during 2020, if any

Action, Item Number	Question/Item
Action 4.1.4, Item 11 **	List 3 main refurbishments/improvements made in government facilities during 2021, if any
Action 4.1.4, Item 12 **	List 3 main refurbishments/improvements made in non-government facilities during 2019, if any
Action 4.1.4, Item 13 **	List 3 main refurbishments/improvements made in non-government facilities during 2020, if any
Action 4.1.4, Item 14 **	List 3 main refurbishments/improvements made in non-government facilities during 2021, if any
Action 4.1.5, Item 1	Number of Physical Security & Stockpile Management (PSSM) training courses conducted in 2019
Action 4.1.5, Item 2	Number of Physical Security & Stockpile Management (PSSM) training courses conducted in 2020
Action 4.1.5, Item 3	Number of Physical Security & Stockpile Management (PSSM) training courses conducted in 2021
Action 4.1.5, Item 4	Number of persons trained in PSSM
Action 4.1.5, Item 5	List the national partners that provided training in PSSM
Action 4.1.5, Item 6	List the international partners that provided training in PSSM
Action 4.1.6, Item 1 **	What is the total number of national agencies with weapons (firearms and ammunition) in their possession in 2019?
Action 4.1.6, Item 2 **	What is the total number of national agencies with weapons (firearms and ammunition) in their possession in 2020?
Action 4.1.6, Item 3 **	What is the total number of national agencies with weapons (firearms and ammunition) in their possession in 2021?
Action 4.1.6, Item 4	Is there a national weapons (firearms and ammunition) inventory? (Yes/No)
Action 4.1.6, Item 5 **	How often are national weapons inventories updated?
Action 4.1.6, Item 6	Is the national weapon inventory paper based or software based?
Action 4.1.6, Item 7	Do individual agencies have their own inventory of weapons (firearms and ammunition) in their possession? (Yes/No)
Action 4.1.6, Item 7 a	[If yes, for each state agency] Name of state agency *[filtered question]*
Action 4.1.6, Item 7 b	[If yes, for each state agency] Paper based (Yes?) *[filtered question]*
Action 4.1.6, Item 7 c	[If yes, for each state agency] Software based (Yes?) *[filtered question]*
Action 4.1.6, Item 8 **	Number of national agencies with weapons (firearms and ammunition) in their possession
Action 4.1.6, Item 9	How many agencies have their own inventory?
Action 4.1.6, Item 10 **	How many agencies do not have a weapons inventory?
Action 4.1.6, Item 11 **	Is there a national inventory of legally owned civilian firearms? (Yes/No)

Action, Item Number	Question/Item
Action 4.1.6, Item 12 **	If yes, is the inventory paper based or software based?
Action 4.1.7, Item 1	Are there national training courses in armoury management? (Yes/No)
Action 4.1.7, Item 1 a	[If yes] Please list whether it was introductory training in armoury management *[filtered question]*
Action 4.1.7, Item 1 b	[If yes] Please list whether it was refresher training in armoury management *[filtered question]*
Action 4.1.7, Item 1 c	[If yes] Please list whether it was advanced training in armoury management *[filtered question]*
Action 4.1.7, Item 1 d	[If yes] How often is this training delivered? *[filtered question]*
Action 4.1.7, Item 2	Are armourers subjected to regular training? (Yes/No)
Action 4.1.7, Item 3	Number of armoury management courses conducted in 2019 by international partners
Action 4.1.7, Item 4	Number of armoury management courses conducted in 2020 by international partners
Action 4.1.7, Item 5	Number of armoury management courses conducted in 2021 by international partners
Action 4.1.7, Item 6	Number of armoury management courses conducted in 2019 by national agencies/ organisations
Action 4.1.7, Item 7	Number of armoury management courses conducted in 2020 by national agencies/ organisations
Action 4.1.7, Item 8	Number of armoury management courses conducted in 2021 by national agencies/ organisations
Action 4.1.7, Item 9	Number of male participants who took part in armoury management courses in 2019
Action 4.1.7, Item 10	Number of male participants who took part in armoury management courses in 2020
Action 4.1.7, Item 11	Number of male participants who took part in armoury management courses in 2021
Action 4.1.7, Item 12	Number of female participants who took part in armoury management courses in 2019
Action 4.1.7, Item 13	Number of female participants who took part in armoury management courses in 2020
Action 4.1.7, Item 14	Number of female participants who took part in armoury management courses in 2021
Action 4.1.8, Item 1 **	Are inspections of Private Security Sector (PSS) Stockpile facilities conducted annually by a designated national authority? (Yes/No)
Action 4.1.8, Item 2 **	Identify the designated national authority responsible for PSS stockpile and oversight
Action 4.1.8, Item 3 **	How many inspections of PSS stockpile facilities are conducted annually by the designated national authority?
Action 4.1.8, Item 4	Number of training courses conducted for the Private Security Sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2019 by national partners

Action, Item Number	Question/Item
Action 4.1.8, Item 5	Number of training courses conducted for the Private Security Sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2020 by national partners
Action 4.1.8, Item 6	Number of training courses conducted for the Private Security Sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2021 by national partners
Action 4.1.8, Item 7	Number of training courses conducted for the Private Security Sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2019 by international partners
Action 4.1.8, Item 8	Number of training courses conducted for the Private Security Sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2020 by international partners
Action 4.1.8, Item 9	Number of training courses conducted for the Private Security Sector (PSS) in Physical Security and Stockpile Management (PSSM) in 2021 by international partners
Action 4.1.8, Item 10	List the national organizations that provided training for PSS in PSSM
Action 4.1.8, Item 11	List the international organizations that provided training for PSS in PSSM
Action 4.2.1, Item 1	Apart from manufactures markings, are secondary markings placed on State owned firearms in country? (Yes/No)
Action 4.2.1, Item 2	Please expend the details of above question
Action 4.2.1, Item 3	Has marking imported firearms with country of import been included in national legislation? (Yes/No)
Action 4.2.1, Item 4	Has marking imported firearms with year of import been included in national legislation? (Yes/No)
Action 4.2.1, Item 5 **	Number of marking machines in operation
Action 4.2.1, Item 4 **	Location and type of marking machines in operation
Action 4.2.1, Item 5	Are firearms marked upon importation? (Yes/No)
Action 4.2.1, Item 6 **	Are firearms marked upon re-export? (Yes/No)
Action 4.2.1, Item 7 **	Are firearms marked upon seizure? (Yes/No)
Action 4.2.1, Item 8	Are firearms marked upon being retained for official use? (Yes/No)
Action 4.2.2, Item 1 **	Is ammunition marked? (Yes/No)
Action 4.2.2, Item 2 **	State the group/agencies ammunition is marked for
Action 4.2.2, Item 3	Has standardized firearms marking protocol that incorporate CIFTA, Firearms Protocol and ITI been implemented by any national agency? (Yes/No)
Action 4.2.2, Item 4 **	Which agency implemented the standardized firearms marking protocol that incorporate CIFTA, Firearms Protocol and ITI?

Action, Item Number	Question/Item
Action 4.2.2, Item 5 **	Has standardized ammunition marking protocol been implemented by any national agency? (Yes/No)
Action 4.2.2, Item 6 **	Which national agency implemented the standardized firearms marking protocol?
Action 4.2.2, Item 7 **	Is there a requirement for explosives imported into the country to be marked by the manufacturer with a detection agent? (Yes/No)
Action 4.3.1, Item 1	What was the quantity of seized and confiscated firearms destroyed in 2019?
Action 4.3.1, Item 2	What was the quantity of seized and confiscated firearms destroyed in 2020?
Action 4.3.1, Item 3	What was the quantity of seized and confiscated firearms destroyed in 2021?
Action 4.3.1, Item 4 **	What was the quantity of seized and confiscated ammunition destroyed (rounds) in 2019?
Action 4.3.1, Item 5 **	What was the quantity of seized and confiscated ammunition destroyed (rounds) in 2020?
Action 4.3.1, Item 6 **	What was the quantity of seized and confiscated ammunition destroyed (rounds) in 2021?
Action 4.3.1, Item 7 **	What was the quantity of seized and confiscated explosives destroyed (grams) in 2019?
Action 4.3.1, Item 8 **	What was the quantity of seized and confiscated explosives destroyed (grams) in 2020?
Action 4.3.1, Item 9 **	What was the quantity of seized and confiscated explosives destroyed (grams) in 2021?
Action 4.3.1, Item 10	When was the last year destruction of firearms, ammunition or explosives undertaken?
Action 4.3.1, Item 11	What was the quantity of obsolete firearms destroyed in 2019?
Action 4.3.1, Item 12	What was the quantity of obsolete firearms destroyed in 2020?
Action 4.3.1, Item 13	What was the quantity of obsolete firearms destroyed in 2021?
Action 4.3.1, Item 14 **	What was the quantity of obsolete ammunition destroyed (rounds) in 2019?
Action 4.3.1, Item 15 **	What was the quantity of obsolete ammunition destroyed (rounds) in 2020?
Action 4.3.1, Item 16 **	What was the quantity of obsolete ammunition destroyed (rounds) in 2021?
Action 4.3.1, Item 17 **	What was the quantity of obsolete explosives destroyed (grams) in 2019?
Action 4.3.1, Item 18 **	What was the quantity of obsolete explosives destroyed (grams) in 2020?
Action 4.3.1, Item 19 **	What was the quantity of obsolete explosives destroyed (grams) in 2021?
Action 4.3.1, Item 20	Are there national SOPs on destruction in line with IATG? (International Ammunition Technical Guidelines)? (Yes/No)
Action 4.3.1, Item 21	Are there national SOPs on destruction in line with MOSAIC module 05.50: Destruction: Weapons? (Yes/No)

Action, Item Number	Question/Item
Action 4.3.1, Item 22	Is destruction undertaken in accordance with MOSAIC module 05.20: Destruction: Weapons? (Yes/No)
Action 4.3.1, Item 23	Is destruction undertaken in accordance with IATG? (Yes/No)
Action 4.3.2, Item 1**	Number of destruction equipment in country
Action 4.3.2, Item 2 **	Number of Chop Saws in country
Action 4.3.2, Item 3 **	Whether Chop Saw is operational
Action 4.3.2, Item 4 **	If Chop Saw is not functional, what is needed for it to function?
Action 4.3.2, Item 5 **	Number of Hydraulic Shears in country
Action 4.3.2, Item 6 **	Whether Hydraulic Shears is operational
Action 4.3.2, Item 7 **	If Hydraulic Shears is not functional, what is needed for it to function?
Action 4.3.2, Item 8 **	Number of Small Arms Ammunition Burning Tank (SAABT) in country
Action 4.3.2, Item 9 **	Whether Small Arms Ammunition Burning Tank (SAABT) is operational (Yes/No)
Action 4.3.2, Item 10 **	If Small Arms Ammunition Burning Tank (SAABT) is not functional, what is needed to for it to function?
Action 4.3.3, Item 1 **	Number of males trained in the destruction of firearms
Action 4.3.3, Item 2 **	Number of females trained in the destruction of firearms
Action 4.3.3, Item 3 **	Number of males trained in the destruction of ammunition
Action 4.3.3, Item 4 **	Number of females trained in the destruction of ammunition
Action 4.3.3, Item 5 **	How often is destruction of firearms undertaken?
Action 4.3.3, Item 6 **	How often is destruction of ammunition undertaken?
Action 4.3.3, Item 7	Number of destruction courses delivered in 2019 on firearms
Action 4.3.3, Item 8	Number of destruction courses delivered in 2020 on firearms
Action 4.3.3, Item 9	Number of destruction courses delivered in 2021 on firearms
Action 4.3.3, Item 10	Number of destruction courses delivered in 2019 on ammunition
Action 4.3.3, Item 11	Number of destruction courses delivered in 2020 on ammunition
Action 4.3.3, Item 12	Number of destruction courses delivered in 2021 on ammunition

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Action, Item Number	Question/Item
Action 4.3.3, Item 13	How many firearms destruction course were delivered by national partners?
Action 4.3.3, Item 14	How many firearms destruction course were delivered by international partners?
Action 4.3.3, Item 15	List the national partners that provided training on destruction
Action 4.3.3, Item 16	List the international partners that provided training on destruction
Action 4.3.3, Item 17 **	Were international guidelines incorporated into the training courses (IATG, MOSAIC, UNLIREC SOPs)? (Yes/No)
Action 4.3.3, Item 18 **	Which international guidelines were incorporated into the training courses?
Action 5.1.1	Designate responsibility for collecting on data related to SDG 16.4.2 ('Proportion of seized, found or surrendered arms whose illicit origin or context has been traced or established by a competent authority in line with international instruments') to a national agency or ministry responsible for firearms regulation or to a national statistics office.
Action 5.1.2	Designate responsibility for reporting on data related to SDG 16.4.2 to a national agency or ministry responsible for firearms regulation or to a national statistics office.
Action 5.1.3	Contribute to the global process of developing indicators for SDG 16.4 while maintaining methodological consistency with global data collection and support for participation in the UN Illicit Arms Flow Questionnaire (IAFQ).
Action 5.1.4	Consistently engage in data collection/establish procedures for data flows to national statistical offices from national law enforcement agencies/national focal points on firearms.
Action 5.1.5**	Offer data collection training to national authorities and associate with international partner to facilitate collection process.

Note: ** indicates that the item did not have a match with any of the relevant instruments.

Annex 2. CFRM M&E Framework - Annual KPI Reporting Template

Action, Item Number	Question/Item
Action 1.1.1, Item 1 **	ATT - Please insert the year when the Treaty was signed, and when the Instrument of Ratification (Acceptance, or Approval) of the Treaty or the Instrument of Accession to the Treaty, and the Declaration of Intent were submitted
Action 1.1.1, Item 2 **	Date ATT Treaty was signed
Action 1.1.1, Item 3 **	Date ATT Ratification was submitted
Action 1.1.1, Item 4 **	Date ATT Declaration of Intent was submitted
Action 1.1.1, Item 5 **	Firearm Protocol - Please insert the year when the Protocol was signed, and when the Instrument of Ratification, Acceptance, Approval, or Accession was submitted.
Action 1.1.1, Item 6 **	Date Firearms Protocol was submitted
Action 1.1.1, Item 7 **	Date Firearms Protocol Ratification was submitted
Action 1.1.1, Item 8 **	Date Firearms Protocol Accession was submitted
Action 1.1.1, Item 9 **	CIFTA - Please insert the year when the Protocol was signed, and when the Instrument of Ratification, Acceptance, Approval, or Accession was submitted
Action 1.1.1, Item 10 **	Date CIFTA was submitted
Action 1.1.1, Item 11 **	Date CIFTA Ratification was submitted
Action 1.1.1, Item 12 **	Date CIFTA Accession was submitted
Action 1.1.1, Item 13	Please expand on State PoA initiatives that have taken place or are taking place.
Action 1.1.2, Item 1	What was the last year your country submitted a national report of the ATT?
Action 1.1.2, Item 2 **	What was the last year your country submitted a reporting instrument for PoA and/or ITI?
Action 1.1.2, Item 3 **	Please provide further details to explain position if applicable
Action 1.2.1, Item 1 **	Has domestic firearms legislation been harmonised with international instruments? (Partially harmonised/Fully harmonised/Not harmonised/Not sure)
Action 1.2.1, Item 2 **	Please provide any qualitative updates on the harmonization of national legislation with international instruments
Action 1.2.2, Item 1	Does the national firearms legislation include the Illicit manufacturing of firearms as criminal offences? (Yes/No/Partially/ Amendments in progress)
Action 1.2.2, Item 2	Does the national firearms legislation include the illicit trafficking of component parts and ammunition as criminal offences? (Yes/No/Partially/Amendments in progress)

Action, Item Number	Question/Item
Action 1.2.2, Item 3	Firearms legislation include the removing or altering the markings on firearms as criminal offences (Yes/No/Partially/ Amendments in progress)
Action 1.2.2, Item 4 **	Provide qualitative updates to support your response and/or provide narrative update on the process that is being undertaken to harmonize these elements into legislation
Action 1.2.3, Item 1 **	National firearms legislation and domestic violence legislation harmonised (Partially harmonised/Fully harmonised/Not harmonised/Not sure)
Action 1.2.3, Item 2 **	Please provide detail on the position and process for harmonizing domestic violence issues into firearms legislation
Action 1.2.4, Item 1 **	Amendments to existing firearms legislation to regulate the use of firearms by the private security sector (Yes/No/In progress)
Action 1.2.4, Item 2 **	Development of new legislation to regulate the use of firearms in the private security sector (Yes/No/In progress)
Action 1.2.4, Item 3 **	Please expand on your States process for legislating for the private security sector
Action 1.3.1, Item 1 **	National Action Plan agreed and submitted (Yes/No)
Action 1.3.2, Item 1 **	NAP Focal Point designated (Yes/No)
Action 1.3.3, Item 1 **	National M&E Focal Point designated (Yes/No)
Action 1.4.1, Item 1	Is there a regulatory body in place? (Yes/No/In progress)
Action 1.4.1, Item 2 **	Have the regulatory body's functions been reformed/modernised? (Yes/No/In progress)
Action 1.4.1, Item 3 **	Please expand on the mandate of the national body and the process, challenges, and successes in reforming this body and/or its mandate
Action 1.4.2, Item 1 **	Modernised licensing system and protocols harmonised with international instruments/best practices in place (Not started/In progress/Completed)
Action 1.4.2, Item 2	Number of export authorisations for firearms and ammunitions in reporting year
Action 1.4.2, Item 3	Number of import authorisations for firearms and ammunitions in reporting year
Action 1.4.2, Item 4 **	Number of import licenses applications received per annum (reporting year)
Action 1.4.2, Item 5 **	Number of import licenses granted per annum (reporting year)
Action 1.4.2, Item 6 **	Number of firearms and ammunition for which import/export licences were granted in reporting year
Action 1.4.2, Item 7	Number of imported weapons in reporting year
Action 1.4.2, Item 8 **	Please provide any additional narrative to support the progression on your States' licencing system

Action, Item Number	Question/Item
Action 1.4.3, Item 1 **	Import risk evaluation tool in place (Yes/No/Partially/Amendments in progress)
Action 1.4.3, Item 2	Export risk assessment in place in line with ATT requirements (Yes/No/Partially/Amendments in progress)
Action 1.4.3, Item 3 **	Please provide further details on progress, challenges, and successes
Action 1.4.4, Item 1 **	Number of male additional staff to implement firearms import/export control laws
Action 1.4.4, Item 2 **	Number of female additional staff to implement firearms import/export control laws
Action 1.4.4, Item 3 **	Please provide details on the any processes taken to augment staff, challenges, and successes
Action 2.1.1, Item 1	Number of specialised surveillance and firearm detection courses
Action 2.1.1, Item 2	Number of participating agencies
Action 2.1.1, Item 3	Number of male participants
Action 2.1.1, Item 4	Number of female participants
Action 2.1.1, Item 5	Please provide qualitative supporting information on the training your State has received and any examples of new knowledge/skills being employed as a result. Please provide specific examples where possible
Action 2.1.2, Item 1	Number of agencies involved in specialised interdiction courses
Action 2.1.2, Item 2	Number of male participants involved in specialised interdiction courses
Action 2.1.2, Item 3	Number of female participants involved in specialised interdiction courses
Action 2.1.2, Item 4	Please provide further qualitative information on the types of training provided, specifically the outcomes of such trainings. E.g. successful seizures interdictions, following training or interagency cooperation leading to seizures
Action 2.1.3, Item 1 **	Number of persons trained on interdictions at ports and border crossings
Action 2.1.3, Item 2	Please provide qualitative information on the quality or volume of your State's interdictions. Have they added knowledge from ISAAPC (or other courses) into their national curricular?
Action 2.1.4, Item 1 **	Number of firearms investigation cases in reporting year (cases where a firearms was discharged)
Action 2.1.4, Item 2 **	Number of resolved firearms investigation cases in reporting year including Closed - detected
Action 2.1.4, Item 3 **	Number of resolved firearms investigation cases in reporting year including Closed - undetected
Action 2.1.4, Item 4 **	Please provide further details where possible on the challenges or blockages that prevent firearms investigations from progressing in a timely manner
Action 2.2.1, Item 1 **	Vetted law enforcement unit established to investigate firearms trafficking (Yes/No/In progress)

Action, Item Number	Question/Item
Action 2.2.1, Item 2 **	Number of cases that involved cooperation: national
Action 2.2.1, Item 3 **	Number of cases that involved cooperation: international
Action 2.2.1, Item 4 **	Number of cases that involved cooperation: regional
Action 2.2.1, Item 5 **	Please provide further information on your State's position and process on forming or operating a vetted firearms unit. Please expand on the experience of international cooperation and provide relevant examples to demonstrate successes and challenges
Action 2.2.2, Item 1 **	Report to national authorities on the need for a JITF submitted to Cabinet (In progress/Complete)
Action 2.2.2, Item 2 **	Please expand on your State's position and on the process for the establishment of a JITF. Where possible please provide timeline information on the creation and functioning of such a body
Action 3.1.1, Item 1	Number of recovered firearms traced in reporting year
Action 3.1.1, Item 2	Number of illicit firearms recovered in the reporting year
Action 3.1.1, Item 3 **	Please provide further information about your State's current tracing processes including challenges and successes and any planned changes to current processes. Please discuss challenges or successes in relation to eTrace and iArms and serial number restoration
Action 3.1.2, Item 1 **	National firearms recovery protocol established (Yes/No/In progress)
Action 3.1.2, Item 2 **	Please provide further details on current procedures and processes for recovered firearms and plans for process reform in this area. Provide examples of challenges and successes where relevant
Action 3.1.3, Item 1 **	Number of implementation meetings in preparation
Action 3.1.3, Item 2 **	Tracing team/persons identified (Yes/No/In progress)
Action 3.1.3, Item 3 **	Please provide further details on the process your State is taking in relation to this Priority Action
Action 3.1.4, Item 1	National information sharing platform for firearms, ammunition, and explosives in place (Yes/No/In progress)
Action 3.1.4, Item 2 **	Please provide further information on the current systems in place and the challenges and successes. Please elaborate on plans to update/reform these processes and systems
Action 3.1.5, Item 1	JITF inclusive of a tracing team/persons established (Yes/No/In progress)
Action 3.1.5, Item 2 **	Please provide further detail on the establishment of your State's JITF stating challenges and successes
Action 3.1.5, Item 3 **	Did the task force treat with interdiction/investigation/tracing? (Yes/No)
Action 3.1.5, Item 4 **	Number of coordinated cases by JITF (national/regional)

Action, Item Number	Question/Item
Action 3.1.6, Item 1	Number of firearms interdictions/seizures at ports and border crossings in reporting year
Action 3.1.6, Item 2	Please provide any further qualitative information on the interdiction and seizures that demonstrate support for this Priority Action
Action 3.1.7, Item 1 **	Number of backlog cases
Action 3.1.7, Item 2 **	Number of cases processed
Action 3.1.7, Item 3 **	Please elaborate on the process, challenges and successes experienced in the processing of ballistic backlogs
Action 3.1.8, Item 1 **	Number of ballistics intelligence reports (hit reports) generated
Action 3.1.8, Item 2 **	Average time between crime and generation of hit report
Action 3.1.8, Item 3 **	Number of investigations progressed as a result
Action 3.1.8, Item 4 **	Please provide further information on process for generating intelligence from forensic ballistics and how that is fed back to law enforcement and how it is utilised by them. Please expand on the challenges, successes and progress being made to tighten this process
Action 3.1.9, Item 1	Number of Judicial Officers trained on relevant regulations and firearm identification - Male
Action 3.1.9, Item 2	Number of Judicial Officers trained on relevant regulations and firearm identification - Female
Action 3.1.9, Item 3	Number of Law Enforcement trained on relevant regulations and firearm identification - Male
Action 3.1.9, Item 4	Number of Law Enforcement trained on relevant regulations and firearm identification - Female
Action 3.1.9, Item 5	Number of Police Prosecutors trained on relevant regulations and firearm identification - Male
Action 3.1.9, Item 6	Number of Police Prosecutors trained on relevant regulations and firearm identification - Female
Action 3.1.9, Item 7	Number of Public Prosecutors trained on relevant regulations and firearm identification - Male
Action 3.1.9, Item 8	Number of Public Prosecutors trained on relevant regulations and firearm identification - Female
Action 3.1.9, Item 9	Number of public/private defence trained on relevant regulations and firearm identification - Male
Action 3.1.9, Item 10	Number of public/private defence trained on relevant regulations and firearm identification - Female
Action 3.1.9, Item 11	Please provide further detail on the training courses provided and examples of things that may have been done differently as a result. E.g. new processes etc. Please provide examples of challenges or successes
Action 3.1.10, Item 1	Number of Judicial Officers who have received specialised training - Male
Action 3.1.10, Item 2	Number of Judicial Officers who have received specialised training - Female

Action, Item Number	Question/Item
Action 3.1.10, Item 3	Number of Police Prosecutors who have received specialised training - Male
Action 3.1.10, Item 4	Number of Police Prosecutors who have received specialised training – female
Action 3.1.10, Item 5	Number of Law Enforcement who have received specialised training – male
Action 3.1.10, Item 6	Number of Law Enforcement who have received specialised training - female
Action 3.1.10, Item 7	Please provide further details on the impact of the received training and any challenges or successes
Action 3.1.11, Item 1	Has your State received training on firearms investigations from a gendered perspective? (Yes/No)
Action 3.1.11, Item 2	Number of persons trained on firearms investigations from a gendered perspective – male
Action 3.1.11, Item 3	Number of persons trained on firearms investigations from a gendered perspective – female
Action 3.1.11, Item 4	Please expand on gender and firearms related course your State may have received and provide examples of impact or change as a result of those courses. Provide examples of challenges and successes
Action 3.2.1, Item 1	Establish a shared national database/tracking mechanism to collect illicit firearms data for analysis (Yes/No/In progress)
Action 3.2.1, Item 2	Do you have a measure in place to track SDG 16.4.2? (Yes/No/In progress)
Action 3.2.1, Item 3	Please provide further details on what databases your State has in place currently and plans to update or reform existing databases. Please identify challenges and success
Action 3.2.1, Item 4 **	Please provide further information if different from above on the measures in place to track SDG 16.4.2
Action 3.2.2, Item 1	Number of specialised trainings that covers links between firearms trafficking and transnational organised crime and/or terrorism
Action 3.2.2, Item 2 **	Number of firearms trafficking investigations undertaken in reporting year
Action 3.2.2, Item 3 **	Number of firearms trafficking investigations linked with transnational organized crime in reporting year
Action 3.2.2, Item 4 **	Please provide further details on firearms cases that were linked to other crimes. Please provide details on any patterns/ trends observed in those cases. Highlight the challenges and successes in these cases. Please include details of cases you are working on with other Caribbean States
Action 3.3.1, Item 1 **	Number of regional meetings or events attended by your State's Forensic Ballistic experts/Firearm Examiners
Action 3.3.1, Item 2 **	Please provide details of your State's ballistic experts' experience of working with ballistics colleagues in the region. What are some of the challenges and success that come from these regional meetings? What suggestions do you have for going forwards?
Action 3.4.1, Item 1 **	National policy in place for international sharing (Yes/No/In progress)

Action, Item Number	Question/Item
Action 3.4.1, Item 2 **	Please provide further details on the current system in place for collaborating with other States/international partners on ballistic evidence. Please expand on reforms that are underway and identify the challenges and successes
Action 3.4.1, Item 3 **	Can you provide any examples of cases involving ballistics data shared with international partners?
Action 3.4.2, Item 1	Number of requests received from other countries to trace in your national firearms database
Action 3.4.2, Item 2	Please list countries you received requests from
Action 3.4.2, Item 3 **	Number of searches conducted on the national firearms database
Action 3.4.2, Item 4	Please expand on the process for requests, the volume, the number that are initiated, the countries that request the information and any challenges or successes you can point to
Action 3.4.3, Item 1	Number of recovered firearms traced via iARMS
Action 3.4.3, Item 2 **	Number of records of lost and stolen firearms uploaded into the iARMS database
Action 3.4.3, Item 3	Please provide details on the experience with iARMS and the trends and patterns you are seeing in your State. Please highlight issues and successes where possible/relevant
Action 3.4.4, Item 1 **	Does your State use RIBIN or use a hub to process ballistics requests?
Action 3.4.4, Item 2 **	Please provide further information on your States experience with using IBIN and RIBIN. Please expand on the process you use if you do not have direct access. Please use examples to demonstrate challenges and successes
Action 3.4.5, Item 1 **	National consultation conducted and request to initiate coordination made to CARICOM IMPACS (Yes/No/In progress)
Action 3.4.5, Item 2 **	Please provide any further information on your States' position regarding a formal arrangement. Please highlight any concerns or challenges you foresee and any positives you hope the arrangement will bring
Action 3.4.6, Item 1 **	Number of cases the lab received in the reporting period
Action 3.4.6, Item 2 **	Number of cases uploaded in the reporting period - IBIS States
Action 3.4.6, Item 3 **	Number of cases uploaded in the reporting period - Non-IBIS States
Action 3.4.6, Item 4 **	Number of cases entered into the manual system (Open case file - OCF)
Action 3.4.6, Item 5 **	Please provide further detail on your States' process and timeline for entering ballistics information onto IBIS. Please highlight problems, challenges and successes or reforms that have been made
Action 3.5.1, Item 1 **	Does your country participate for Advance Passenger Information System (APIS)? (Yes/No/In progress/Not planning to participate)
Action 3.5.1, Item 2 **	Does your country participate for Advance Cargo Information System (ACIS)? (Yes/No/In progress/Not planning to participate)

Action, Item Number	Question/Item
Action 3.5.1, Item 3 **	Does your country participate in the CARICOM Watch-list System? (Yes/No/In progress/Not planning to participate)
Action 3.5.1, Item 4 **	Please expand on your States' position on APIS, ACIS and CWLS and any challenges and successes you can point to
Action 3.6.1, Item 1 **	CMLAT incorporated into national legislation (Yes/No/In progress)
Action 3.6.1, Item 2 **	Number of MLAT requests made to other Caribbean States
Action 3.6.1, Item 3 **	Number of responses to MLAT requests received
Action 3.6.1, Item 4 **	Please provide details on status to CMLAT and/or reasons for not incorporating CMLAT into existing legislation and structures
Action 3.6.2, Item 1 **	Sign and ratify the CARICOM Arrest Warrant Treaty (CAWT)
Action 4.1.1, Item 1 **	Number of firearms and ammunition storage facilities in line with international safety and security standards - FULLY COMPLIANT
Action 4.1.1, Item 2 **	Number of firearms and ammunition storage facilities in line with international safety and security standards - PARTIALLY COMPLIANT
Action 4.1.1, Item 3 **	Number of firearms and ammunition storage facilities in line with international safety and security standards - NOT COMPLIANT
Action 4.1.1, Item 4 **	Please elaborate any facility assessments that have taken place and any that have been implemented, are in progress and those that are concluded. Please highlight challenges and successes
Action 4.1.2, Item 1 **	Number of national audits of physical stockpile - Government Facilities
Action 4.1.2, Item 2 **	Number of national audits of physical stockpile - Non-Government Facilities
Action 4.1.2, Item 3 **	Number of national reviews of stockpile - Government Facilities
Action 4.1.2, Item 4 **	Number of national reviews of stockpile - Non-Government Facilities
Action 4.1.2, Item 5 **	Number of national voluntary inspections of stockpile - Non-Government Facilities
Action 4.1.2, Item 6 **	Number of national voluntary inspections of stockpile - Government Facilities
Action 4.1.2, Item 7 **	Please provide further information on your States' processes. Use examples where possible
Action 4.1.3, Item 1 **	National stockpile SOPs reviewed in line with best practices
Action 4.1.3, Item 2 **	Updated SOPs implemented
Action 4.1.3, Item 3 **	Please expand on challenges and successes of updating/revising SOPs on stockpile management practices. Please provide examples where possible

Action, Item Number	Question/Item
Action 4.1.4, Item 1 **	Number of storage facilities where improvements took place - Government
Action 4.1.4, Item 2 **	Number of storage facilities where improvements took place - Non-government
Action 4.1.4, Item 3 **	Number of improvements made based on assessment recommendations - Government
Action 4.1.4, Item 4 **	Number of improvements made based on assessment recommendations - Non-government
Action 4.1.4, Item 5 **	Please expand on which facilities have made improvements and provide examples of those improvements
Action 4.1.5, Item 1	Number of males trained on PSSM
Action 4.1.5, Item 2	Number of females trained on PSSM
Action 4.1.5, Item 3	Please State name of national agencies that have received training
Action 4.1.5, Item 4	Please provide further information on the training courses you have received, examples of successes following trainings and any continuing areas of concern
Action 4.1.6, Item 1	Number of digitised national weapons inventories
Action 4.1.6, Item 2	Please provide further details on the current system(s) and where relevant, information on the transition to digitised systems, including timelines. Highlight any successes and/or challenges
Action 4.1.7, Item 1	Number of armoury management training courses (national) in reporting year
Action 4.1.7, Item 2	Number of armoury management training courses (international) in reporting year
Action 4.1.7, Item 3	Number of males trained in armoury management training courses
Action 4.1.7, Item 4	Number of females trained in armoury management training courses
Action 4.1.7, Item 5	Please list the courses your State has received and any information regarding content, quality, and any examples of change and/or success following training courses
Action 4.1.8, Item 1 **	Number of inspections of PSS stockpile facilities
Action 4.1.8, Item 2 **	Please state names of facilities
Action 4.1.8, Item 3	Number of training courses conducted on PSSM for PSS in reporting year
Action 4.1.8, Item 4	Please list names of PSS companies trained
Action 4.1.8, Item 5	Number of males trained
Action 4.1.8, Item 6	Number of females trained
Action 4.1.8, Item 7	Number of training courses for national entities on managing/providing oversight of PSSM in PSS

Action, Item Number	Question/Item
Action 4.1.8, Item 8 **	Please expand on successes and challenges your State has experienced in relation to PSS in PSSM
Action 4.2.1, Item 1	National legislation incorporates marking of imported firearms with 'Year of import' (Yes/No)
Action 4.2.1, Item 2	National legislation incorporates marking of imported firearms with 'Country of import' (Yes/No)
Action 4.2.1, Item 3	Number of SEIZED firearms marked in reporting year in line with the ITI
Action 4.2.1, Item 4 **	Number of IMPORTED firearms marked in reporting year in line with the ITI
Action 4.2.1, Item 5 **	Number of EXPORTED firearms marked in reporting year in line with the ITI
Action 4.2.1, Item 6 **	Please provide further details on your States' process for marking firearms, progress around harmonising international best practices and any examples of challenges or successes you can point to
Action 4.2.2, Item 1	National marking protocol based on firearms markings in international instruments (Yes/No/In progress)
Action 4.2.2, Item 2 **	National marking protocol based on ammunition marking best practices (Yes/No/In progress)
Action 4.2.2, Item 3 **	Please state which national agencies are implementing firearms marking protocols based on international instruments
Action 4.2.2, Item 4 **	Please state which national agencies are implementing ammunition marking protocols based on international instruments
Action 4.2.2, Item 5 **	Has your State engaged in any national dialogue among relevant agencies to discuss regional standardised marking protocols? Please provide further details here
Action 4.3.1, Item 1	Number of firearms destroyed in reporting year
Action 4.3.1, Item 2 **	Number of tonnes of ammunition destroyed in reporting year
Action 4.3.1, Item 3 **	Number of tonnes of explosives destroyed in reporting year
Action 4.3.1, Item 4	Destruction SOPs in place aligned with international best practices (Yes/No/In progress)
Action 4.3.1, Item 5 **	Please provide any further relevant information with regards to destruction of weapons and ammunition. Please use examples to support challenges and successes you have experienced
Action 4.3.2, Item 1 **	Number of pieces of destruction-related equipment acquired in reporting year
Action 4.3.2, Item 2 **	Identify which pieces of equipment nationally purchased
Action 4.3.2, Item 3 **	Identify which pieces of equipment internationally purchased
Action 4.3.2, Item 4 **	Please provide a narrative review of equipment, issues, challenges, donations and purchases, next steps and/or planned purchases or needs and which mechanism you use for destruction of the different items
Action 4.3.3, Item 1	Number of males trained in destruction

Action, Item Number	Question/Item
Action 4.3.3, Item 2	Number of females trained in destruction
Action 4.3.3, Item 3	Please list agencies that received training in reporting year
Action 4.3.3, Item 4	Number of courses on firearm and ammunition destruction in reporting year
Action 4.3.3, Item 5	Please provide further details on what aspects of destruction the training provided in the reporting year. Please provide information on challenges and successes your State has experienced. Please state whether national or international courses
Action 5.1.2	Designate responsibility for reporting on data related to SDG 16.4.2 to a national agency or ministry responsible for firearms regulation or to a national statistics office.
Action 5.1.3	Contribute to the global process of developing indicators for SDG 16.4 while maintaining methodological consistency with global data collection and support for participation in the UN Illicit Arms Flow Questionnaire (IAFQ).
Action 5.1.4	Consistently engage in data collection/establish procedures for data flows to national statistical offices from national law enforcement agencies/national focal points on firearms.
Action 5.1.5**	Offer data collection training to national authorities and associate with international partner to facilitate collection process.
Action 5.1.2	Designate responsibility for reporting on data related to SDG 16.4.2 to a national agency or ministry responsible for firearms regulation or to a national statistics office.

Note: ** indicates that the item did not have a match with any of the relevant instruments.

Annex 3. Items from the PoA/ITI that match with Items on the CFRM's M&E Framework

Item Number	Question/Item
Section 1, Item 1.1	Has your country established a National Coordination Body/Mechanism or other body that includes SALW control in its core tasks? (Name of body/mechanism; Address; Contact details; Composition)
Section 1, Item 1.2	Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?
Section 1, Item 1.2.1	Details (Name; Organization or agency; Address; Telephone; Email)
Section 1, Item 1.2.2	Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?
Section 1, Item 1.2.3	If the answer to Question 1.2.2 is "no," does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI?
Section 2, Item 2.1.1	Does your country have laws, regulations, and/or administrative procedures to exercise effective control over the manufacture of SALW?
Section 2, Item 2.1.1.3	Is illegal manufacture of SALW considered a criminal offence in your country?
Section 3, Item 3.1	Does your country have laws, regulations, or administrative procedures to exercise effective control over the export, import, transit, or retransfer of SALW?
Section 3, Item 3.1.1	List laws, regulations, or administrative procedures to exercise effective control over the export, import, transit, or retransfer of SALW.
Section 3, Item 3.2	Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?
Section 3, Item 3.10	Does your country require that SALW imported into your country be marked at the time of import?
Section 3, Item 3.10.2 (a)	What information is included in the marking on import (check relevant boxes)? a. Country of import
Section 3, Item 3.10.2 (b)	What information is included in the marking on import (check relevant boxes)? b. Year of import
Section 3, Item 3.10.2 (c)	What information is included in the marking on import (check relevant boxes)? c. Other [if other, please explain]
Section 3, Item 3.12.1	Number of incidents related to international transfers:
Section 3, Item 3.12.1.1	Details: (e.g. description of incidents including the number of weapons diverted; national inter-agency information exchange systems including national small arms and light weapons registries and licensing authorities, customs, border control, law enforcement and criminal justice services) (Annex documents can be attached in Section 10):
Section 3, Item 3.5	Does your country apply export risk assessment criteria?

Item Number	Question/Item
Section 3, Item 3.5.1	If so, please list them
Section 5, Item 5.1	Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorized to hold SALW?
Section 5, Item 5.1.1	If so, which of the following provisions are included in these standards and procedures (check relevant boxes)? a. Appropriate locations for stockpiles b. Physical security measures c. Control of access to stocks d. Inventory management and accounting control e. Staff training f. Security, accounting, and control of SALW held or transported by operational units or authorised personnel g. Procedures and sanctions in the event of theft or loss h. Other: [if other, please explain]
Section 5, Item 5.5	During the biennial reporting period, has your country destroyed or deactivated surplus stocks?
Section 5, Item 5.5.1	How many SALW were destroyed? i. 1st reporting year ii. 2nd reporting year
Section 6, Item 6.1.1	How many SALW were collected? i. First reporting year ii. Second reporting year
Section 6, Item 6.1.1.1 (d)	What action was taken with respect to the SALW collected? 6.1.1.1 Action taken d. Trace request issued i. 1st reporting year ii. 2nd reporting year
Section 6, Item 6.1.1.1 (h)	What action was taken with respect to the SALW collected? 6.1.1.1 Action taken h. Illicit origin/context established by national authority i. 1st reporting year ii. 2nd reporting year
Section 6, Item 6.1.1.2 (a)	How many SALW were recovered? i. First reporting year ii. Second reporting year

Item Number	Question/Item
Section 6, Item 6.1.1.3 (a)	What action was taken with respect to the SALW seized, surrendered, or found in the same reporting cycle? Specify and provide numbers. [click No if data is not available: go to 6.2] Action taken: a. Marked
Section 6, Item 6.1.1.3 (c)	What action was taken with respect to the SALW seized, surrendered, or found in the same reporting cycle? Specify and provide numbers. [click No if data is not available: go to 6.2] Action taken: c. Destroyed
Section 6, Item 6.1.1.4	(SALW found) Illicit origin/context established by national authority
Section 7, Item 7.1	Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?
Section 7, Item 7.1.1	Describe the markings that are applied to government-held stocks.
Section 8, Item 8.2.2.1 i	The number of international tracing requests - Tracing requests received (by your government) i. First reporting year ii. Second reporting year
Section 8, Item 8.2.2.1 ii	
Section 8, Item 8.2.2.6 (i)	The number of international tracing requests - Successful/matching tracing i. First reporting year ii. Second reporting year
Section 8, Item 8.2.2.6 (ii)	
Section 9, 9.1.1 (a)	[9.1- During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?] If so, in what areas (check relevant boxes)? a. Establishing/designating National Coordination Agency/National Point of Contact and National Action Plan a) Nature of the assistance: i) financial: Requested/Received/Provided (select appropriate) ii) technical: Requested/Received/Provided (select appropriate) b) Amount of assistance provided/received (if financial): c) Description of the assistance activity: d) Duration of the assistance provided/received: e) State(s) or organization(s) that provided/received the assistance:

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Item Number	Question/Item
Section 9, 9.1.1 (c)	[9.1- During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?] If so, in what areas (check relevant boxes)? c. Capacity-building and training on SALW issues a) Nature of the assistance: i) financial: Requested/Received/Provided (select appropriate) ii) technical: Requested/Received/Provided (select appropriate) b) Amount of assistance provided/received (if financial): c) Description of the assistance activity: d) Duration of the assistance provided/received: e) State(s) or organization(s) that provided/received the assistance:
Section 9, 9.1.1 (e)	[9.1- During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?] If so, in what areas (check relevant boxes)? e. Customs and borders a) Nature of the assistance: i) financial: Requested/Received/Provided (select appropriate) ii) technical: Requested/Received/Provided (select appropriate) b) Amount of assistance provided/received (if financial): c) Description of the assistance activity: d) Duration of the assistance provided/received: e) State(s) or organization(s) that provided/received the assistance:

Item Number	Question/Item
Section 9, Item 9.1.1 (g), (a-e)	g. Gender considerations / women, men, girls, and boys a) Nature of the assistance: i) financial: Requested/Received/Provided (select appropriate) ii) technical: Requested/Received/Provided (select appropriate) b) Amount of assistance provided/received (if financial): c) Description of the assistance activity: d) Duration of the assistance provided/received: e) State(s) or organization(s) that provided/received the assistance:
Section 10, Item 10.1.1	[10.1. Does your country take into account gender considerations in small arms and light weapons policies and/or programmes? [If yes, click where applicable]] Increase understanding of the gender-specific impacts of the illicit trade in small arms and light weapons (training, workshops, gender-analysis)
Section 10, Item 10.3	Any further comments on PoA and ITI, including key challenges and opportunities relating to the implementation of PoA and ITI, and national laws, regulations, and administrative procedures?

Annex 4. Items from the Firearms Protocol/IAFQ that match with Items on the CFRM's M&E Framework

Section, Item Number(s)	Question/Item
Respondents (Data File)	National focal point for the questionnaire on illicit arms flows; Additional technical contacts
Part 1C, 3.1 (Data File)	Total arms seized, by type of location
Part 1C, 3.1, 1 (Data File)	Total arms seized, by type of location - Planes and airports
Part 1C, 3.1, 2 (Data File)	Total arms seized, by type of location - Vessels and harbours
Part 1C, 3.1, 3 (Data File)	Total arms seized, by type of location - Land borders
Part 2, 4.1, 9 (Data File)	Total arms found and surrendered by type of arms - Total
Part 2, 4.2, 3 (Data File)	Total arms found and surrendered by type of marking of the weapon 3. Altered marking
Part 3, 5.1, 1.1 – 1.4 (Data File)	Total number of arms **seized**, disaggregated by outcome of tracing and other follow-up activities. 1.1 Weapon seized from its **legitimate** owner and weapon found in **national** registry 1.2 Weapon seized from **illegitimate** owner and weapon found in **national** registry (e.g., lost or stolen) (national tracing) 1.3 Point of diversion of the weapon (last legal record) **identified** through tracing and weapon found in **foreign** registry (international tracing) 1.4 Point of diversion otherwise established by a competent authority; please specify
Part 3, 5.1, 1.8 (Data File)	Total number of arms **seized**, disaggregated by outcome of tracing and other follow-up activities. 1.8 Total
Part 3, 5.2, 1.1 – 1.4 (Data File)	Total number of arms **found** during the reporting years, disaggregated by outcome of tracing and other follow-up activities. 1.1 Weapon recorded in **national** registry as associated with legitimate owner 1.2 Weapon recorded in national registry as lost, stolen deactivated, destroyed or otherwise not held by a legitimate owner (national tracing) 1.3 Point of diversion (last legal record) **identified** through tracing and weapon found in **foreign** registry (international tracing) 1.4 Point of diversion/ illicit origin otherwise established by a competent authority; please specify
Part 3, 5.2, 1.8 (Data File)	Total number of arms **found** during the reporting years, disaggregated by outcome of tracing and other follow-up activities. 1.8 Total

Section, Item Number(s)	Question/Item
Part 3, 5.3, 1.1 – 1.4 (Data File)	Total number of arms **surrendered** during the reporting years, disaggregated by outcome of tracing and other follow-up activities. 1.1 Weapon recorded in **national** registry as associated with legitimate owner 1.2 Weapon recorded in **national registry** as lost, stolen, deactivated, destroyed, or otherwise not held by a legitimate owner (national tracing) 1.3 Point of diversion (last legal record) **identified** through tracing and weapon found in **foreign** registry (international tracing) 1.4 Point of diversion / illicit origin otherwise established by a competent authority; please specify
Part 3, 5.3, 1.8 (Data File)	Total number of arms **surrendered** during the reporting years, disaggregated by outcome of tracing and other follow-up activities 1.8 Total
Part 3, 5.4 (Data File)	Tracing requests **sent by your country** to other countries or regional/international organisations during the **most recent reporting year,** and answers received to those requests. If applicable, please indicate whether you also trace through other channels in the 'Remarks' field.
Part 3, 5.5 (Data File)	Tracing requests received by your country from other countries or regional/international organisations during the **most recent reporting year,** and answers provided to those requests. If applicable, please indicate whether you also trace through other channels in the 'Remarks' field.
Part B, 1 (Metadata File)	Does your country maintain up-to data records on: 1. Arms? 2. Parts and components? 3. Ammunition Are these records centralized? Are these records in an electronic format?
Part B, 2 (Metadata File)	Do these records include data on: - Military held arms? - Police held arms? - Civilian owned arms? - Seized, found, and surrendered arms? - Other arms (e.g., peacekeeping related, held by private security companies)? -Name of institution(s) that keep the records
Part B, 3 (Metadata File)	Which of the institutions below collects data on seized, found, and surrendered arms?

Section, Item Number(s)	Question/Item
Part B, 6 (Metadata File)	Under your country's legal framework, are the following firearms related offences punishable through administrative or judiciary/criminal sanctions? - Lack of adequate certification for use/possession - Missing license or paperwork - Illicit possession - Altered/erased markings - Illicit manufacture - Illicit trafficking
Part C, 3 (Metadata File)	What are the competent authorities for initiating national and international tracing procedures?
Part C, 8 (Metadata File)	Do the competent authorities in your country cooperate with the following regional or international organizations as regards to international tracing requests?
Part D, 3 (Metadata File)	Please provide the sources (published and unpublished) that were referred to in answering to each of the sheets/ tabs in the Data File 1 – Arms seized 2 – Criminal context 3 – Trafficking information 4 – Found and surrendered 5 – Tracing results 6 – Significant seizures 7 – Parts & components and ammunition 8 – Criminal justice system 9 – Trafficking context

Annex 5. Items from the ATT that match with Items on the CFRM's M&E Framework

Item Number/Name	Question/Item
Date of Report (Annual Report) **1.3 K (Initial Report)**	Date of Report: The contact details of the national point(s) of contact are as follows [Article 5(6)]: - Name: - Mr./Ms. - Position/Job: - Ministry: - Agency/Department: - Email address (individual and/or institutional): - Telephone no.: - Address:
National Point of Contact for this Report (Annual Report)	National Point of Contact for this Report: - Name: - Mr./Ms. Position/Job title: - Organisation: - Fixed Phone: - Mobile Phone: - E-mail:
Exports of Conventional Arms, B. VIII, Small Arms (aggregated) - authorised, Items 1 – 6 (Annual Report)	Small Arms (aggregated) – Authorised or actual imports 1. Revolvers and self-loading pistols 2. Rifles and carbines 3. Sub-machine guns 4. Assault rifles 5. Light machine guns 6. Others
Exports of Conventional Arms, B. VIII, Light Weapons (aggregated) – authorised, Items 1 & 4 (Annual Report)	Light Weapons (aggregated) – Authorised or actual imports 1. Heavy machine guns 4. Recoilless rifles

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Item Number/Name	Question/Item
Imports of Conventional Arms, B. VIII, Small Arms (aggregated) – authorised, Items 1 – 6 (Annual Report)	Small Arms (aggregated) – Authorised or actual imports - Revolvers and self-loading pistols - Rifles and carbines - Sub-machine guns - Assault rifles - Light machine guns - Others
Imports of Conventional Arms, B. VIII, Light Weapons (aggregated) - authorised, Items 1 & 4 (Annual Report)	Light Weapons (aggregated) – Authorised or actual imports 1. Heavy machine guns 4. Recoilless rifles
3, A (i) (Initial Report)	The national control system includes the following: i. An authorization or licensing system for arms exports [Article 5(2)]
3, A (ii) (Initial Report)	The national control system includes the following: ii. export assessment criteria
3, D (Initial Report)	The competent national authority (-ies) for the control of exports [Article 5(5)] (Please specify the Ministry, Government Agency, or Department below)
3, E (Initial Report)	The national risk assessment procedure includes all the criteria described in Article 7 (1) (a) and (b), and Article 7 (4)
3, P (Initial Report)	Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an export authorization [Article 5(5)] (Please specify below)
4, C (Initial Report)	National legislation, regulations or administrative procedures include a definition of import [Articles 6(1) to 6(3) and Article 8] (If 'Yes,' please specify. If 'No,' please explain)
4, G (Initial Report)	Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an import authorization (when such an authorization is required) [Article 5(5)i] (Please specify below)

Annex 6. Items from CIFTA that match with Items on the CFRM's M&E Framework

Section, Item Number	Question/Item
Section I, Item 1	Has your country ratified the Inter-American Convention on the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials? (Yes/No)
Section II, Item 4	Do you currently have provisions or norms in your national legislation that establish as a criminal offense the illicit manufacturing of and trafficking in firearms, ammunition, explosives, and other related materials? (Yes/No) Please identify these laws:
Section IV, Item 18	In your country, are there laws or regulations or other types of procedures in place that require imported firearms to have appropriate markings? (Yes/No) Please identify said provisions:
Section IV, Item 19	If you answered yes, please indicate the type of marking required in this case: - Name of importer - Address of importer - Others (specify)
Section IV, Item 20	In your country are there laws or regulations or other procedures that require appropriate marking of confiscated or forfeited firearms that are retained for official use? (Yes/No) Please identify said provisions:
Section IV, Item 21	Describe briefly in the space below the applicable control procedures (marking, tracing, destruction, etc.) **for firearms once they are confiscated or forfeited:**
Section IV, Item 23	Describe briefly in the space below the applicable control procedures (marking, tracing, destruction, etc.) **for firearms once they are recovered:**
Section IV, Item 24	Have the laws or regulations identified in Section IV of this questionnaire been adopted and/or amended since ratification of the Convention, and in accordance with the provisions of its Article VI? (Yes/No/NA) If yes, please indicate which laws or regulations were adopted and/or amended on the basis of ratification of CIFTA:
Section V, Item 28	Does your country keep a record of confiscated arms? (Yes/No)
Section V, Item 29	If your answer was yes, do you share or exchange the information recorded on confiscated arms with national, regional, or international organizations or institutions? (Yes/No)
Section V, Item 30	What type of **information channel do you use to share or exchange the recorded information** with national, regional, or international organizations or institutions? Please describe the organizations or institutions with which you share or exchange such information:

Section, Item Number	Question/Item
Section VI, Item 37	Does your national structure of laws and regulations provide for a system of licenses or permits pertaining to the import, export, and transit of firearms, ammunition, explosives, and other related materials? (Yes/No) Please identify the provisions that: - guarantee that the transit of firearms, ammunition, explosives, and other related materials will not be authorized until the receiving State Party issues the corresponding license or permit; - guarantee that the importing or transit countries have issued the corresponding licenses or authorizations before the relevant agencies in your country release shipments of firearms, ammunition, explosives, and other related materials for export.
Section VIII, Item 47	Describe briefly below, or in an attachment, the procedure followed for keeping information for the purpose of tracing and identifying illicitly manufactured or trafficked arms. (Include the method used to obtain the information, the type of information collected, the periodicity of updating such information, the mechanisms used to provide information to other states, etc.):
Section IX, Item 55	Has your country designated a central authority to serve as liaison with States Parties? (Yes/No) Please provide the following information: Name of contact: Position of contact: Agency/office: Mailing address: Telephone: _____ Fax:_____ E-mail: _____
Section X, Item 57	Does your national legal system: - prepare programs for exchange and/or training of personnel who work to prevent, combat, and eradicate the illicit manufacturing of and trafficking in firearms, ammunition, explosives, and other related materials?; or - provide other measures that facilitate access to equipment and technology that have proven effective in implementing the Convention? (Yes/No) Please identify these provisions:
Section X, Item 58	Does your national legal system establish programs to train personnel from your country to prevent, combat, and eradicate the illicit manufacturing of and trafficking in firearms, ammunition, explosives, and other related materials? (Yes/No) Please identify these provisions:

ROADMAP

for Implementing the **Caribbean** Priority Actions on the Illicit Proliferation of Firearms and Ammunition across the **Caribbean** in a Sustainable Manner by 2030

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